

# ~~Impact~~ The impact of ~~combined~~ microphysical ~~uncertainties~~ uncertainty conditional on ~~convective clouds~~ initial and precipitation in ICON-D2-EPS forecasts ~~boundary condition~~ uncertainty during different synoptic control

Takumi Matsunobu<sup>1</sup>, Christian Keil<sup>1</sup>, and Christian Barthlott<sup>2</sup>

<sup>1</sup>Meteorologisches Institut, Ludwig-Maximilians-Universität, Munich, Germany

<sup>2</sup>Institute of Meteorology and Climate Research (IMK-TRO), Department Troposphere Research, Karlsruhe Institute of Technology (KIT), Karlsruhe, Germany

**Correspondence:** Takumi Matsunobu (Takumi.Matsunobu@lmu.de)

**Abstract.** The relative impact of individual and combined uncertainties of cloud condensation nuclei (CCN) concentration and the shape parameter of the cloud drop size distribution (CDS) in the presence of initial and boundary condition uncertainty (IBC) on convection forecasts is quantified using the ~~operational~~-convection-permitting model ICON-D2. We performed 180-member ensemble simulations for five real case studies representing different synoptic forcing situations over Germany and ~~inspect~~ inspected the precipitation variability on different spatial and temporal scales. During weak synoptic control, the relative impact of combined microphysical ~~perturbations on area-averaged daily precipitation comprises about  $\pm 12\%$  which is around~~ uncertainty on daily area-averaged precipitation accounts for about one-third of the variability caused by operational IBC ~~perturbations. The uncertainty. The effect of~~ combined microphysical perturbations ~~exceed~~ exceeds the impact of individual CCN or CDS perturbations ~~. High CCN concentrations combined with a narrow CDS show the largest decrease in precipitation and is twice as large during weak control.~~ The combination of IBC and microphysical ~~perturbations affect~~ uncertainty affects the extremes of daily spatially averaged rainfall of individual members by extending the tails of the forecast distribution by 5% in weakly forced conditions. The responses are relatively insensitive in strong forcing situations. Visual inspection and objective analysis of the spatial variability of hourly rainfall rates reveal that IBC and microphysical ~~perturbations~~ uncertainties alter the spatial variability of precipitation forecasts differently. Microphysical perturbations slightly shift convective cells but affect precipitation intensities while IBC perturbations scramble the location of convection during weak control. Cloud and rain water content ~~is~~ are more sensitive to microphysical ~~perturbations than precipitation but slightly~~ uncertainty than precipitation and less dependent on ~~the synoptic control. In contrast to the impact on precipitation, an increase in CCN concentration and shape parameter of CDS has a significant positive impact on the formation of cloud water. Combined microphysical perturbations play a dominant role in cloud forecasts with a relative impact ranging between +79% and -62% on daily averaged vertically integrated cloud water, and between +57% and -35% on rain water content in weakly forced conditions. Thus microphysical uncertainty exhibits a relevant impact on cloud and rain water content and precipitation and its impact largely depends on the prevailing synoptic control in mid-latitude warm-season weather forecasts.~~ synoptic control.

# 1 Introduction

Weather forecasts are subject to ~~various~~many sources of uncertainty. The uncertainties originate from~~the chaotic nature of the~~  
25 ~~atmospheric flow, among others,~~ the unknown true state of the atmosphere ~~and an imperfect representation as well as imperfect~~  
~~representations and approximations~~ of physical processes ~~governing atmospheric phenomena~~ in numerical weather prediction  
(NWP) models. ~~To account for the inherent uncertainties, ensemble~~ The chaotic nature of the atmosphere can amplify inherent  
uncertainties leading to reduced forecast accuracy and limited predictability.

Ensemble prediction systems (EPS) ~~are run that include perturbations to represent a range of known and unknown uncertainties~~  
30 ~~throughout the forecasting window. Convective-scale EPS allow the determination and quantification of the relative importance~~  
~~of factors such as errors in the initial conditions, lateral boundary conditions and the model physics. Given the chaotic nature~~  
~~of convection it is often very difficult, not to say meaningless, to associate the sensitivity of convective precipitation forecasts~~  
~~with a certain model perturbation in a deterministic sense. An ensemble facilitates the distinction between systematic effects~~  
~~of perturbations and the chaotic signal.~~

35 ~~One key element in regional EPS represents initial and lateral boundary conditions (IBC) perturbations, which are currently~~  
~~implemented at many national weather services allow us to estimate the forecast uncertainty. In regional, convective-scale EPS~~  
~~there are essentially three key sources of uncertainty. First, initial condition uncertainty is usually implemented by means~~  
~~of variational or ensemble data assimilation systems [Bannister, 2017; Schraff et al., 2016]. The regional EPS are driven~~  
~~by coarser (global)~~ Secondly, lateral boundary condition uncertainty necessary to avoid underdispersion of the ensemble is  
40 mostly provided by coarser ensemble forecasts at ~~the lateral boundaries. Another crucial component in ensemble NWP systems~~  
~~constitutes the formulation of the model error to consider the incomplete description of physical processes and to represent the~~  
~~regular time intervals throughout the forecast horizon. And thirdly, there is the incomplete description of physical processes~~  
~~and the insufficient representation of the subgrid-scale variability.~~ variability in NWP models also known as model error.

One of the crucial benefits of convective-scale models is the possibility to explicitly describe (deep) moist convection and  
45 thus to be able to omit an error-prone parametrisation scheme for deep convection that is a known model error source. Other  
important physical processes that are not resolved in models with kilometre-scale grid spacings and need to be accurately  
represented to forecast convective precipitation comprise boundary-layer turbulence, cloud microphysics and its interaction  
with aerosols [Clark et al., 2016]. In the present study, we inspect the relative impact of a cloud microphysical uncertainty in  
combination with different aerosol concentrations both implemented in a full convective-scale EPS framework including initial  
50 and lateral boundary condition (IBC) uncertainty.

Microphysical processes are essential to ~~forming~~form precipitation. Due to their inherent small spatial and temporal scale  
these processes are not only difficult to observe, but also to understand and ~~to~~ represent in NWP models. Moreover, many  
microphysical processes are insufficiently constrained by observations. The impact of parameter perturbations in microphysics  
~~parametrisation~~parametrisations has been studied extensively with mostly ~~deterministic ideal and real case experiments~~single  
55 deterministic idealized [e.g. *Grant and Heever, 2015; Glassmeier and Lohmann, 2018; Heikenfeld et al., 2019; Chua and Ming, 2020;*  
*Wellmann et al., 2020*] or realistic [e.g. *Bryan and Morrison, 2012; Barthlott and Hoose, 2018; Schneider et al., 2019; Baur et al., 2022*]

simulations using a variety of NWP models and schemes. However, because of the large variability between schemes and cases, results from different systems are difficult to generalise. ~~Investigations using a full convective-scale NWP ensemble system given IBC uncertainty are essential to address the relative impacts of microphysical uncertainty.~~

60 The impact of aerosols on microphysical processes in the formation of convective clouds and precipitation remains highly uncertain. The amount of aerosol in the atmosphere is one of the important factors influencing cloud formation. In general, more aerosol particles, which act as cloud condensation nuclei (CCN), activate condensation and increase the cloud water content while reducing the average size of cloud droplets. Smaller cloud droplet sizes and more narrow cloud droplet size distributions (CDS) inhibit the generation and growth of raindrops primarily caused by the collision-coalescence process, thus  
65 prolonging the lifetime of clouds [Albrecht, 1989]. A smaller droplet size shows a negative impact on precipitation in many cases, but the impact of CCN perturbations on precipitation is not always straightforward, as an increase in CCN provides more cloud water. Systematic responses of varied CCN concentration on precipitation are reported in numerous studies with a large variety depending on the used model and chosen case [Table 1 in Tao and Li, 2016]. For example, Fan et al. [2009] ~~shows negative impacts and its~~ show a negative impact and the dependence on wind conditions in idealised large-eddy simulations  
70 using a bin microphysics scheme ~~in idealised large-eddy simulations~~, while Wang [2005] and Baur et al. [2022] show positive ones attributed to convection enhancement and the suppression of rain evaporation, respectively, using two-moment bulk microphysics schemes with a grid spacing around 2 km. Keil et al. [2019] evaluate the impact of CCN uncertainties on precipitation and find that the spread of CCN-perturbed ensemble forecasts is greater than the impact due to soil moisture. This effect is more pronounced ~~under~~ during atmospheric conditions when the ~~synoptic-scale~~ synoptic-scale forcing is weak.

75 In current operational NWP systems grid-scale microphysical processes are mostly approximated by ~~cost-efieient~~ cost-efficient one-moment bulk microphysics schemes due to the limitation of computational resources. In these parametrisations only the hydrometeor mass is prognostic. In two-moment microphysics schemes, that are ~~currently mostly~~ widely used in research, the number ~~concentrations~~ concentration of hydrometeors can also be predicted. It is therefore possible to calculate mean particle radii at each model grid point and estimate more realistic CDS. The shape of the CDS is controlled by  $\nu$ , the pre-defined  
80 shape parameter. The width of the CDS is not well constrained by observations and previous observational studies revealed a large range of the shape parameter between 0–14 [see e.g. ~~Tab.~~ Table 1 in Igel and van den Heever, 2017a]. Thus the shape of the CDS constitutes a potentially relevant source of microphysical uncertainty to be included in ensemble systems. In general, the broader the CDS the more efficient the collision-coalescence process, since hydrometeor particles of various sizes are present in the atmosphere. Hence the shape parameter perturbation of the CDS affects the cloud lifetime and raindrop growth  
85 as well. The importance of CDS on precipitation forecasts has been evaluated by means of idealised simulations [e.g. Igel and van den Heever, 2017b]. Recently, Barthlott et al. [2022] showed that narrowing of the CDS can produce almost as large a variation in precipitation as a CCN increase from maritime to polluted conditions in realistic simulations.

The ultimate impact of various uncertainties described above varies greatly depending on the prevailing flow conditions. A successful approach to classify convective precipitation regimes is to focus on the strength and type of forcing that is driving  
90 convection. An objective measure for such a classification constitutes the convective adjustment time scale  $\tau_c$  that provides a time scale over which CAPE (Convective Available Potential Energy) is consumed by precipitation. In strong synoptic forcing

situations, when ascending motions caused by the synoptic scale flow lead to precipitation and the continuously produced CAPE is consumed immediately, the regime is in a kind of equilibrium, in which  $\tau_c$  attains small values. On the other hand, in a weak synoptic forcing situation, CAPE accumulates until local phenomena that can initiate convection occur and precipitation shows an intermittent character. In this situation,  $\tau_c$  can temporarily increase, especially before the initiation of convective precipitation in the afternoon. The strength of the synoptic control is found to influence the predictability and the impact of different types of perturbations on precipitation [Flack *et al.*, 2016, 2018; Keil *et al.*, 2019; Weyn and Durran, 2019].

The goal of the present study is to estimate the relative importance of ~~certain microphysical uncertainties in view of the variability given by operational IBC conditional to synoptic control in microphysical uncertainties on precipitation in the presence of IBC uncertainties conditional on different synoptic control across~~ central Europe. The microphysical perturbations comprise ~~different CCN concentrations and shape parameters of CDS~~ three different aerosol concentrations and three different shape parameters governing the cloud droplet size distribution (CDS). We conduct ~~real case ensemble experiments~~ 180-member ensemble experiments using an operational convective-scale NWP system for five days in August 2020 ~~in different synoptic control situations using an operational NWP ensemble system~~ during different weather conditions. Specifically, the following research questions are addressed in this study:

- ~~How large is the~~ What is the relative impact of individual and combined microphysical uncertainties on convective precipitation forecasts at different spatial and temporal scales?
- How weather regime dependent is that impact?
- What is the impact on convective clouds and does the impact on cloud content translate into a comparable impact on precipitation?

