





# AMOC fingerprints influence seasonal SST predictability in the **North Atlantic**

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Abstract. We investigate the impact of the strength of the Atlantic Meridional Overturning Circulation (AMOC) at 26°N on the prediction of North Atlantic sea surface temperature anomalies (SSTA) a season ahead. We consider the physical mechanism proposed by Duchez et al. (2016a) and test the dependence of SST predictive skill in initialised hindcasts on the phase of AMOC at 26°N. We use initialised simulations with the MPI-ESM-MR seasonal prediction system. First, we use the assimilation experiment between 1979-2014 to confirm that the AMOC leads a SSTA dipole pattern in the tropical and subtropical North Atlantic, with strongest AMOC fingerprints after 2-4 months. Going beyond previous studies, we find that the AMOC fingerprint has a seasonal dependence, and is sensitive to the length of the observational window used, i.e. stronger over the last decade than for the entire time series back to 1979. We then use a set of ensemble hindcast simulations with 30 members, starting each February, May, August and November between 1982 and 2014. We compare the changes in skill between composites based on the AMOC phase a month prior to each start date to simulations without considering the AMOC phase. We find higher SST hindcast skill at 2-4 months lead time for SSTA composites based on the AMOC phase for February, May and November start dates. Our method shows major benefit for May start dates, where mean summer SST hindcast skill over the subtropics increase by a factor of 2, reaching up to 80% agreement with ERA-Interim SST.

#### 1 Introduction

The variability of SST at seasonal timescales has a significant impact on the weather and climate (Stockdale et al., 2011; Sutton and Hodson, 2005). Tropical seasonal SST anomalies (SSTAs) have been linked to the intensity and genesis of tropical cyclones and heatwaves (Coumou and Rahmstorf, 2012; Duchez et al., 2016b; Arora and Dash, 2016), and to fluctuations of marine resources (Stock et al., 2015); all of which have the potential of important socio-economic consequences. Nevertheless, the mechanisms governing seasonal SST predictability are not well understood (Stocker, 2014). Correspondingly, seasonal predictions of SSTAs often show low skill, particularly over the extratropics (e.g. Arribas et al. (2011)). Here, we examine

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the mechanism proposed by Duchez et al. (2016a) (henceforth D16). D16's mechanism links transition in the strength of the Atlantic Meridional Overturning Circulation (AMOC) at 26°N and North Atlantic SSTs on monthly time scales. We evaluate to what extent the seasonal SST predictive skill in the North Atlantic is sensitive to the phase of AMOC before initialisation.

Via air-sea heat fluxes (ASFs) and Ekman-induced heat transport, fluctuations in the atmosphere are important drivers of seasonal SSTAs (Bjerknes, 1964). The North Atlantic Oscillation (NAO) is recognised as the main mode of climate variability at seasonal to interannual timescales in the North Atlantic (Deser et al., 2010), and a SST anomaly tripole is seen as its major imprint on the ocean surface (Marshall et al., 2001). Part of the North Atlantic seasonal SST variability has been additionally attributed to the AMOC (e.g. Bryden et al. (2014); Zhang et al. (2019)). It is estimated that the AMOC at 26°N transfers about 1.3 PW (10<sup>15</sup> W) of heat northwards (Johns et al., 2011), though with little meridional coherence at seasonal to interannual timescales (Bingham et al., 2007; Hirschi et al., 2007). In turn, SST responds to the AMOC in what are generally known as AMOC fingerprints, forming recurring large-scale patterns (Zhang, 2008). Therefore, through local convergence or divergence of ocean heat transport (OHT, e.g. Cunningham et al. (2013); Borchert et al. (2018)), AMOC fluctuations could influence the seasonal to interannual predictability of SST.

D16 analysed the relationship between AMOC observations at 26°N (Smeed et al., 2014) and ERA-Interim SST (Dee et al., 2011) during 2004-2014, finding a strong SST dipole pattern centred at 26°N at 3-5 months lag. D16 proposed a dipolar response of SSTs to AMOC variability, in which a stronger than average AMOC at 26°N advects more heat northward, leading to colder waters in the tropics and warmer waters in the subtropics. Conversely, a weaker AMOC advects less heat northward of 26°N, building up heat south of 26°N, and leading to colder waters to the north and warmer to the south of the section. Hence, the AMOC at 26°N was suggested as a precursor to SSTAs in the tropical and subtropical North Atlantic, implying a potential application on seasonal forecast systems.

Recent studies have found improved hindcast skill in the North Atlantic after incorporating known physical mechanisms into their seasonal prediction analysis. Neddermann et al. (2018) and Domeisen et al. (2015) included two dominant mechanisms that influence European temperatures as ensemble selection criteria for MPI-ESM, achieving significantly enhanced seasonal hindcast skills for summer and winter, respectively. Similarly, Dobrynin et al. (2018) considered as ensemble criteria those mechanisms which link the ocean autumn state, sea ice, land, and stratosphere with the winter NAO, improving hindcast skill for surface temperature, precipitation, and sea level pressure over important areas of the Northern Hemisphere.

Seasonal SST potential predictability was shown to improve for better represented ocean initial states in the tropical Pacific boreal winter (Alessandri et al., 2010), and in parts of the Atlantic (Balmaseda et al., 2013). When analysing an ensemble of initialised coupled simulations with MPI-ESM-LR covering 1901-2010, Borchert et al. (2018, 2019) showed that the AMOC at 50°N influences the SST variability and predictability for several years.