In the remainder of the paper we present the numerical model and the experimental design that allows for the examination of the relative impact based on different subsampling approaches (Sect.2). Following the description and classification of the weather situations in Sect.3 we present results of precipitation forecasts and different spatiotemporal scales in the next Section. This is complemented by a brief discussion of the relative impact on cloud and rain water. Before concluding with a summary in Sect.5 we present aggregated results encompassing five cases.

## 2 Model and Experimental design

### 2.1 Model description

The numerical simulations are performed with the ~~ICON-D2~~ ICON (ICOsahedral Non-hydrostatic, version 2.6.2.2) model ~~that covers in its limited-area mode ICON-D2 covering~~ central Europe (see Fig. ~~-2~~) ~~and is operationally used at the -2~~). The ICON-D2-EPS is the operational ensemble NWP system at Deutscher Wetterdienst (DWD) since February 2021. ~~2021~~ [D. Reinert *et al.*, 2021]. We use an almost equivalent configuration with a few exceptions described below. ICON-D2 employs

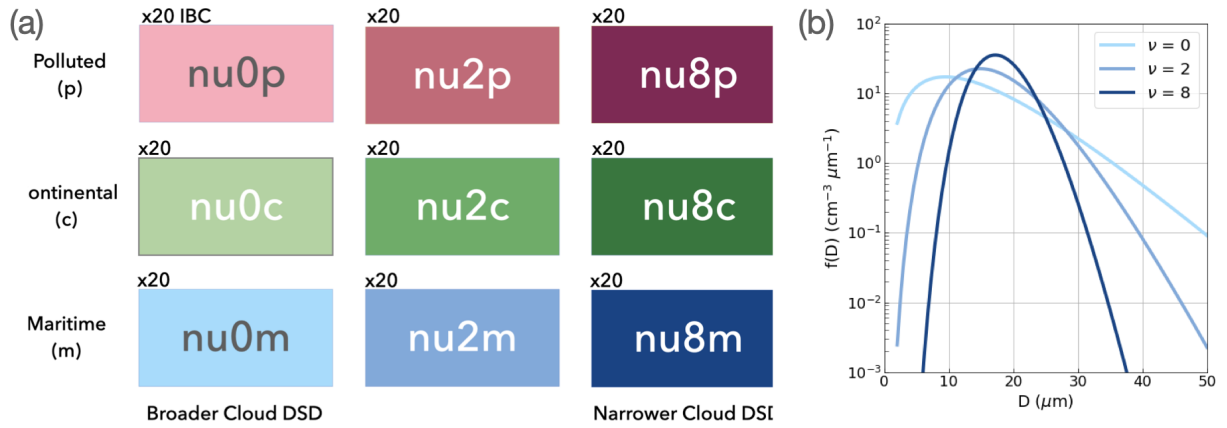
an icosahedral-triangular Arakawa-C grid with a grid spacing of 2 km (542040 grid points) and 65 vertically discretised layers from the ground to 22 km above mean sea level. Its dynamical core is based on the non-hydrostatic equations for fully compressible fluids as governing equations (see Zä [2015] for the details). Different from the operational configuration, the two-moment bulk microphysics scheme [Seifert and Beheng, 2006] is used to be able to investigate the impact of number densities and the size distributions of cloud water droplets ~~-.The ICON-D2 set-up is identical to Barthlott et al. [2022]~~[by perturbing the CCN concentration and shape of CDS, respectively, as in Barthlott et al., 2022]. Note that the operationally used parameter perturbations in ICON-D2-EPS are turned off here to purely focus on the ~~microphysical perturbations representing impact of microphysical perturbations that exclusively represent~~ the model error in the present study.

## 130 2.2 ~~Perturbation~~ Experimental design

To investigate the influence of uncertainties of CCN ~~density-concentration~~ and the shape of the CDS ~~-,ICON-D2-EPS experiments with 180 members in total for each case, consisting of in the presence of characteristic IBC uncertainty, we perform numerical experiments using~~ 20 different IBC, ~~3-three~~ different CCN concentrations, and ~~3-three~~ different shape parameters of CDS ~~are performed (see experimental design in yielding in total a 180 member ICON-D2 ensemble~~ (Fig. 1a). A simple selection of different sub-ensembles sharing the same uncertainty allows us to quantify the relative impact of the various uncertainties. To focus on the combined impact of the microphysical perturbations, for instance, we can inspect 20 microphysical sub-ensembles consisting of 9 members each sharing the same IBC but different combinations of CCN and CDS parameters (MP sub-ensemble). To focus on the impact of the IBC uncertainties, we have 9 IBC sub-ensembles available consisting of 20 members each (IBC sub-ensemble).

140 The initial conditions of the IBC uncertainty are provided by pre-operational analyses produced by ICON-D2-KENDA (Kilometer-scale ENsemble Data Assimilation [Schraff et al., 2016]). In August 2020 conventional measurements like radiosonde, aircraft, and ground-based observations were assimilated in ICON-D2-KENDA using the Local Ensemble Transform Kalman Filter [LETKF; Hunt et al., 2007]. ICON-D2-KENDA produces 40-member ensemble analyses, while the first 20 analyses are used as initial conditions for ~~ICON-D2-EPS-ICON-D2 ensemble~~ forecasts (as in operations at DWD) with ~~24-hour~~ 24-hour lead time due to limited computational resources. Lateral boundary conditions are based on ensemble ICON global and EU-nest simulations initialised 3 hours before the initial time of the ~~ICON-D2-EPS-ICON-D2 ensemble~~ experiments. The initial conditions for the global and EU-nest simulations are the operational analyses provided by DWD with a grid spacing of 40 km for the global domain and 20 km for the nested EU domain. Different from our ~~ICON-D2-EPS-ICON-D2 ensemble~~ simulations the one-moment microphysics scheme and the convection parametrisation for deep and mid-level convection are active in the ICON global and EU-nest. The lateral boundary conditions are updated hourly using data of the EU-nest forecasts at ~~the~~ lead times from 3 to 27 hours.

To examine the microphysical uncertainty we perturb the width of the cloud droplet size distribution (CDS) and the amount of aerosol in the atmosphere by altering the CCN concentration. In the Seifert and Beheng [2006] scheme, CCN activation rates are calculated using a lookup table of activation rates empirically estimated by Segal and Khain [2006]. To take insoluble CCN into account, certain portions of CCN are not activated depending on their particle sizes [Seifert and Kö,



**Figure 1.** (a) Design of microphysically perturbed ensemble experiments. The colours used throughout the article indicate the nine different 20-member IBC sub-ensembles sharing the same combination of CCN and CDSD parameters. (b) Cloud drop size distribution with different shape parameter  $\nu$  at fixed cloud water content ( $QC = 1 \text{ g m}^{-3}$ ) and cloud droplet number concentration ( $QNC = 300 \text{ cm}^{-3}$ ).  $D$  denotes the diameter of the droplets.

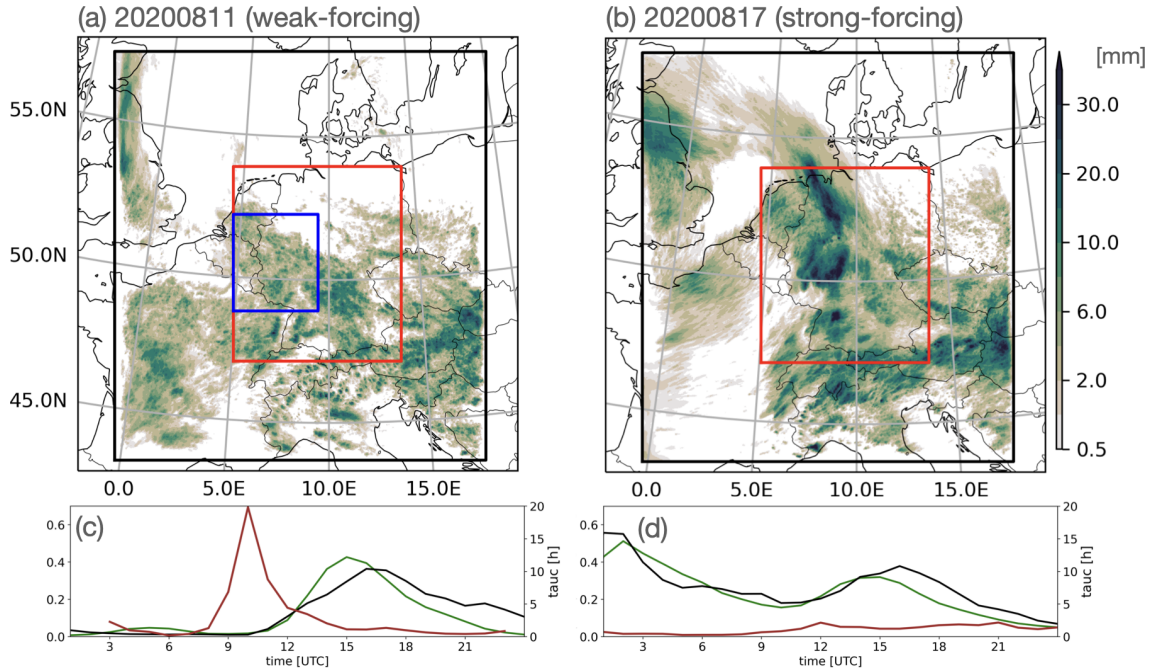
2012]. Consistent with *Barthlott et al.* [2022] we vary CCN concentrations between pristine conditions and extremely polluted conditions. We employ three CCN concentrations: maritime ( $N_{CN} = 100 \text{ cm}^{-3}$ ), continental ( $N_{CN} = 1700 \text{ cm}^{-3}$ ), and polluted ( $N_{CN} = 3200 \text{ cm}^{-3}$ ). The 'maritime' emulate clean, pristine conditions that have quite small numbers of CCN like over the sea. The 'continental' is the default setting that mimics the observed CCN concentrations for the European continental regions [*Hande et al.*, 2016]. The 'polluted' represents extremely polluted situations caused by, for example, massive wildfires and considerable anthropogenic emissions. ~~Groups of ensemble members (called~~ The different CCN sub-ensembles ~~)~~ that share the same CCN concentration are named with suffixes m(aritime), c(ontinental) and p(olluted), as shown in Fig. 1a.

The size distribution of hydrometeors is approximated using the following generalised gamma distribution

$$f(x) = Ax^\nu \exp(-\lambda x^\mu) \quad (1)$$

165 where  $A$  is dependent on the number density of hydrometeor particles and  $\lambda$  is a coefficient dependent on the average particle mass. The coefficients  $\nu$  and  $\mu$  are parameters that are pre-defined and fixed throughout a simulation. For example, with  $\mu = \frac{1}{3}, \nu = -\frac{2}{3}$ , we can obtain the so-called Marshall-Palmer distribution of raindrops. In this study we control the widths of the particle size distributions by varying the shape parameter  $\nu$  (for details see *Barthlott et al.* [2022]). With increasing  $\nu$  the CDSD becomes narrower and more skewed as shown in Fig. 1b, which means the number ~~densities-concentrations~~ of particles close to the mean size increase. In this study  $\nu$  is varied between 0, 2 and 8 to cover a wide spectrum of the possible shape parameter values (as in *Wellmann et al.* [2020]; *Barthlott et al.* [2022]; *Baur et al.* [2022]). Note that the default setting is the broadest CDSD  $\nu = 0$ . Since the parameters describing the CCN concentration and the shape of CDSD are kept temporally and spatially constant throughout the simulation, they rather represent model error due to the incomplete description of physical processes than subgrid-scale variability.





**Figure 2.** Daily accumulated precipitation on (a) a ~~strongly-weakly~~ forced day (~~17-11~~ August 2020) and (b) a ~~weakly-strongly~~ forced day (~~11-17~~ August 2020). Ensemble mean daily totals of the IBC sub-ensemble ~~with nu0e-microphysics-nu0c~~ are shown. The black rectangles indicate the ICON-D2 simulation domain, the red rectangles depict the German domain used for evaluation, and the blue rectangle depicts the central-western German domain used to inspect the spatial variability of rainfall patterns in Fig. 5. ~~(c,d)~~ The time series of area-averaged hourly ~~sub-ensemble-mean~~ precipitation (green) and the convective adjustment time scale  $\tau_c$  (red) complemented by the radar observed data (black) illustrate the different characteristics of both days ~~in panels (c,d)~~.

### 175 3 Weather situation and ~~case-description~~classification of cases

Two typical cases are selected for an in-depth investigation of the relative importance of the different uncertainties conditional ~~to-synoptic-control-~~on synoptic control. On 11 August 2020, the precipitation texture shows a spotty distribution over southern Germany characteristic of convective precipitation in weak forcing situations (Fig. 2a). In a weak potential equivalent temperature gradient across central Europe (not shown) local trigger mechanisms (like convergence lines in the boundary layer   
180 caused by orography) initiate localised intense convection. The diurnal cycle illustrates the typical development of convective precipitation starting with little precipitation in the morning and peak precipitation in the afternoon (green line in Fig. 2c). The daily maximum value of  $\tau_c$  peaks at about 20 hours (red line in Fig. 2c), exceeding the 6 hour threshold used in previous work to distinguish different synoptic control in Europe [Keil et al., 2014, 2019; Kü, 2014; Baur et al., 2018; Flack et al., 2018].

The 17 August 2020 represents a strong forcing situation associated with a weak low pressure system located over France that   
185 moved eastward towards Germany (not shown). The cyclonic flow favoured large-scale ascent initiating convection, especially over the western part of Germany, resulting in widespread precipitation (Fig. 2ab). There was rainfall from the start of the

**Table 1.** List of case studies for which 180-member ~~ICON-D2-EPS~~ ICON-D2 ensemble experiments were performed, indicating the date, the type of synoptic forcing, the daily maximum convective adjustment time-scale ( $\tau_c$ ), and ~~total-daily~~ precipitation (TP) of IBC sub-ensemble mean ~~of control~~ ( $TP^{IBC}$ ) ~~for default~~ (~~nu0e~~nu0c) and the ~~IBC-sub-ensemble-one~~ with maximum and minimum daily precipitation and its ~~respective-microphysical combination~~ configuration, respectively.

Date	Forcing	$\tau_c$ [h]	Mean precipitation [mm/d]		
			control	maximum	minimum
11 August 2020	weak	20	2.67	2.95 ( <i>nu8m</i> )	2.42 ( <i>nu8p</i> )
12 August 2020	weak	7	1.58	1.73 ( <i>nu8m</i> )	1.45 ( <i>nu8p</i> )
13 August 2020	strong	3	3.72	3.90 ( <i>nu8m</i> )	3.60 ( <i>nu2p</i> )
17 August 2020	strong	2	5.72	6.00 ( <i>nu8m</i> )	5.51 ( <i>nu8p</i> )
18 August 2020	weak	6	3.79	4.07 ( <i>nu0m</i> )	3.51 ( <i>nu8p</i> )

forecast, and the heaviest rainfall occurred at night followed by a gradual reduction of precipitation until noon (green in Fig. 2ed). In the afternoon, there was a secondary peak of convective precipitation between 11 and 18 UTC. The daily maximum  $\tau_c$  is less ~~about~~ than 2 hours on 17 August 2020 (Table 1, and red line in Fig. 2a and ~~Table 1d~~). Such low values indicate that  
190 CAPE was immediately consumed by a continuous triggering of convection caused by synoptically forced ascending motion characteristic in a so-called equilibrium regime.

~~On 11 August 2020 the precipitation texture shows a spotty distribution over southern Germany characteristic of convective precipitation in weak forcing situations (Fig. 2b). In a weak potential equivalent temperature gradient across central Europe (not shown) local trigger mechanisms (like convergence lines in the boundary caused by orography) initiate localised intense~~  
195 ~~convection. The diurnal cycle nicely illustrates the typical development of convective precipitation starting with little precipitation in the morning and peak precipitation in the afternoon (green line in Fig. 2d). The daily maximum value of  $\tau_c$  peaks at about 20 hours (red line in Fig. 2d), exceeding the 6 hour threshold used in previous work to distinguish different synoptic control in Europe Keil et al., 2014, 2019; Kü, 2014; Baur et al., 2018; Flack et al., 2018.~~

The comparison of the precipitation time series with area-averaged radar observations indicates the realism and fidelity  
200 of the ~~ICON-D2-EPS~~ ICON-D2 ensemble forecasts (Fig. 2c,d). Characteristic values of the remaining three cases and their classification are presented in Table 1.

## 4 Results

~~This section is structured in a scale-dependent manner. We start with broad scales inspecting area-averaged and 24-hour accumulated precipitation (total precipitation; TP) forecast of the~~ To assess the relative contributions of the various uncertainties  
205 we extract different sub-ensembles from the large 180-member ensemble ~~for two cases~~. First we focus on ~~the individual absolute amounts and their difference with respect to a~~ 9-member MP sub-ensembles in which each of the sub-ensemble ~~mean spanned~~

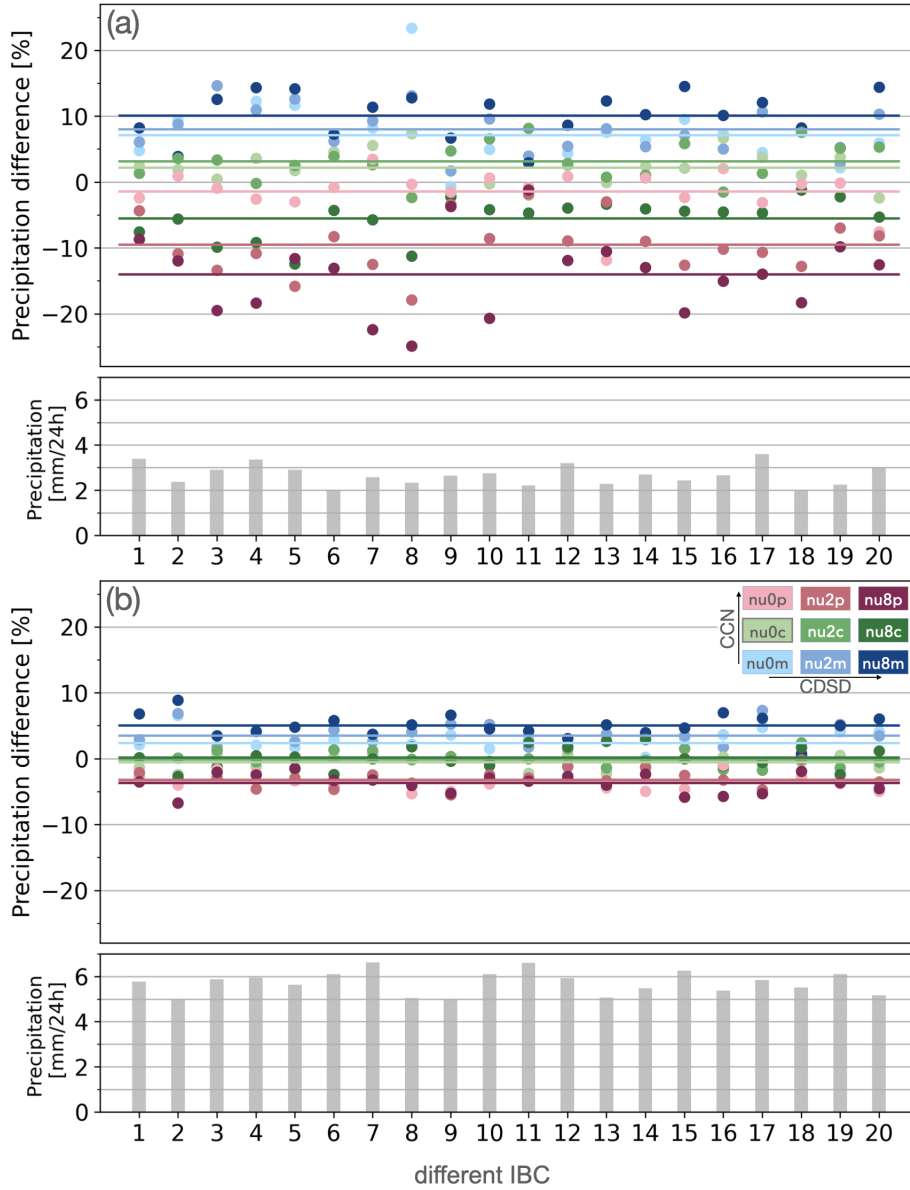


by diverse IBC. This is followed by relative differences stratified by the various uncertainties. The examination of the spatial variability rests upon finer space and time scales. The visual and objective investigation is based on the location of hourly rainfall rates of individual members. The discussion of the impact on cloud and rain water content is exemplified with area-averaged 24-hour mean values of the nine IBC members has different combinations of CCN and CDSD parameters but identical IBC to examine the relative contribution of the combined microphysical (MP) perturbations on precipitation. Since there are 20 IBC in the entire ensemble, there are 20 different MP sub-ensembles sharing the same microphysical setting for the weakly forced case. Finally statistics covering all five cases are presented with nine members each. Likewise, there are nine 20-member IBC sub-ensembles, with one fixed combination of MP perturbations but 20 different IBC. This different subsampling perspective allows drawing conclusions on the relative impact of IBC uncertainty. Lastly, there are three 60-member CCN and CDSD sub-ensembles, respectively, that inform on their individual contribution.

#### 4.1 Domain-averaged daily Daily area-averaged precipitation

The total precipitation of all individual To estimate the impact of the combined microphysical uncertainty we first focus on 9-member microphysics (MP) sub-ensembles subsampled from the entire 180-member ensemble. The 24-hour accumulated area-averaged precipitation forecast of all 180 ensemble members is displayed in a scatter diagram for the prototype strong and weak forcing case. Fig. 3 shows the individual precipitation totals against the relative difference of any member to its respective microphysics shown in Fig. 3 for a synoptically weak and a strong forcing case to contrast the flow-dependent behaviour. Every dot represents the precipitation difference of a single ICON-D2 forecast to its sub-ensemble mean values sharing the same IBC. For instance, the relative difference of all nine members with combined microphysical perturbations but sharing the same IBC of member 17 range from -13% (nu8p experiment, TP=3.1 mm/d) to +11% (nu8m, TP=4.0 mm/d) given a sub-ensemble mean of  $TP^{MP}=3.6$  mm/d (connected dots highlighted by dashed ellipse). Since there are 20 different MP sub-ensembles composed of nine microphysically perturbed members (colour coded as in Fig. 3b). At first sight and independent of the prevailing weather situation, the IBC perturbations largely control the precipitation amount. However, there is a systematic influence of microphysical perturbations on the daily totals. Ensemble members with low CCN concentration, that is clean conditions, show a positive impact on precipitation amount (blueish in Fig. 3). Increasing CCN concentration yields less and less precipitation (pinkish-1a) the 180 dots illustrate the overall variability. Apparently the impact of microphysical uncertainty is larger during weakly forced conditions, and there is surprisingly high variability between the different MP sub-ensembles, in particular during weak control. The largest and smallest range of precipitation differences amounts to 48% (+23% to -25%) and 11% (+7% to -4%), respectively (compare members 8 and 9 in Fig. 3).

a). During strong synoptic control the daily rainfall sums range from 4.6 mm/d to 6.9 mm/d for all 180 experiments (Fig. 3a). Looking at the extremes of the individual microphysics sub-ensembles (connected by dashed lines) reveals that these mostly comprise members with low or very high CCN contents (sub-ensembles nu8m (dark blue) and nu8p (dark pink)), but both having the narrowest CDSD, differences amount to 16% (+9% to -7%) and 4% (+2% to -2%), respectively (compare member 2 and 18 in Fig. 4a) pointing towards the dominant influence of the CCN content among the combined microphysical perturbations. The mean impact of continental CCN concentrations to the sub-ensemble mean is on average close to 0%;



**Figure 3.** Scatterplot of total precipitation (TP) and relative difference of TP daily area-averaged precipitation [in %] regarding the with respect to combined microphysics-microphysical (MP) sub-ensemble mean-means sharing the same initial and lateral boundary conditions (IBC) for the (a) strong-weak and (b) weak-strong forcing case. The nine coloured dots-columns below indicate absolute precipitation values of the members belonging to the IBC-20 different MP sub-ensemble with the same microphysics-means. The nine colours indicate all combinations of microphysical configurations (as in Fig. 1a), and connected dots with thin dashed-lines indicate the members belonging to 20 microphysics sub-ensembles with identical IBC. The coloured lines show mean-average relative differences of IBC-sub-ensembles. The dashed-ellipse highlights member 17 discussed in the text them.

and those of maritime and polluted CCN concentrations show +3.5% and -3.5%, respectively. In contrast, the different shape parameters of CDSO show a non-systematic impact that is on average within  $\pm 2\%$ . (3b).