We apply a similar technique as Borchert et al. (2018) to evaluate the impact of the strength of the AMOC at 26°N on seasonal prediction of SST. We use simulations from the MPI-ESM-MR-based seasonal prediction system (Dobrynin et al., 2018), and examine whether predictions initialised following an anomalously strong AMOC event at 26°N are prone to show higher SST predictive skill over the region north of this section. Likewise, predictions initialised after anomalously weak AMOC events

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at 26°N could show higher SST skill over the tropical region, to the south of this section, due to a local convergence of ocean heat.

The paper is structured as followed: Section 2 describes the datasets and methods used in this paper. We verify the modelled AMOC against RAPID observations in Sect. 3.1. In Sect.3.2 we assess the influence of AMOC strength on seasonal SSTAs considering two different periods, and evaluate the contribution of seasonality and atmospheric processes. We carry out a predictive skill analysis in Sect.3.3, and assess the impact of considering the AMOC strength at the beginning of the prediction. Section 4 provides the discussion, followed by the summary and conclusions in Sect. 5.

# 2 Model and methods

# 2.1 Model description and the prediction system

We use seasonal predictions with the coupled climate model MPI-ESM, in its mixed resolution (Baehr et al., 2015; Dobrynin et al., 2018) in the version as used for the CMIP5 simulations (Giorgetta et al., 2013). The oceanic component is the MPIOM ocean general circulation model, formulated on a tripolar grid with poles over North America, Siberia and Antarctica, and horizontal resolution varying with an average of 0.4 degrees and 40 unevenly spaced vertical layers (Marsland et al., 2003; Jungclaus et al., 2013). The atmospheric component ECHAM6 runs at T63 horizontal resolution, i.e. approximate horizontal resolution of 200 km with 95 vertical levels, resolving the troposphere and the stratosphere up to 0.01 hPa (Stevens et al., 2013). Ocean and atmosphere are coupled daily without flux adjustments.

The prediction system is full-field initialised, using nudging (Dobrynin et al., 2018). In the assimilation experiment, temperature and salinity fields in the ocean component were nudged towards the ORA-S4 reanalysis (Balmaseda et al., 2013). Temperature, vorticity, divergence, and surface pressure in the atmosphere component were nudged towards ERA-Interim (Dee et al., 2011), and the sea ice component was nudged to NSIDC observations (Comiso, 1995).

We use a 30-member hindcast ensemble initialised every February (FEB), May (MAY), August (AUG) and November (NOV) between 1982 and 2014 from the assimilation experiment (Dobrynin et al., 2018). We end our analysis in 2014, in order to compare to D16 using observations. After each initialisation, the ensemble members run freely for 6 months. The 30-member hindcast ensemble was generated by slightly modified initial conditions, using bred vectors in the ocean component with a vertically varying norm that allows for a full depth perturbation of the ocean (Baehr and Piontek, 2014). In the atmosphere, the diffusion coefficient in the uppermost layer is slightly disturbed to generate the ensemble.

# 2.2 Data Pre-processing and Statistical Methods

To evaluate the long-term SST dipole pattern dependence on AMOC variability, we use the assimilation experiment covering the period of January 1979 to December 2014. We choose the assimilation experiment over observations because of the short record of RAPID/MOCHA observations available only from April 2004 (Cunningham et al., 2007), to constrain the seasonal cycle more accurately. In the model, the meridional overturning transport is directly calculated using the 3-dimensional velocity

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	AMOC	EKM	AMOC-EKM
MPI-ESM-MR	$18.42 \pm 2.55$ (2.79)	$3.08 \pm 1.61  (3.36)$	$15.34 \pm 2.28  (2.55)$
RAPID	$17.02 \pm 2.95 (3.90)$	$3.56 \pm 1.46$ (2.26)	$13.43 \pm 0.96  (2.42)$

**Table 1.** Transports mean values, standard deviations and seasonal ranges (in parentheses) for the model (1979-2014) and observed AMOC (2004-2014). All values in Sv.

field averaged at each latitude, and the AMOC is defined as the vertical maximum of the stream function. We verify the modelled AMOC using observations from the RAPID array at 26°N. The RAPID AMOC is defined as the sum of three components: the Florida Strait transport, the surface Ekman transport (EKM), and the geostrophic upper-mid-ocean transport. A detailed description of the calculation of the individual components is provided in Smeed et al. (2018).

We evaluate the atmospheric contribution to the SST variability using EKM and ASF. We evaluate both the EKM relationship to SST, as well as the AMOC without its EKM component, i.e. AMOC-EKM (Mielke et al., 2013). EKM is calculated from the zonal wind stress component  $\tau_x$  integrated over the Atlantic, i.e.  $EKM = -\int \frac{\tau_x}{\rho f} dx$ , where  $\rho$  is the reference density (1025 kg m<sup>-3</sup>) in MPIOM and f is the Coriolis parameter. For ASF we use the total surface heat fluxes over sea, which include shortwave, longwave, latent and sensible heat fluxes. ASF is parameterized as described in Marsland et al. (2003), with fluxes defined positive downward.

We calculate monthly means of AMOC, EKM, SSTA and air-sea heat fluxes. Our main data set consists of model output, in addition to AMOC observations from RAPID and SST from the ERA-Interim reanalysis (Dee et al., 2011). This data set is deseasoned by removing the 12-month climatology obtained from the monthly data and the linear trend is removed. We refer to these detrended, deseasoned quantities as anomalies. Time series are smoothed using a 3-month running average to filter out high frequency variability. Seasonal means are defined as December-January-February (DJF) for winter, March-April-May (MAM) for spring, June-July-August (JJA) for summer and September-October-November (SON) for autumn.