In the weak forcing situation, we find the same systematic responses to CCN concentrations, while average amplitudes of the microphysics' impact become larger than during strong control: increase of CCN from pristine (sub-ensemble nu8m)

245 ~~to very~~ Furthermore, it is possible to assess the different microphysical impact on precipitation. The average precipitation differences caused by MP perturbations are displayed by coloured lines in Fig. 3, for instance, experiment *nu8m* (narrow CDSO and maritime CCN content, dark blue) exhibits the largest precipitation deviations in both regimes. More generally, experiments with maritime aerosol load (low CCN content, blue) show an increase in precipitation, while the experiments with high CCN concentrations (polluted, red) show a decrease. Increasing the CCN concentration from maritime (*nu8m*) to  
250 polluted conditions with ~~the narrow CDSO (sub-ensemble nu8p) in Fig. 3)~~ decreases relative precipitation from narrow CDSO shape (*nu8p*) amplifies average precipitation differences to +11% ~~to and~~ -14% ~~for in~~ the weak forcing case, respectively (+5% to -4% ~~for in~~ the strong forcing case). ~~Shape parameters~~ A comparison between the lines having the same colours but different darkness shows that the shape parameter of CDSO also ~~exhibit~~ exhibits a systematic impact in the weak forcing situation (e.g. light red (*nu0p*) and dark red (*nu8p*) line in Fig. 3a), whereas a CDSO's impact is hardly seen in the strong forcing  
255 situation. Narrower CDSO distributions give less precipitation, particularly during polluted conditions (*nu8p*, dark red). The larger sensitivity to CDSO during weak synoptic control and a systematic decrease of precipitation with increasing shape parameter is consistent with Barthlott et al. [2022]. During strong synoptic control the average relative difference is governed by the CCN concentration (Fig. 3b).

In both cases, close inspection of individual members sharing identical IBC shows a large uncertainty of the combined  
260 microphysics' impact (see e.g. light and medium green dots of highlighted member 17). The governing role of IBC perturbations on precipitation is evident when comparing the sub-ensemble mean precipitation amounts of the 20 different MP sub-ensembles. During weak control the variability ranges between 1.9 and 3.6 mm/d, whereas it ranges between 5.0 and 6.6 mm/d during strong synoptic control (lower panels in Fig. 3b) ~~up to a reversal of the order of microphysical perturbed runs in terms of precipitation sums. Both aspects, the strong sensitivity to IBC (spread in IBC sub-ensemble, e.g. dark blue dots of sub-ensemble~~  
265 ~~nu8m) in combination with the large variability within individual microphysics).~~ This variability is purely caused by IBC uncertainty driving the 20 different MP sub-ensembles ~~illustrate~~. The similar amplitude of the variability (1.7 vs 1.6 mm/d) suggests a larger impact of IBC uncertainty during weak control when the absolute rainfall values are roughly only half as large. There is no systematic relationship between the precipitation amount and the amplitude of relative differences during both regimes. That means the microphysical impact is not constrained by daily precipitation amounts.

270 Interestingly, a closer inspection reveals that different IBC can completely reshuffle the rank of the individual members in a specific MP sub-ensemble. For instance, experiments with modest aerosol content but different shapes of the CDSO show extremes for member 11 during weak control (*nu8c* (dark green) shows the largest negative and *nu2c* (medium green) shows the largest positive impact, Fig. 3a) This non-systematic and highly varying response of precipitation to perturbed microphysical parameters of individual ICON-D2 experiments points towards a strong sensitivity to IBC. This finding illustrates  
275 the necessity to be cautious when interpreting results based on a deterministic approach only to evaluate uncertainty.

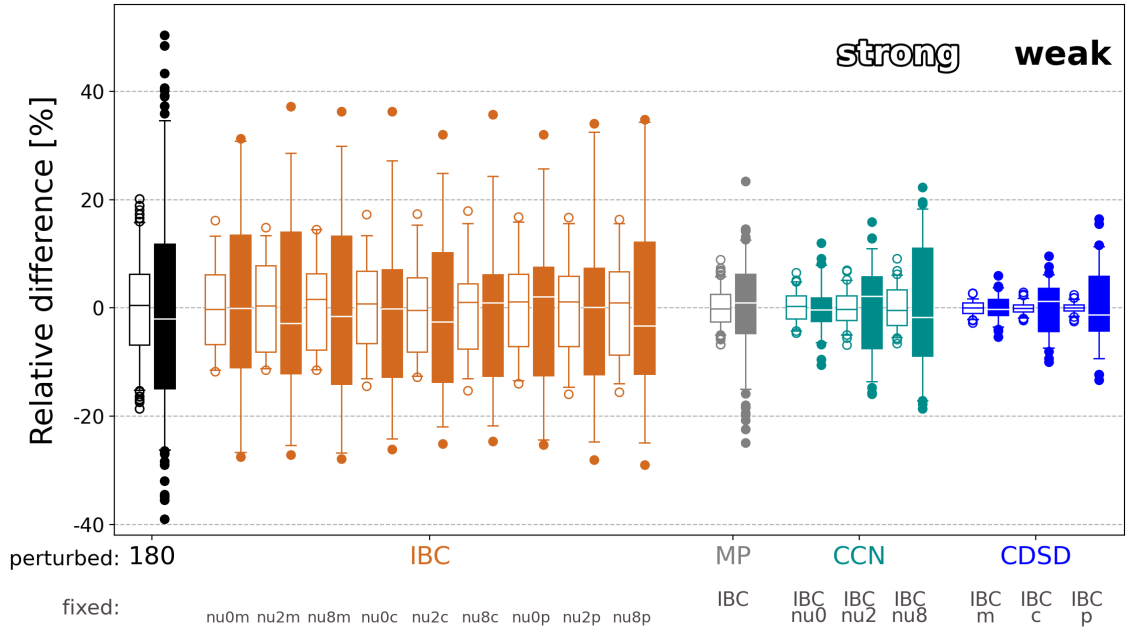
Statistics of relative differences of total precipitation (TP) of the members belonging to various (sub-)ensembles. The perturbations (upper x labels in colour) and different fixed configurations (x labels below) are indicated. The bars, boxes, whiskers and dots show medians, interquartile ranges, 5th and 95th percentiles and outliers, respectively. Open boxes represent strong synoptic control (17 August), filled boxes weak control (11 August). 180 (MP) is the abbreviation of the full (combined microphysics) ensemble.

The overall response of domain and daily averaged precipitation sums to Next, we further compress the data to directly compare and quantify the relative contribution of the various sources of uncertainty is summarised using boxplots in Fig. 4. Relative differences are calculated by subtracting a sub-ensemble mean sharing the same unperturbed parameters from each of the sub-ensemble members. For example, relative differences of full 180-member ensemble (black bars conditional on the weather regime. The resulting relative daily area-averaged precipitation differences of various subsampling strategies are displayed in Fig. 4) are ~~calculated using all 180 members, those of the combined microphysics~~. We again calculated the deviations with respect to a sub-ensemble (grey bars in Fig. 4) are calculated using 9 members using identical IBC but different combinations of CCN and CDSD parameters mean, for instance, the nine different 20-member IBC sub-ensembles are shown by orange box and whisker diagrams depicting the medians, interquartile ranges, 5th and 95th percentiles and outliers.

First, it becomes evident that the magnitude of the impact of the various uncertainties largely depends on the synoptic control. The ~~180-member ensemble including IBC and microphysical uncertainty shows the largest variability during weak control in agreement with previous studies Barthlott and Hoose, 2018; Schneider et al., 2019; Keil et al., 2019. The extremes in daily precipitation of individual members deviate from the ensemble mean by +50% to -40%, with an interquartile range of  $\pm 15\%$ . The IBC sub-ensembles show a maximum remarkable range of +38% to -30% in daily precipitation sums during the weak forcing situation (filled orange dots of IBC in Fig. 4).~~ Although their medians and interquartile ranges have some variability among the different microphysics configurations, no systematic dependence is found and the variability between the ~~9-nine~~ IBC sub-ensembles is statistically insignificant. A corresponding behaviour is found for the strong forcing case with smaller amplitudes between +15% and -12% (open orange dots in Fig. 4).

Secondly, the ~~combined synergistic effect of microphysical perturbations (grey dots and boxes colour in Fig. 4) show a maximum relative impact of ranges between +22% to and -25% for the weak forcing case, and  $\pm 10\%$  for the strong forcing case. Note that the relative difference of the 20 different MP sub-ensembles (with nine members each), previously discussed in detail (Fig. 3), are collapsed into one column here.~~

The individual microphysical perturbations consequently result in three sub-ensembles (with 60 member each) denoted CCN and CDSD sub-ensemble, respectively. Interestingly, the impact of individual CCN perturbations ~~show~~ shows a clear dependence on the CDSD shape, and vice versa. CCN's impact is smallest ( $\pm 10\%$ ) with a broad distribution (shape parameter  $\nu = 0$ ), and increases to a range of +22% to and -20% with narrower distributions (increase of shape parameter). The impact of CDSD perturbations also increases with an increase of the CCN concentration. This steady increase of impact is also found in the CCN concentrations during strong forcing, while the shape of CDSD shows a small sensitivity only. Precipitation reacts more sensitive to microphysical perturbations during weak ~~control~~ synoptic control. In this situation the interquartile range of the combined MP sub-ensemble (grey box) becomes smaller than those of the CCN sub-ensembles with fixed shape parameters



**Figure 4.** Box and whisker diagramme showing the relative differences of daily area-averaged precipitation of individual ICON-D2 members belonging to various (sub-)ensembles. The perturbations (x labels in colour) and different fixed configurations (grey x labels) are indicated. 180 is the abbreviation of the entire ensemble, IBC, MP, CCN and CDSO for the different sub-ensembles. The bars, boxes, whiskers and dots show medians, interquartile ranges, 5th and 95th percentiles and outliers, respectively. Filled boxes represent weak control (11 August) and open boxes strong synoptic control (17 August).

(cyan boxes for fixed  $\nu = 2$  and 8) corresponding to a narrower CDSO. Thus adding CDSO perturbations to CNN uncertainty renders the probability density function of the relative impact sharper and leads to an extension of the tails of the distribution (grey dots of MP sub-ensemble).

315 Finally, the 180-member ensemble including IBC and microphysical uncertainty shows the largest variability during weak control. Conditional on the weather regime the extremes in daily precipitation of individual members deviate from the ensemble mean by +50% to -40% with an interquartile range of  $\pm 15\%$ . Interestingly the interquartile range as well as the 5th and 95th percentiles of the 180-member ensemble are similar to pure IBC uncertainty (cmp. black and orange box and whiskers. Again, microphysical uncertainty particularly affects the tails of the distribution (that are 10% of the members represented as dots in Fig. 4).

320 In summary, IBC uncertainties dominate the impact on ~~total~~ precipitation, while ~~the combined~~ microphysical uncertainties play a secondary role. CCN has a larger impact than CDSO. ~~Collective Combined~~ perturbations of CCN and CDSO enhance each other and show larger extremes in ~~rainfall totals~~ precipitation than individual CCN and CDSO perturbations. However, the interquartile range becomes smaller than those of the CCN sub-ensembles with fixed shape parameters ( $\nu = 2$  and 8) corresponding to a narrower CDSO. While the interquartile range of the 180-member ensemble and the individual IBC sub-

325 ensembles is similar ~~(between +10% and -15%), the extremes in the 180-member,~~ the extreme members in the full ensemble surpass the IBC variability by +15% and -10%. Thus, the combination of IBC and microphysical uncertainty affects the magnitude of the extremes while keeping the interquartile range fairly unaffected.

## 4.2 Spatial variability based on hourly rainrates

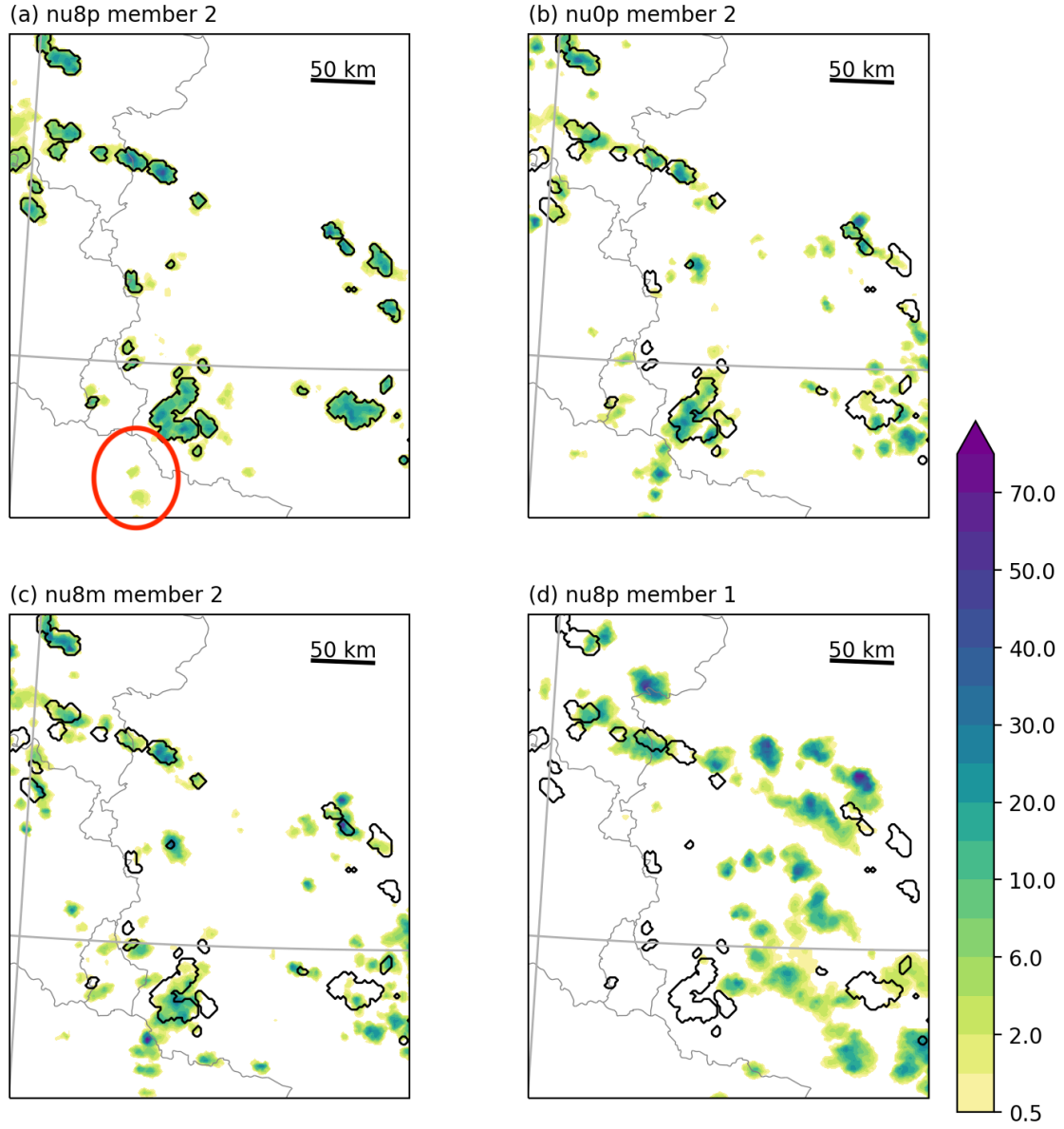
To address the question of how IBC and microphysical uncertainties affect convective precipitation on different spatiotemporal  
330 scales we now move from area averages to the kilometre scale and from daily to hourly accumulations. The fractions skill score [FSS; *Roberts and Lean*, 2008] and its variant believable scale [*Dey et al.*, 2014; *Bachmann et al.*, 2020] are used to objectively assess differences in spatial variability caused by different sources of uncertainty. But first we apply subjective visual inspection on selected precipitation fields to illustrate differences.

In Fig. 5 a snapshot of hourly precipitation over central western Germany ~~at 16 UTC~~ (blue box in Fig. 2a) for the weak  
335 forcing case (11 August) at 16 UTC exemplifies the different impact of IBC and microphysical perturbations. This day is chosen because of the stronger impact of the perturbations during weak synoptic control, and 16 UTC represents the time of maximum afternoon precipitation within the diurnal cycle of convective precipitation (see Fig. 2**bc**), and the displayed subdomain clearly depicts the typical popcorn-type precipitation structure. In Fig. 5 the transient character of individual cells is juxtaposed for four different experiments: three of them share the identical IBC (panels a, b and c), CCN concentration ~~and~~  
340 ~~shape parameters of CDS~~ (panels a, b and d) and shape parameter of CDS (panels a, c and d), respectively.

At first glance, it becomes evident that the microphysical perturbations result in a similar rainfall distribution (Fig. 5a, b, c), whereas the member driven with different IBC shows a considerably different rainfall field (Fig. 5d). The direct comparison of the location of intense precipitation caused by the different perturbations relative to the 99th percentile of simulation ~~nu8p~~ nu8p (black contours in Fig. 5) shows that convective cells of simulations ~~nu0p-nu0p~~ (broad CDS, polluted) and ~~nu8m~~ nu8m (narrow CDS, maritime) are either at the same location or in ~~the close~~ the close vicinity. Some weak rain cells (~~like in the~~ e.g. southeast of Luxemburg, red circle in Fig. 5a) are intensified by decreasing CCN and shape parameters of CDS, thus in agreement with the spatiotemporal integrated rainfall signal discussed in the previous section. Positions of strong rain cells are shifted by the CCN perturbation at a scale of 20-30 kilometres, whereas an increase of the shape parameter of CDS hardly shows a clear ~~difference. The relatively small impact of CDS perturbations in maritime CCN conditions is consistent~~  
350 ~~with earlier findings discussed in Fig. 3 and Fig. 4 impact.~~ The visual inspection of many scenes of hourly rainfall caused by convective cells confirms the systematic behaviour of microphysical perturbations with stronger precipitation ~~in with~~ in with low CCN concentration and broad CDS ~~conditions shapes~~ (not shown).

To briefly summarise the visual inspection, we can state ~~, that in a clean CCN environment, CDS perturbations do not significantly affect the location of strong precipitation, whereas CCN perturbations shift the location by a few tens of~~  
355 ~~kilometres. However, in that in~~ polluted CCN conditions ~~, both CCN and CDS perturbations have an impact on impact the~~ spatial variability at almost the same scale. While microphysical perturbations keep the general spatial structure, IBC perturbations largely alter the position of convective cells. Thus microphysical perturbations primarily impact the precipitation amount by changing their precipitation intensity rather than by feedback on dynamical fields and triggering new cells. Visual





**Figure 5.** Snapshot of hourly precipitation at 16 UTC for the weak forcing case (11 August). Member 2 of IBC sub-ensembles (a)  $\text{nu8p-nu8p}$ , (b)  $\text{nu0p-nu0p}$ , (c)  $\text{nu8m-nu8m}$  and (d) member 1 of  $\text{nu8p-nu8p}$  in the central western part of Germany (see blue box in Fig. 2). Black contours indicate grid points that have a larger value than the 99th percentile value in the  $\text{nu8p-nu8p}$  sub-ensemble of member 2. The red circle in (a) indicates single convective cells discussed in the text.

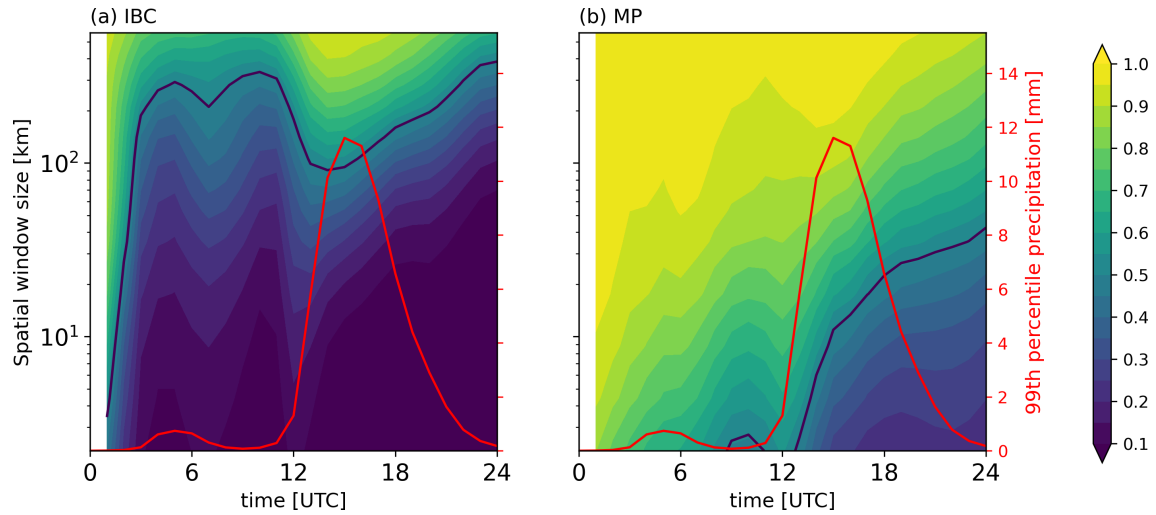
inspection of rainfall patterns of the strong forcing case results in similar findings: minor shifts of rain cells in microphysics  
 360 sub-ensembles and a smaller impact of CDSO perturbations (not shown).

To quantify the spatial (dis-)agreement of hourly precipitation fields in the various simulations we employ the FSS, a spatial  
 score that shows the similarity between two binary fields (denoted  $A$  and  $B$ , two distinct sub-ensemble members in our case),  
 within a predefined neighbourhood scale. The definition of the FSS is given by

$$FSS = 1 - \frac{\sum (f_A - f_B)^2}{\sum f_A^2 + \sum f_B^2} \quad (2)$$

365 where  $f_A$  and  $f_B$  represent the fraction of rainy grid points in fields  $A$  and  $B$ , respectively, at which the precipitation amount  
is above a certain threshold value. The second term on the right-hand side is the ratio of the mean squared error (MSE) of the  
fraction fields  $A$  and  $B$  to the maximum possible MSE [Roberts and Lean, 2008]. If the number of grid points with a value of  
 1 within a certain neighbourhood of ~~each~~ a grid point is equal between two fields, the FSS is 1.0, which means the compared  
 two fields are identical. FSS becomes ~~small~~ smaller as the difference between two fields gets larger, and it becomes 0.0 when  
 370 only one of the fields has values and the other has a complete miss in the respective neighbourhood. In this study, we use the  
 99th percentile of hourly precipitation as the threshold to generate a binary field to take into account the strong diurnal cycle of  
 rainfall intensity and to keep the number of grid points used for FSS calculation constant, ~~and the~~. The 99th percentile seems  
~~a good threshold to well capture positions of convective cores~~ is useful to capture the position of convective cells (see contours  
 in Fig. 5). ~~The neighbourhood size is varied~~ FSS is calculated over Germany with neighbourhood sizes varying from 2.2 km  
 375 (1 grid point) to 563.2 km (256 grid points) ~~and FSS is calculated over Germany~~. Since FSS is a score calculated between two  
 fields, we need to carefully consider how to compute an ensemble FSS. Following Dey *et al.* [2014], we calculate the FSS for  
 all combinations of ensemble members belonging to a sub-ensemble. For instance, FSSs for an IBC sub-ensemble (with 20  
 different IBC) can be calculated  $20 * 19 / 2 = 190$  times. Since there are 9 IBC sub-ensembles in this study, the number of  
 overall FSSs that shows the impact of IBC perturbations is  $190 * 9 = 1710$ . Accordingly, the numbers of FSSs for combined  
 380 microphysics, CCN, and CDSO sub-ensembles are ~~7200~~ 720, 180, and 180, respectively. Mean values of the FSSs are shown  
 in Figs. 6 and 7 to objectively represent the spatial variability given by various kinds of uncertainties.