To assess the variability of the AMOC fingerprint and to evaluate its role on seasonal SST predictability, we perform lagged correlations from 0 up to 12 months, with the AMOC leading SSTA. Additionally, we compute lagged correlations for ASF, EKM and AMOC-EKM leading SSTA to explore the atmospheric contribution. For our hindcast skill analysis, we assess predictive skill of the hindcast simulations against the ERA-Interim data with the point-wise Anomaly Correlation Coefficient (ACC, Collins (2002)). Significance is assessed via a bootstraping method at the 95% confidence level using 1000 samples.

#### 3 Results

# 3.1 Model verification for AMOC

We verify the modelled AMOC and the components EKM and AMOC-EKM to observations as a first step to our analysis.

Statistics for AMOC and EKM, as well as AMOC-EKM are shown in Table.1.

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We evaluate the AMOC seasonal cycle using both anomalies and full values. The anomalies are calculated by removing the annual mean of each year (grey lines in Fig.1.a-f) of the full time series (1979-2014), after which the data were smoothed with a 3-month running average. The observed AMOC shows minimum transport in March and maximum in August (c.f. Fig.1.a). Minimum transport for the modelled AMOC is achieved slightly later, in April-May, while it peaks twice in August and December. The seasonal cycle for both the observed and the modelled AMOC agree with the ones discussed by Mielke et al. (2013) using RAPID data from 2004-2010 (Cunningham et al., 2007) and a high resolution MPI ocean model spanning the same period. For EKM (c.f. Fig.1.c), the seasonal cycle for observations and model are slightly out of phase, but both show a clear maximum in summer (July-August) and minimum in spring (March-April). The seasonal range for the modelled EKM is 3.36 Sv, compared to lower 2.26 Sv for the observations. The opposite is found for the AMOC seasonal range, which is smaller for model (2.79 Sv against 3.90 Sv). These differences in range and phase for AMOC and EKM can explain the seasonal cycle of AMOC-EKM, with minimum in July and maximum in November. Time series of observed and modelled AMOC and EKM component are in reasonable agreement and correlate with 0.67 (cf. Fig.1.g).

# 3.2 Impact of AMOC fingerprints on North Atlantic SST variability

#### 3.2.1 The RAPID decade

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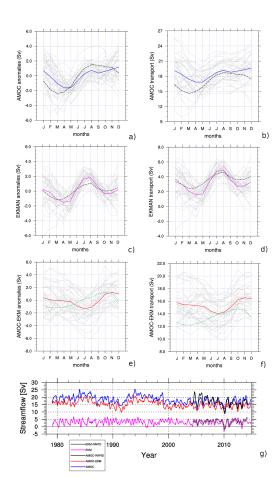
Here, we compare AMOC fingerprints between D16 and model for the period April 2004 to March 2014 (c.f. D16's Fig.3). We calculate lagged correlations up to 12 months, with the AMOC leading (Fig.2).

We find that a dipole pattern represents the influence of AMOC on Atlantic SST variability in the model up to 7 months in the subtropical and tropical regions, similar to D16. This pattern is composed of a large zonal band of anticorrelation located between 5 and 26°N, from the African coast towards the Gulf of Mexico, and a smaller positive correlation lobe between 26 and 40°N (Fig.2).

Lags 0 and 2 show maximum positive correlations of the order of 0.6 mostly in the western side of subtropical lobe near the US coast, as opposed to maximum negative correlations of similar magnitude mainly at the eastern side of the tropical North Atlantic, close to northwestern Africa. The magnitude of the correlation (anticorrelation) drops to maximum of 0.4 (minimum of -0.5) with increase in lag. In contrast with smaller lags, patterns for 5-7 months lag show a displacement of the maximum correlation lobes towards the east and west, respectively, suggesting a role for the subtropical gyre in advecting the AMOC fingerprint.

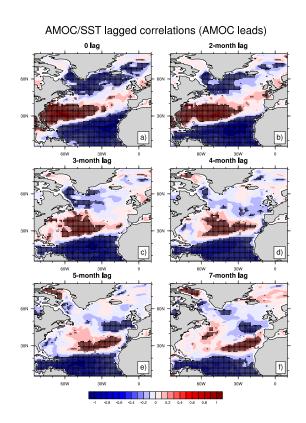
The correlation pattern for the subpolar region is also pronounced, however the strongest negative correlations of -0.4 are only present up to 2 months lag (c.f. Fig.2.a, b). These negative correlations have been previously associated with the NAO imprint in the Atlantic (Fan and Schneider, 2012), and are not explained by D16's physical mechanism which we investigate in this study. D16's physical mechanism attributes an active role of ocean heat advection on the SST variability at the timescale of a few months, due to anomalous convergence or divergence of OHT. Therefore, we restrict our analysis to the AMOC influence on SST over tropical and subtropical North Atlantic, and exclude the subpolar pattern.





**Figure 1.** The AMOC in MPI-ESM-MR. Climatology of the maximum AMOC transport at 26°N in the assimilation experiment, smoothed with a 3-month running mean and the annual cycle removed (spanning 1979-2014), for anomalies (a, c, e), and full values (b, d, f) as labelled. The highlighted full coloured lines represent the mean transport values, whereas each light grey line represents a given year. The dashed lines correspond to the mean value of observed AMOC. g) modelled AMOC at 26°N (blue line), AMOC-EKM (red line) and EKM (magenta line); the observed AMOC (black line) and EKM as the component in the RAPID data (grey line)





**Figure 2.** Lagged correlations between AMOC at 26°N and North Atlantic SSTA during the RAPID period (2004-2014), with the AMOC leading (a-f, as labelled). The stippling represents significant correlations at the 95% confidence level, calculated from 1,000 bootstrap samples

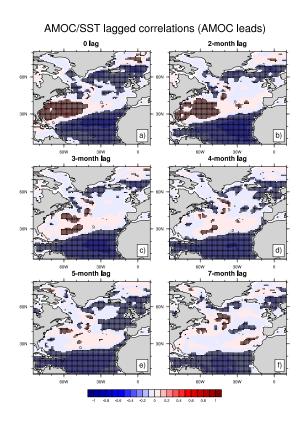
#### 3.2.2 Investigating a 30-year period

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We now analyse the impact of AMOC on SSTs over 36 years, to assess the consistency of the previous results over a longer period. The SST dipole pattern for the full 36-years and RAPID period (Fig.3) hold mostly similar spatial and temporal characteristics. The longer period shows, however, lower correlation values than during the RAPID period, particularly over the subtropics.

The subtropical lobe shows a consistent maximum positive correlation of the order of 0.2 throughout lags 1 to 7 months, and a higher correlation of 0.34 for lag 0. The tropical lobe of the dipole shows minimum negative correlations ranging from -0.29 and -0.37, which are comparable with the magnitude observed during the RAPID period for the same region. Hence, the SST dipole shows a dependency on the decade in agreement with Alexander-Turner et al. (2018). We further analyse this dependency by computing running lagged-correlations for 10- and 15-year windows for lags 1 and 3 months (not shown). We find that the 90s tend to show a less clear AMOC-SST correlation pattern in comparison to both the 80s and the RAPID period, particularly for the subtropical lobe of the dipole.



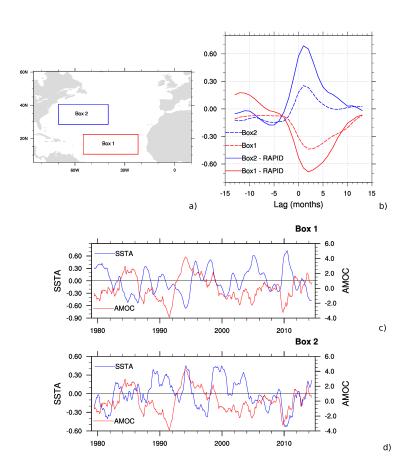


**Figure 3.** Lagged correlations between AMOC at 26°N and North Atlantic SSTA during 1979-2014, with the AMOC leading (a-f, as labelled). The stippling represents significant correlations at the 95% confidence level, calculated from 1,000 bootstrap samples

We summarise the main spatial and temporal features of the SST dipole in Fig.4. To further explore the sensitivity of AMOC fingerprints to the length of the observational window used, we show the AMOC-SST relationship averaged over two regions comprising the dipole lobes. We define those as tropical lobe: Box 1 (10.5° - 22.5°N, 22° - 55°W), and subtropical lobe: Box 2 (28.5° - 40.5°N, 40° - 70°W, cf. Fig.4a). We focus on the positive lags, which represent the AMOC fingerprints.

In agreement with Sec.3.2.1, AMOC fingerprints over the RAPID period are stronger than over the full time series. We find high anticorrelations up to 5-month lag over Box 1, ranging from -0.57 at 5-month to maximum magnitude of -0.69 at 2-month lag. In contrast, when the full time series is considered, values drop to the order of -0.4. Similarly, we find high correlation values above 0.6 up to 2-month lag over the RAPID period for Box 2, which drop to 0.24 at 5-month lag. The magnitude of correlations for Box 2 over the full time series reaches a maximum of 0.25. Weaker AMOC fingerprints particularly during the 90s are likely responsible for the decline of the correlations computed for the full time series.





**Figure 4.** Relationship of AMOC and SSTA over two regions in the North Atlantic: a) regions used for the calculation; b) lagged correlations between AMOC and SSTA over Box 1 (red lines) and Box 2 (blue lines). Bold lines correspond to the RAPID period and dashed lines to 1979-2014; c) time series for AMOC and SSTA extracted in region 1 and d) for region 2, both smoothed with a 12-month running mean



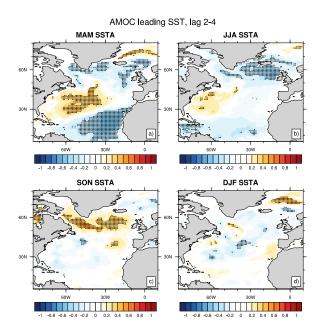
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#### 3.2.3 The seasonal dependence

To further investigate the variability of the SST dipole pattern, we here analyse the role of SST seasonality. Using the assimilation experiment, we perform correlations of the AMOC anomalies at a given month with the mean seasonal SSTA 2-4 months ahead (Fig.5). By doing so, we provide a detailed view of the temporal variability of the SST dipole pattern, making it easier to link the observed pattern to other drivers that could potentially affect the SST variability, such as ASFs or EKM.

We find a strong fingerprint in spring (MAM), with average (maximum) correlation of the order of 0.4 (0.52) (c.f. Fig.5). During summer (JJA), the fingerprint is less pronounced than in spring, with lower average (maximum) correlation magnitudes of around 0.3 (0.44). In contrast, we find that autumn and winter seasons lack a characteristic dipole pattern, showing instead only a narrow region of negative correlations over the subtropics of the order of -0.2 (-0.1) for winter (autumn). In particular, for autumn SSTs (Fig. 5.c) we find significant positive correlations of the order of 0.6 over the subpolar region, suggesting that atmospheric drivers potentially supersede the AMOC fingerprints during this season.