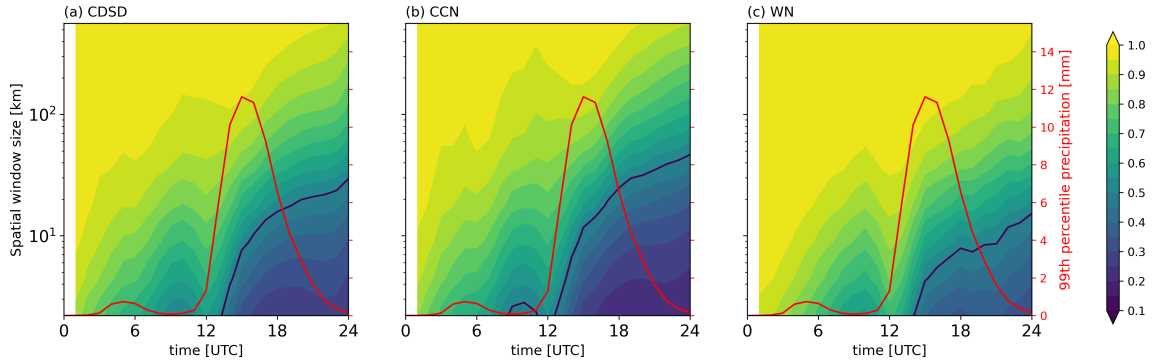
In addition, we use the believable scale [Dey *et al.*, 2014; Bachmann *et al.*, 2020] to characterise a typical length scale that  
 estimates the spatial difference between two fields. The believable scale is defined as the neighbourhood size when the FSS  
 exceeds a threshold defined by  $FSS \geq 0.5 + \frac{f_0}{2}$ , where  $f_0$  is the fraction of grid points considered in the FSS calculation  
 385 (the 99th percentile threshold gives  $f_0 = 0.01$ ). Since the FSS is applied on precipitation fields above the 99th percentile  
 values, the believable scale can be considered in this study as a scale showing how large a mismatch of intense convective  
 cells is. ~~Note that there is a difference between the believable scale of a 'mean FSS' (e.g. black line in Fig. 6) that represents~~  
~~a scale of (dis-)agreement given, say, an ensemble mean FSS value and the mean over many believable scale values of paired~~  
~~member-to-member comparisons (Fig. 8). The ensemble mean FSS is useful for an intercomparison of the average impact~~  
 390 ~~given by different perturbations in general, whereas the mean of member-to-member believable scales (Fig. 8) provide a scale~~  
~~of actual (dis-)agreement of certain scenes, for example, the precipitation patterns shown in Fig. 5.~~



**Figure 6.** Ensemble mean FSS values of hourly precipitation calculated across scales ranging from 2 to 560 km ~~across-in~~ the German domain for the weak forcing case 11 August. The IBC sub-ensembles' mean FSS is depicted in panel (a), and the combined microphysics sub-ensembles' mean FSS in panel (b). The black lines show believable scales of mean FSS. The red lines (right axis) show the time series of mean 99th percentile value of hourly precipitation.

Time-space diagrams of the ensemble mean FSSs given by ~~(a) IBC and (b) combined microphysical perturbations for the weak-forcing case~~ IBC and combined microphysical uncertainty are depicted in Fig. 6 ~~for the weak forcing case~~. Low FSS values represent large spatial deviations between the location of intense convection, hence a larger spatial variability. The variability due to the IBC perturbations is considerably larger than the one forced by combined microphysical perturbations. However, and typical for days ~~under-with~~ weak control, convective precipitation only forms in the late morning (see e.g. time series in Fig. 2**~~b-c~~** and red line depicting the 99th percentile of hourly precipitation in Fig. 6). The value of ~~the~~ 99th percentile of hourly precipitation amounts to 1 mm/h ~~only-at~~ 12 UTC and precipitation is mostly negligible before. Interestingly, at the onset of convective precipitation at 12 UTC the believable scale exhibits a dip and the spatial variability decreases to slightly less than 100 km and thereafter continuously increases throughout the convective period until the evening. The reduction of the ~~variability represents that location of convective precipitation~~ spatial variability in the afternoon, representing co-locations of convective cells, is constrained by steady, non-perturbed factors forcing the dynamical fields involved in cloud and precipitation formation like orography. After 22 UTC the hourly precipitation rates amount again to less than 1 mm/h and the corresponding believable scale exceeds 200 km as before the onset of convection ~~in-the-night-and-at night and in the~~ morning. In contrast, the spatial disagreement caused by combined microphysical perturbations is smaller and the mean believable scale amounts to only 16 km at the peak of precipitation at 16 UTC (Fig. 6b). Apparently, the impact of microphysical perturbations on precipitation acting on many pathways needs time and starts at a much lower spatial scale than IBC perturbations.

At first sight, individual perturbations of CCN and CDSO show a similar growth of FSS as the combined microphysical perturbations (Fig. 6b and Fig. 7). Close inspection reveals ~~that~~ the believable scale of precipitation caused by CCN pertur-

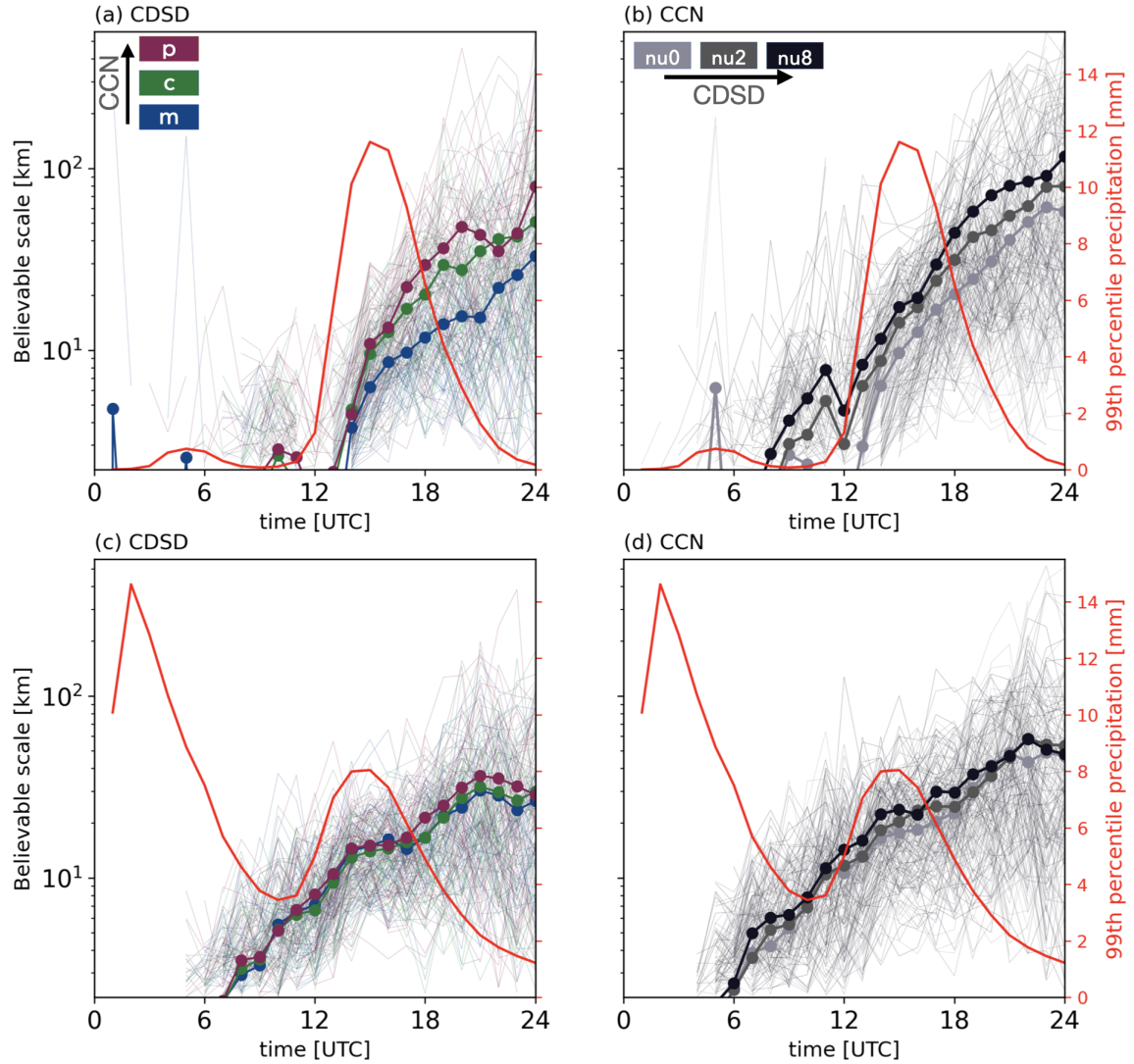


**Figure 7.** As Fig. 6, but for the (a) CDS, (b) CCN and (c) WNoise sub-ensembles.

bations (black line in Fig. 7b) starts to increase at the onset of the precipitation ~~-(at 12 UTC)~~, one hour before that of the CDS perturbations (Fig. 7a). The CDS believable scale grows more slowly and is always smaller (roughly 50%) than that of combined microphysical perturbations. Since changes in CCN have a direct influence on the cloud condensation process, while the shape parameter of CDS affects ensuing microphysical processes, this time shift is plausible. Interestingly, the CCN perturbed believable scale reaches 40 km after 22 hours, the same length scale as the believable scale of the combined micro-  
 415 physical perturbations. In contrast to the impact on precipitation amount, combining two ~~kinds of microphysical perturbations~~ sources of microphysical uncertainty does not increase the spatial variability.

The uncertainty of CCN concentrations has a larger impact than ~~shape parameters~~ the shape parameter of CDS on the spatial variability of intense precipitation cells. Now we can ask if this behaviour is by chance and if this finding holds for other thresholds or percentiles, respectively. For this reason we performed additional white noise (WNoise) ensemble simulations  
 420 with 20 different IBC but only for the 'default' ~~nu0c configuration~~ microphysics configuration (nu0c) to examine whether the spatial variability caused, for instance, by microphysical perturbations differs from the impact of random, tiny differences in the temperature field. Following the method of *Selz and Craig* [2015] the virtual potential temperature field is perturbed by a non-biased Gaussian noise with a standard deviation of 0.01 K at all grid points of the entire model atmosphere at an initial time. The comparison of the microphysically perturbed ensemble with a pure white noise (WNoise) experiment shows  
 425 a similar onset and increase of spatial variability (Fig. 7c). The spatial variability caused by CCN and CDS perturbations is, however, larger than the effect of the WNoise perturbations. At 16 UTC, the mean FSS of WNoise simulations is close to 1 at scales larger than 80 km, and the believable scale is about 5 km. Thus the effect of microphysical uncertainty on the spatial precipitation fields is systematically exceeding the effect of tiny errors at the initial time in the WNoise experiment. Less intense precipitation cells detected by the 95th percentile threshold indicate a similar albeit slightly smaller variability  
 430 due to IBC and microphysical perturbations (not shown). Using a 90th percentile threshold on hourly precipitation results in values lower than 0.1 mm at all forecast hours and gives no extra information.

To further elucidate the combined microphysical perturbations and the interdependence of one perturbation (say CCN) when the other (CDS) is kept constant in the presence of IBC uncertainty, time series of all believable scales calculated



**Figure 8.** Time series of FSS believable scales of hourly precipitation for every combination of (a) the CDSD and (b) CCN sub-ensemble for the weak forcing case across-in the German domain. In (a) dark-pink-blue, dark-green and dark-blue-red lines indicate simulations with polluted-maritime, continental and maritime-polluted CCN condition-content, respectively. In (b) black-light grey, dark grey and light-grey black lines indicate scales with the narrow-broad, intermediate and broad-narrow CDSD. Bold lines with circles indicate mean values of FSS believable scales sharing the same perturbation. The red lines (right axis) show time series of mean 99th percentile value of hourly precipitation. Panels (c) and (d) show the results for the strong synoptic-forcing case.

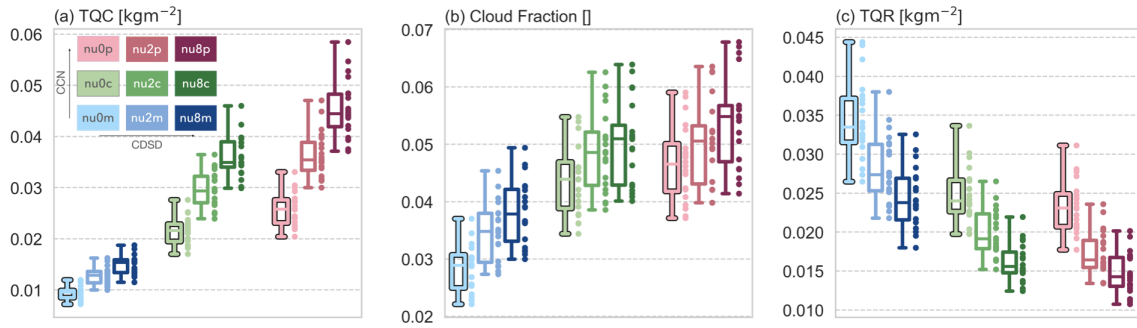
between every combination of ensemble members are illustrated in Fig. 8. The bold lines in Fig. 8a clearly reveal that CDS  
 435 perturbations result in a spatial variability at different length scales depending on a certain fixed CCN concentration during  
 weak synoptic control. In clean air conditions (maritime aerosol content, dark blue lines in Fig. 8a), the mean believable scale  
 attains 10 km roughly 3 hours after the onset of the believable scale's growth. At 22 UTC, towards the end of the diurnal  
 cycle, the value increases to 15 km. On the other hand, for polluted conditions (dark red and green lines), the mean believable  
 scales ~~reach~~-attain larger values, 15 km at 16 UTC and 30 to 40 km at 22 UTC. The mean length scale of disagreement  
 440 given by the CDS perturbations in polluted conditions (high CCN concentrations) is twice as large as in clean conditions  
 (low CCN concentrations). Note, however, that there is big variability among ~~the~~-pairs of ensemble members, hence the IBC  
 dependence is larger than the impact of the background CCN condition. A similar systematic dependence can be found for the  
 CCN perturbations' impact with different fixed CDS shape parameters. The mean believable scale with the broadest CDS  
 (lightest grey lines in Fig. 8b) reaches 10 km at 16 UTC and 50 km after 22 hours lead time. With the narrowest CDS (black  
 445 lines), the mean believable scale of CCN perturbations is 20 km at 16 UTC and increases to 100 km later. Interestingly, the  
 mean believable scale with the narrowest CDS is by a factor of 2 larger than the broadest CDS. This relationship is similar  
 to that found in spatially averaged precipitation amounts, namely polluted CCN and narrow CDS conditions lead to larger  
 variability (Fig. 4).

In strong synoptic control, the situation is slightly different (Fig. 8c,d). The believable scales only start to grow from 7 UTC  
 450 onwards, and the mean values finally reach a neighbourhood size of 30 km at 22 hours lead time. This monotonic pattern of  
 the perturbation growth is ~~the same as~~-similar to the weak forcing case. However, the mean believable scale for clean CCN  
 conditions is larger than for the weak forcing case at 22 UTC (dark blue bold lines in Fig. 8a and c). There is no systematic  
 difference in the mean believable scale caused by CDS perturbations in the presence of various, yet fixed CCN concentrations  
 (Fig. 8c). On the other hand, given narrower CDS, the CCN perturbations cause a slightly larger spatial variability (Fig. 8d).  
 455 Nevertheless, a difference between the broadest and narrowest CDS is less pronounced in comparison to the weak forcing  
 case (10-15 km difference in strong control versus 30 km in weak control at 22 UTC). It is interesting to note that the impact  
 of the microphysical perturbations on the spatial precipitation pattern only starts to appear in FSS after 7 hours lead time,  
 although there is continuous rainfall since forecast initialization during the strong forcing case. ~~Thus~~-In the first hours of the  
simulation spin-up effects and the adjustment to the driving coarser-scale model are still at work, which dampens the impact of  
 460 the microphysical uncertainties [see, e.g., Barthlott et al., 2022]. Thus, microphysical perturbations need a ~~much~~-longer spin-  
 up time than IBC perturbations to modulate dynamical fields eventually resulting in precipitation at different locations (see  
 Fig. 8c,d).

### 4.3 Impact on cloud and rain water content

Note that there is a difference between the believable scale of a 'mean FSS' (e.g. black line in Fig. 6) that represents a  
 465 scale of (dis-)agreement given, say, an ensemble mean FSS value and the mean over many believable scale values of paired  
member-to-member comparisons (Fig. 8). The ensemble mean FSS is useful for an intercomparison of the average impact





**Figure 9.** Box and swarm plots for 24h-mean (a) domain-averaged total column cloud water content, (b) cloud fraction, and (c) domain-averaged total column cloud-rain water content over Germany for the weak forcing case. The boxplots and dots illustrate the same data set, but the dots represent individual IBC sub-ensemble members. The colours are based on the various combination of microphysical configurations perturbations shown in Fig. 1a. Boxplots show medians, interquartile ranges, as well as maximum and minimum values, respectively.

given by different perturbations in general, whereas the mean of member-to-member believable scales (Fig. 8) provide a scale of actual (dis-)agreement of certain scenes, for example, the precipitation patterns shown in Fig. 5.

#### 4.3 Relative impact on cloud and rain water content

We now inspect how the various uncertainties impact the cloud and rain water content, both being To complement the assessment centred on the relative impact on precipitation, we now turn to important precursors in the complex process chain to form precipitation and inspect the contribution of the uncertainties on the cloud and rain water content within a full convective-scale EPS framework. Since we find similar systematic responses in both weather situations, we show results for the weakly forced case only. In Fig. 9 we depict the variability caused by IBC uncertainty on clouds and rain water. The 24h-mean of hourly values is computed for the nine different IBC sub-ensembles to examine the relative impact.

Distributions of The vertically integrated cloud water content (TQC) averaged over Germany are displayed in Fig. 9a. TQC increases significantly with increasing CCN and shape parameters of the CDSD. For example, the higher CCN concentration and CDSD shape (Fig. 9a). The medians of the different microphysics sub-ensembles with different microphysics uncertainty vary by more than 400%, with TQC (TQC is amounting to  $0.01 \text{ kg m}^{-2}$  in sub-ensemble nu0m experiment nu0m and  $0.044 \text{ kg m}^{-2}$  in sub-ensemble nu8p). The comparison of sub-ensembles sharing identical CDSD shape parameters shows an increase of TQC by up to 300% when increasing CCN concentrations from maritime to polluted conditions (compare sub-ensembles nu0m and nu0p experiments nu0m and nu0p in Fig. 9a). Similarly, the change from the broadest to the narrowest CDSD enhances TQC by roughly 150%. These values are much more than an order of magnitude larger compared to the impact of microphysical perturbations on precipitation (compare to orange IBC sub-ensembles in Fig. 4). An important implication from seen in Fig. 9a is that IBC perturbations cannot cover the variability due to encompass the variability caused by microphysical uncertainties on cloud forecasts, which manifests by marginal or no (or no) overlap of the distributions which have different CCN and CDSD configurations (differently colour-coded in Fig. 9).

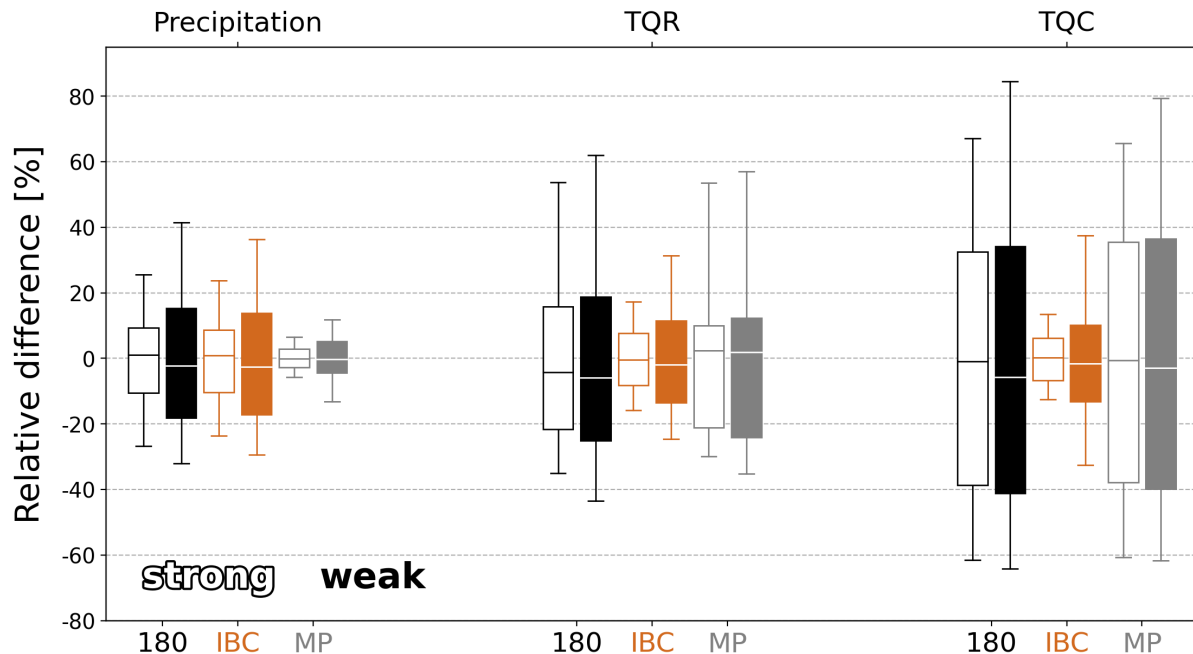
The forecasted cloud fractions also systematically increase with an increase of forecast cloud fraction also systematically increases with higher CCN and shape parameters (Fig. 9.9b), in agreement with TQC. Compared to the pristine sky sub-ensemble (nu0m), medians of the numbers of cloudy grid points (TQC) the increase in TQC. Cloudy grid points are defined as a grid cells where  $TQC > 50 \text{ g m}^{-2}$  are increased. The medians of the cloud fraction in IBC sub-ensemble nu0m (light blue), nu8m (dark blue), nu0p (light red) and nu8p (dark red) are 0.29, 0.39, 0.47 and 0.55, respectively. Thus, cloud fraction increases with higher CCN or/and CDS parameters by 35% in nu8m, 62% and 91% in nu8p simulation relative to experiment nu0m. Compared to TQC, a change of CDS shape parameters shows only minor differences of an only minor effect on cloud fraction in continental and polluted CCN conditions (e.g. nu8c and nu8p nu8c and nu8p in Fig. 9b), presumably due to the atmospheric condition like humidity, which gives upper bounds of. This is presumably caused by ambient atmospheric conditions as, e.g., humidity sets an upper bound for total cloud cover. Hence variability of CCN concentrations and CDS shapes microphysical uncertainty (CCN and CDS perturbations) becomes less important and IBC uncertainty, which predominantly triggers convection and determines the upper bound of cloud coverage, governs the variability of spatial cloud distributions.