**Figure 5.** 2-4 month lagged correlations between the SSTA over the North Atlantic and the AMOC at 26°N during 1982-2014, with the AMOC leading (a-d, as labelled). For example, in a) AMOC in January is correlated to spring SSTAs. The stippling represents significant correlations at the 95% confidence level, calculated from 1,000 bootstrap samples

# 3.2.4 The atmospheric contribution

At the seasonal timescale, much of the SST variability in the North Atlantic is response to atmospheric forcing (Deser et al., 2010). The two main processes responsible for the atmospheric imprint in the large-scale SST variability are anomalous ASFs

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and EKM-induced heat transport. The former is known to induce the tripolar SST pattern (Fan and Schneider, 2012), and is mostly forced by the NAO (Cayan, 1992; Marshall et al., 2001). Besides, anomalous EKM may also contribute to the SST variability, especially over regions of strong temperature gradients such as the Gulf Stream (Deser et al., 2010). Fig.5 shows that AMOC fingerprints have a seasonal dependence, suggesting a possible stronger atmospheric role on the SST variability in comparison to the AMOC influence, depending on the season. To further explore these interactions, we assess the relative contributions of ASFs and EKM to the SST variability.

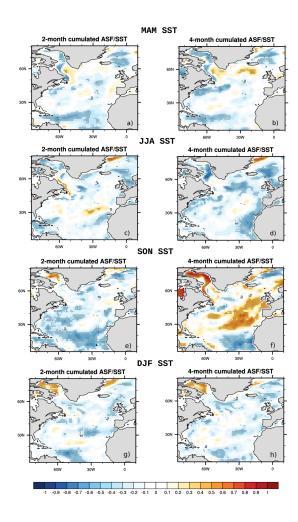
ASFs are defined as positive into the ocean, i.e. positive correlations are interpreted as SST response to atmospheric forcing through heat gained by the ocean, and vice versa. Consequently, strong positive correlations between cumulative ASF and SSTA that would overlap the most pronounced AMOC fingerprints at 2-4 months lag (cf. Fig.5) could mislead our interpretation of the AMOC influence at these time lags. Thus, we compute correlations between cumulative ASF anomalies and SSTA for 2 and 4 months (where ASF leads), for each seasonal mean SSTA (Fig.6). Assessing this ASF-SST relationship is a crucial step to understand the variability of AMOC fingerprints.

We find overall negative correlations between SSTs and ASFs, with a few exceptions, e.g. over parts of the Arctic, south of the Azores in summer (Fig.6c, d) and over the subtropics in autumn (Fig.6f). We compare these results to Fig.5, to evaluate whether regions of positive ASF-SST correlations coincide with those of AMOC fingerprints. During spring, summer and winter, regions of significant positive ASF-SST correlations (Fig.6a-b, c-d, g-h, respectively) do not overlap with the area of strong AMOC fingerprints (cf. Fig.5a, b, d), suggesting a weak role of ASFs on SST variability at these lags. In contrast, we note strong positive ASF-SST correlations overlapping the subtropical lobe of the AMOC-SST dipole for autumn (Fig.6e, f). This could indicate a strong role of cumulative ASFs on SST variability for autumn, possibly hindering AMOC fingerprints and partly explaining the absence of an AMOC-SST dipole over this season (cf. Fig.5c).

In addition to ASFs, EKM is an important contributor to short-term SST variability (Frankignoul, 1985). One simple way to test its influence on the AMOC-SST dipole pattern is to correlate the SSTA with AMOC-EKM, which represents the impact of the internal AMOC signal usually attributed to most of the northward heat transport (Ferrari and Ferreira, 2011). For spring SSTAs, we find a strong contribution of EKM to the AMOC fingerprint, illustrated by EKM-SST 2-4 month lagged correlations holding a well-define tripole (Fig.7e), in agreement with D16. For summer SSTAs, however, EKM seems to contribute mainly to the subtropical lobe of the dipole, given the weak positive correlations in Fig.7h. In contrast, the AMOC-SST dipole for both autumn and winter seems to have a distinct main mode of variability less influenced by EKM, as shown by the high similarity in the patterns for AMOC and AMOC-EKM (Figs.7a, c, j, m). The AMOC-EKM contribution for the tropical lobe is significant up to 7 months (not shown), with correlation values of the order of -0.2 similar to the earlier lags of 2-4 months.

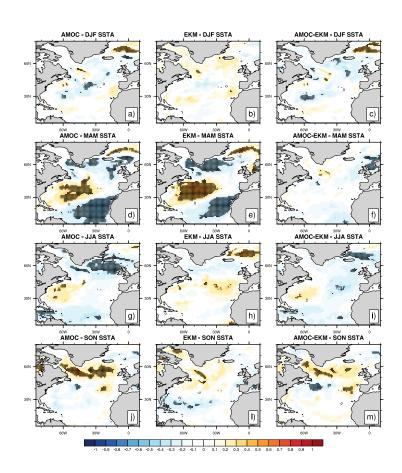
In summary, we present here in further detail the implications of the AMOC fingerprint on North Atlantic SSTs by assessing the atmospheric contribution in terms of cumulative ASFs and EKM on the seasonal SST variability. At time lags where strong AMOC fingerprints occur (2-4 months), we find no significant contribution from the atmosphere overlapping the fingerprints for summer or winter SSTAs. However, autumn SSTAs show a major effect of cumulative ASFs on SST variability which likely hinder the development of a clear AMOC fingerprint (cf. Fig.5c). We also find that the EKM component of the AMOC significantly contribute to the fingerprint in spring, in particular to the tropical lobe.





**Figure 6.** Correlations between the 2 or 4-month ASFs and SSTAs in spring (a and b), summer (c and d), autumn (e and f) and winter (g and h). As an example, in a) January and February ASF anomalies are correlated to MAM SSTA. The stippling represents significant correlations at the 95% confidence level, calculated from 1,000 bootstrap samples





**Figure 7.** Correlations between SST seasonal means and AMOC (a, d, g, j), EKM (b, e, h, l) and AMOC-EKM (c, f, i, m) at 2-4 month lag (with the transport leading SST) covering the 1979-2014 period. The stippling represents significant correlations at the 95% confidence level, calculated from 1,000 bootstrap samples

#### 3.3 Seasonal hindcast skill

Based on the AMOC fingerprint variability and sensitivity we assessed above, we now test whether considering the AMOC strength at 26°N at the beginning of the prediction may improve the SST predictive skill in the North Atlantic. We particularly focus on the start month dependence of the predictive skill by analysing a 30-member hindcast ensemble started every February, May, August and November, separately. The hindcasts FEB, MAY, AUG and NOV yield 2-4 months lead time SST targets MAM, JJA, SON and DJF, respectively. This allows us to build directly on the outcome from previous sections based on the assimilation experiment.

All four sets of hindcasts differ spatially in the strength of the ACC skill of SSTs for 2-4 months lead time (Fig.8a, d, g, j). Overall, skill over the subtropics is lower than over the tropics for all start dates. AUG and MAY show the lowest ACCs over the subtropics, with SON SSTs showing particularly low ACC values below 0.1 in large areas. As opposed to the subtropics,

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hindcasts for the tropics show higher ACCs, albeit values above 0.6 only cover a zonal band between 10°N and 25°N, most pronounced in MAY (Fig.8g). Our results are robust across different lead times, showing similar spatial characteristics for SST ACCs for 3-5 months lead time. Correlation values are, however, lower for 3-5 months lead time than for 2-4 months, in particular over the tropics (not shown).

#### 3.3.1 The role of AMOC

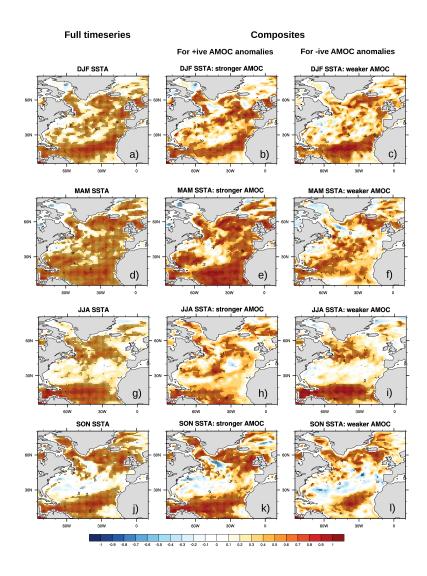
We now assess the role of the AMOC fingerprints in the SST predictive skill with particular attention to strong and weak phases of the AMOC strength at 26°N. We analyse the SST hindcast skill for 2-4 months lead time in the North Atlantic based on the AMOC strength at 26°N for all start dates separately. We define these composites by considering whether the strength of AMOC a month before the initialisation of the hindcast is stronger or weaker than the average (Borchert et al., 2018). Results based on other criteria to select the composites (e.g. phases of Atlantic Meridional Variability (AMV) or AMOC-EKM strength) did not strongly differ (not shown). Therefore, we evaluate the impact of AMOC in the SST hindcast skill at 2-4 months time lag, where we find the most pronounced AMOC fingerprints (cf. Sec.3.2). Specifically, we examine local changes in the predictive skill over tropical and subtropical North Atlantic as modulated by the physical mechanism proposed in D16.

D16's physical mechanism suggests that via convergence (divergence) of OHT in the subtropics (tropics), a strong AMOC at 26°N drives the sub- and tropical SST variability at a maximum of 2-5 months lead time. Therefore, as a consequence of the ocean's thermal memory, we expect that hindcasts initialised after strong AMOC phases may result in more (less) predictable SSTs in the subtropics (tropics), given weaker contribution from the atmosphere to the SST variability over the areas of strong AMOC fingerprints.

For strong AMOC phases, we find higher hindcast skill for DJF, JJA and MAM SSTAs over the subtropics in comparison to ACCs considering the full period (cf. Fig.8a-b, g-h, d-e, respectively), in agreement with the physical mechanism. ACCs above 0.6 are found in the Gulf Stream region and along the North Atlantic Current for JJA and DJF SSTAs (cf. Fig.8h, b, respectively). In particular, we find higher skill for JJA SSTAs in a zonal band between 30° - 40°N extending up to 40°W, where mean ACCs increase by a factor of 2 and reach maximum above 0.8 in comparison to ACCs considering the full period (cf. Fig.8g, h). ACCs for MAM SSTA increase over the subtropics particularly in the Sargasso Sea, where ACCs above 0.8 cover most of its western side. While the mechanism does not solely explain the hindcast skill behaviour in the tropics, we find an improvement over the hurricane main development region (MDR, e.g. Hallam et al. (2019)) with ACCs above 0.8 over large parts (cf. Fig.8e).

We now examine ACC changes in the SST composite based on weak AMOC phases. These changes in hindcast skill, albeit less pronounced than for strong AMOC phases, agree to some extent with D16's physical mechanism for DJF and JJA SSTAs. For example, compared to the full time series (Fig.8a, g), we find better hindcast skill for DJF and JJA SSTA composites in the tropics, with ACCs above 0.8 mainly occurring over the central MDR (cf. Fig.8c, i, respectively). As opposed to these findings, the physical mechanism fails to explain the ACC changes with respect to SON SSTA composites (cf. Fig.6k, l). This seems to confirm that significant atmospheric contribution to the SST variability (e.g. over the subtropics, cf. Fig.6e, f) is likely to





**Figure 8.** SST ACCs against ERA-Interim at 2-4 months lead time. Leftmost column shows ACCs including the full 33-year time series (1982-2014) for NOV (a), FEB (d), MAY(g) and AUG (j). Next two columns show SST ACCs for composites based on either strong (middle column b, e, h, k) or weak AMOC phases (rightmost column c, f, i, l) 2-4 months before the SST mean, as labelled; e.g. DJF SST composites are based on the strength of AMOC at  $26^{\circ}$ N in October. Each row shows ACCs for a particular season, starting with winter (DJF) at the top. The stippling represents significant correlations at the 95% confidence level, calculated from 1,000 bootstrap samples

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weaken the AMOC fingerprint for SON SSTAs. Overall, we obtain better hindcast skill for FEB (MAM), MAY (JJA) and NOV (DJF SSTAs) start dates over important regions of the North Atlantic, i.e. MDR and parts of the subtropics.

#### 4 Discussion

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While a number of works show evidence for robust AMOC fingerprints on North Atlantic SSTs at decadal and longer time scales (e.g. Zhang (2008); Muir and Fedorov (2015); Borchert et al. (2018)), only recently the extent to which AMOC influences SST at seasonal time scales has been addressed (Duchez et al., 2016a). In this study we explore the influence of AMOC strength at 26°N on North Atlantic SST seasonal variability and predictability in the MPI-ESM-MR model. We specifically test whether our model AMOC fingerprints agree with the physical mechanism proposed in D16, and could therefore be considered in our predictions to condition seasonal SST hindcast skill over North Atlantic tropics and subtropics. Our findings suggest that the strength of AMOC is a potential regional source of SST predictability by controlling the variability of heat advection north or south of 26°N.

In line with D16, we find pronounced AMOC fingerprints at 2-5 months lag when considering the RAPID period (2004 - 2014). Going beyond this study, however, we find that AMOC fingerprints are sensitive to the length of the observational window used. Although our findings are in good agreement with D16 when we restrict the analysis to the most recent decade (cf. Fig.2), we find less pronounced AMOC fingerprints with respect to the full time series back to 1979, at a maximum of 2-4 months lag. A possible reason for these differences could be the decadal AMOC variability, i.e. AMV (e.g. Ba et al. (2014); Knight et al. (2005)). The RAPID period corresponds to a positive AMV phase (Zhang, 2007), i.e. warmer SSTs over the North Atlantic due to changes in the AMOC dynamics at the multidecadal time scale, resulting in stronger OHT that could potentially enhance the AMOC influence at the seasonal timescale.

Alexander-Turner et al. (2018) found a similar time dependence using a 120-year long preindustrial control simulation with HadGEM3-GC2. They tested the robustness of the AMOC fingerprints on the SST through time, finding a good agreement with D16 at the 5-month lag, when taking the mean of 11-year segments of the full time series. However, when considering the full 120-year time series, this agreement was overall lower than when analysing the 11-year segments. Likewise, we find weaker AMOC fingerprints when analysing 30-year segments selected from the MPI-ESM-MR historical simulation (not shown).

In addition to the sensitivity of the observational window length, a key aspect that distinguishes our analysis from previous studies is that we find a significant seasonal dependence on the AMOC fingerprints. This dependence is coherent in both initialised and free-running model (not shown), with the strongest AMOC fingerprints occurring during spring and early summer. In line with Alexander-Turner et al. (2018), we argue that a main driver for this seasonal dependence is the contribution of stochastic atmospheric variability, and in a lesser extent the Ekman transport. This has a direct implication on the consideration of the physical mechanism in our seasonal prediction system, which is thus dependent on the initialisation month.

The impact of this seasonal dependence can be illustrated as the distinguished effects of the physical mechanism on the hindcast skill for each start month (cf. Fig.8). Over parts of the subtropics, we achieve high SST hindcast skill for NOV (DJF SSTAs), FEB (MAM), and particularly for MAY (JJA SSTAs), for strong AMOC phases. Such windows of opportunity for

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skilful summer SST predictions (Mariotti et al., 2020) in turn may benefit winter NAO predictions, with consequent influences on the storm track activity starting from October (e.g. Cassou et al. (2004)), as well as on the development of Blocking regimes (e.g. Guemas et al. (2010)), and extreme events (e.g. Arora and Dash (2016)). Moreover, after weak AMOC phases, we find better hindcast skill for DJF, MAM and JJA SSTAs over the tropics, in particular over the central MDR. These improved SST predictions over the MDR could be extremely beneficial for assessing seasonal hurricane formation probabilities (Saunders and Lea, 2008).

We highlight that for FEB hindcasts, skill of MAM SSTAs increases over most of the North Atlantic for strong AMOC SST composites. Therefore, besides D16's mechanism only explaining the improvement over the subtropics, our results suggest that a more active ocean anticipating the initialisation in February could potentially overwrite the higher frequency variability of the SST dominated by the atmosphere (e.g. Yeager et al. (2012), Robson et al. (2012) and Borchert et al. (2018)). This interpretation cannot, however, be extended to AUG hindcasts, which showed no significant improvement in the subtropical SON SST skill for strong AMOC SST composites (Fig.8j). This supports the evidence of a strong influence of stochastic atmospheric variability for this region at 2-4 months lag (cf. Fig.6.e-f) and calls for other physical mechanisms, that if incorporated in the prediction could result in a more prominent effect on the hindcast skill. Recently, a similar approach invoked a chain of physical processes in the prediction and achieved improved skill for European summer climate predictions (Neddermann et al., 2018).

As a first step to investigate whether the predictive skill is conditional to the phase of individual AMOC components, we assess the role of the non-Ekman ocean heat transport in driving the seasonal variability of SST. We thus create SST composites based on the AMOC-EKM strength at 26°N for MAY and NOV hindcasts (not shown). Over the subtropics, the Ekman transport is largely responsible for anomalies in the SST, due to its coupling with both strong winds and pronounced temperature gradients (Frankignoul, 1985). Roberts et al. (2017) carried out a detailed observation-based heat budget analysis for short-term variations of the ocean heat content, supporting the idea of potential predictability of SSTs in the mid-latitudes from an active ocean dynamics. Moreover, Ossó et al. (2018) use the ERA-Interim reanalysis and suggest the Ekman advection associated with weaker westerly winds in late winter and early spring to be a major driver of spring SSTA east of Newfoundland. We find a relatively weak improvement on the SST skill over the subtropics for AMOC-EKM SSTA composites, suggesting an important role for the Ekman transport on the predictability of summer and winter SSTs.

Although we achieve significant improvement in SST hindcast skill for important regions of the North Atlantic with our method, one major limitation of our analysis is that we only consider a limited set of predictors over the North Atlantic (e.g. AMOC strength at 26°N, air-sea heat fluxes and Ekman transport), and further test a single physical mechanism to explain SST variability at the seasonal time scale. Several studies have shown that one of the most robust remote impacts of ENSO is the teleconnection to tropical North Atlantic SSTs in boreal spring (e.g. García-Serrano et al. (2017)). The incorporation of another physical link into the prediction, such as ENSO, could show additional refined information on the North Atlantic SST prediction skill.

Our analyses support further investigation of the AMOC strength and its associated heat transport as complementary information for the seasonal prediction of SSTAs. Both high-resolution coupled models and the two ongoing AMOC monitoring programs RAPID-MOCHA (Cunningham et al., 2007) and OSNAP (Lozier et al., 2017, 2019) are essential for a thorough

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understanding of the mechanisms analysed here. In fact, the seasonal relationship between AMOC and SSTA could contribute to the knowledge of the potential applications of a real-time data delivery system, when finally implemented in the RAPID array (Rayner et al., 2016).

# 5 Conclusions

We assess the impact of AMOC fingerprints on North Atlantic seasonal SST variability and predictability. We consider the physical mechanism proposed by D16 in the hindcast skill analysis of a 30-member ensemble hindcast initialised every February, May, August and November, and evaluate the effect of this mechanism by exploring the changes in SST hindcast skill for tropical and subtropical North Atlantic, when compared to the hindcast analysis without considering this mechanism. Our findings using MPI-ESM-MR suggest that:

Variability

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- 1. For the period of 1979 2014, the AMOC strength at 26°N leads a SSTA dipole pattern in the tropical and subtropical North Atlantic with maximum correlations at 2-4 months, in line with the findings of D16 using AMOC observations from RAPID.
  - 2. In extension to D16, this AMOC fingerprint has a seasonal dependence, and is sensitive to the length of the time window used. This sensitivity affects both the intensity and structure of the fingerprints, which are stronger in spring and summer, and over the last decade, than for the entire time series back to 1979.
  - 3. The AMOC fingerprints seasonality can be attributed to i) the influence of stochastic atmospheric variability on SST via cumulative ASFs, which is most pronounced for autumn SST variability over the subtropics and seems to weaken the effects of AMOC fingerprints; ii) Ekman transport, which explains part of the variability over the subtropical lobe of the AMOC fingerprint; except during spring, when the AMOC fingerprint is instead mainly determined by the Ekman component.

Predictability

- 4. For the period of 1982 2014, considering D16's physical mechanism in our prediction skill analysis results in improved SST hindcast skill for 2-4 months lead time over parts of the subtropical and tropical North Atlantic, for FEB, MAY and NOV start dates.
  - (a) For strong AMOC phases at 26°N
    - i. SST hindcast skill for DJF, MAM and JJA improves over regions of the subtropics as a result of higher influence of the ocean's thermal memory on SST predictability, following a convergence of OHT north of  $26^{\circ}N$ .

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ii. This improvement is more prominent for MAY start dates (JJA SSTA), where mean ACCs increase by a factor of 2 over large parts of the subtropics and reach maximum above 0.8.

iii. The effects on the SST hindcast skill over the tropics for MAY and NOV start dates also confirm D16's physical mechanism by showing local decrease in skill as a result of a divergence of OHT south of 26°N and consequent lower influence of the ocean's thermal memory.

# (b) For weak AMOC phases at 26°N

- Albeit the effect is less pronounced than for strong AMOC phases, SST predictive skill is improved over the tropics for DJF and JJA SSTAs, a result of OHT convergence south of 26°N, in agreement with D16's physical mechanism.
- ii. Major improvement takes place over the central MDR, which could benefit hurricane forecasting systems.

Our findings suggest that the strength of AMOC at 26°N has an important influence on seasonal predictability of North Atlantic SSTs. Via D16's physical mechanism, the AMOC strength at 26°N could therefore be used to estimate the expected regional SST skill a season ahead.

Code availability. Model simulations were performed using the high- performance computer at the German Climate Computing Center (DKRZ). All data are stored at the DKRZ in archive and can be made accessible upon request (www.dkrz.de/up). Analysis was performed using NCAR Command Language packages available at https://github.com/NCAR/ncl.

Author contributions. JO wrote the article and performed the analysis. MD ran the simulations. LB, AD, JB and JO conceived the study and contributed to the writing and interpretation of the results.

Competing interests. The authors declare not to have any competing interests.

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