Vertically Finally, the vertically integrated rain water content (TQR) averaged over Germany shows a systematic but opposite response compared to TQC (Fig. 9c). TQR decreases with increasing CCN and shape parameter of CDS and adumbrates parallels the systematic impact found for precipitation. Compared to TQC the variability caused by microphysical perturbations becomes smaller, for instance, the TQR medians of sub-ensemble nu0m median of experiment nu0m amounts to  $0.033 \text{ kg m}^{-2}$ , and nu8p nu8p to  $0.014 \text{ kg m}^{-2}$ , indicating an increase from sub-ensemble nu8p to nu0m by roughly 240 a decrease by roughly 58%.

The steady decreasing systematic impact of the microphysical perturbations uncertainty on cloud content, rain water content and eventually precipitation hints towards some kind of buffering effects or compensating processes that reduce the large, positive impact on clouds and eventually even turn it into a negative impact with respect to the rain production. Recent works Companion work by Barthlott et al. [2022] and Baur et al. [2022] shed light on those processes. One major process is the reduction of warm rain processes. The suppression of collisional growth of cloud droplets in polluted CCN conditions leads to less production of rain components reduces the formation of rain drops, and small droplets become more likely to evaporate. Moreover, cloud optical properties are influenced as well through changes of in the droplet effective radius. That, in turn, can affect the radiative energy supply that triggers succeeding new convection.

#### 4.4 Systematic assessment Quantification of the relative impact based on five days

Finally we attempt to put the findings on statistically more solid grounds. Finally we repeat the analysis and use 180-member ICON-D2-EPS ICON-D2 ensemble experiments performed for five days in August 2020, 2020 to confirm the previous findings. The classification into distinct weather situations with different synoptic control on cloud and precipitation results in three weakly and two strongly forced days (see Table 1). The regime dependent regime-dependent relative impact of the various perturbations is computed as follows: first, the absolute relative difference of every individual member to its corresponding sub-ensemble mean is calculated, secondly, its relative difference is calculated based on its sub-ensemble mean, for every sub-ensemble and every day separately separately for every day (as in Sect. 4.1 and



**Figure 10.** Relative differences of ~~full 180 the 180-member ensemble~~ (black), ~~the averaged IBC sub-ensembles~~ (orange) and ~~averaged combined microphysical perturbations sub-ensembles~~ (grey) aggregated over five days in August 2020-2020 conditional on synoptic control. Relative differences ~~on total column cloud water content (TQC) of precipitation~~, total column rain water content (TQR) and total ~~precipitation column cloud water content (TPTQC)~~ are displayed ~~using filled boxes for weak forcing situations~~. Boxplots show bootstrapped medians, interquartile ranges as well as the 5th and 95th percentiles, respectively. For details see text.

~~shown displayed~~ in Fig. 4). ~~Thirdly~~~~Secondly~~, the median, the interquartile range and the 5th and 95th percentiles are computed by aggregating the days for each synoptic forcing separately (i.e. ~~360 samples for strong forcing and 540 for weak~~ ~~540 samples for weak, and 360 for strong~~ forcing). Finally, the samples are bootstrapped 100 times with replacement to get ~~statistically~~ robust results, and the mean of the 100 medians, interquartile ranges and percentile values are finally depicted in Fig. 10. This procedure takes into account the different mean values of distinct sub-ensembles on different days (see Table 1) and ~~guarantees~~ ~~allows~~ a fair comparison.

~~First, in the In the full~~ 180-member ensemble ~~with including~~ IBC and combined microphysical uncertainties the 90% confidence interval (given by the 5th and 95th percentiles) of ~~total precipitation of single experiments deviates precipitation deviates~~ ~~for individual experiments~~ from the ensemble mean by +41% to -32%, with an interquartile range between +15% to -18% during weak forcing. The ~~impact of IBC perturbations on the 90% confidence interval corresponding impact of pure IBC perturbations~~ shows a range of +36% to -29% ~~in daily sums~~ during weak forcing (orange boxes of IBC subensemble in Fig. 10). The variability is smaller and amounts to  $\pm 23\%$  during strong forcing. The medians have a slightly negative bias for

the weak forcing cases because its precipitation distribution is slightly positive-skewed, i. e. the mean is larger than the median.

535 That might be an artefact of the given sample size.

~~Combined microphysical perturbations~~ The impact of combined microphysical perturbations on the 90% confidence interval of precipitation (grey bars for in Fig. 10a) show a relative impact of +12% to -13% ~~for the in~~ weak forcing cases, and  $\pm 6\%$  ~~for the during~~ strong forcing cases. Thus precipitation amounts are twice as sensitive to microphysical perturbations during weak control. While the interquartile range of the full 180-member ensemble and the individual IBC sub-ensembles is similar  
540 (between +16% and -18%), the 95th percentile of the 180-member ensemble representing the highest amounts of individual members surpasses those of the IBC sub-ensemble by 5% for weak forcing situations.

The same methodology is applied on to convective clouds represented by ~~the daily~~ averaged vertically integrated ~~cloud rain~~ water content (~~TQC~~ TQR in Fig. 10). ~~Several differences compared with the impact on precipitation become evident. First, the mean amount of TQC has a strong sensitivity to microphysical choices. For instance, the 180-member ensemble mean TQC is 0.645, but 0.234 for the IBC sub-ensemble nu0m and 1.138 for that of nu8p on the weak forcing case 11 August. Similarly, TQCs for nu8p are 4 to 5 times as large as those for nu0m in the other cases (not shown). Moreover~~ Microphysical perturbations show a larger impact than IBC perturbations. The relative impact of microphysical perturbations on rain water ranges between +54% and -30% for strong forcing, and between +57% and -35% for weak forcing. Forecast variability is again increased by +31% when taking the microphysical uncertainties into account. The relative impact of IBC perturbations on TQR ranges  
545 between +17% and -16% for strong forcing, and between +31% and -25% for weak forcing.

~~Finally, the impact on vertically integrated cloud water content (TQC in Fig. 10) shows less dependence on synoptic control -microphysical perturbations show larger than those on rain water or precipitation. Microphysical perturbations show large amplitudes on clouds than on precipitation, and their impact exceeds the impact of IBC uncertainty. The relative impact of microphysical MP perturbations on TQC ranges between +66% and -60% for strong forcing, and between +80% and -62% for~~  
555 ~~weak forcing. Forecast variability is increased by +47% when taking the microphysical uncertainties into account. The variability of CCN and CDSO plays a larger role in narrower CDSO or higher CCN conditions (not shown), similar to the impact on precipitation -Likewise discussed in Fig. 4. Likewise,~~ the pure IBC impact on TQC is in line with that on precipitation, as the variability of TQC 90% confidence interval ranges +37% to -33% for the weak forcing cases, and +14% to -13% for the strong forcing cases.

~~In the same way, impact on vertically integrated rain water content is also illustrated (TQR in Fig. 10). The impact on TQR is systematic and lies between the impact on TQC and on precipitation. Microphysical perturbations show larger impact than IBC perturbations. The relative impact of microphysical perturbations on TQR ranges between +54% and -30% for strong forcing, and between +57% and -35% for weak forcing. Forecast variability is again increased by +31% when taking the microphysical uncertainties into account. The relative impact of IBC perturbations on TQR ranges between +17% and -16% for strong forcing, and between +31% and -25% for weak forcing.~~  
560 ~~for strong forcing, and between +31% and -25% for weak forcing.~~

Overall, microphysical uncertainty plays a more important role in the prediction of cloud and rain water content than IBC uncertainty, but the impact is buffered during warm rain processes. The buffering effect that counteracts ~~to~~ microphysical perturbations discussed in Sect. 4.3 ~~is thus clearly~~ can thus be quantified. The microphysical impact on the 95th percentile value

amounts to +79% for TQC, 57% for TQR and 12% for ~~TP~~precipitation. Conversely, the role of IBC uncertainty systematically increases from TQC, over TQR to precipitation. For instance, the interquartile range of the impact lies between +14% to -13% for TQC, +17% to -16% for TQR and  $\pm 23\%$  for ~~TP~~precipitation during strong synoptic control.

## 5 Summary and concluding remarks

The relative importance of microphysical uncertainties on cloud and precipitation forecasts ~~implemented in the operational ICON-D2-EPS in a full convective-scale EPS framework~~ is assessed on different spatial and temporal scales ~~for five real cases conditional on synoptic control~~ in central Europe. ~~The two-moment bulk microphysics scheme of Seifert and Beheng [2006] used in ICON-D2-EPS predicts next to the mass concentration of different hydrometeors their number density and thus allows the calculation of the particle size distribution.~~ In the present study we perturb two microphysical parameters that are poorly constrained by observations. Those constitute the cloud condensation nuclei (CCN) concentration ~~, currently not considered in operational ensemble forecasting,~~ and the shape parameter of the cloud drop size distribution (CDS), ~~currently kept constant~~both currently not perturbed in operational ICON-D2 ensemble forecasts. An examination of the synergistic effect of these microphysical perturbations necessitates the use of the two-moment bulk microphysics scheme of Seifert and Beheng [2006] that predicts next to the mass concentration of different hydrometeors their number density and thus allows the calculation of the particle size distribution. Their individual and combined relative impact is estimated in the presence of initial and boundary condition uncertainty (IBC) available from operational ensemble forecasting at Deutscher Wetterdienst. Nine different set-ups of such combined microphysical perturbations run with 20 different IBC add up to a 180-member ~~ensemble forecast. Additionally the relative impact is examined conditional to the prevailing weather situation classified with the convective adjustment time scale.~~

~~The close inspection of individual ICON-D2 experiments indicates a large variability due to IBC uncertainty in combination with the considerable variability due to microphysical uncertainties within the nine individual IBC sub-ensembles (Fig. 3). This illustrates the necessity to be cautious when interpreting results based on a deterministic approach only to evaluate uncertainty. The use of a full ensemble modelling system including various key sources of uncertainty as done in this study is essential to assess their relative importance. This issue becomes even more relevant when inspecting smaller spatial and temporal scales.~~

~~Overall, combined microphysical uncertainties have a relevant impact on both amount and spatial variability of precipitation ensemble forecasts. The relative impact of pure microphysical perturbations is a third compared to the impact due to IBC perturbations regarding spatially averaged precipitation totals over a domain as large as Germany, and affect the location of individual convective cells (O(10 km)). The impact of the combined microphysical perturbations on the spatial rainfall pattern is dominated by the CCN perturbations on average. The importance of the uncertainty is highly case dependent like other subgrid-scale parametrisation schemes such as the stochastic boundary-layer scheme Hirt et al., 2019; Keil et al., 2019. the various uncertainties is quantified by selecting different sub-ensembles that are sharing a common uncertainty.~~

~~The Based on five real summertime cases we find that the impact of the different perturbations on precipitation can be quantified as follows: The impact on daily area-averaged precipitation (TP) various uncertainties on precipitation crucially~~

depends on the synoptic control and. It is larger during weakly forced situations. The ~~impact of pure IBC perturbations on the IBC uncertainty accounts for most of the precipitation variability.~~ The 90% confidence interval (that is given by the 5th and 95th percentile) of ~~TP of single daily area-averaged precipitation of individual ICON-D2~~ experiments ranges between +38% and -32% during weak forcing and  $\pm 25 \pm 23\%$  during strong forcing ~~-(Fig. 10)~~. Combined microphysical perturbations show a relative impact ~~of on the 90% confidence interval of precipitation between +12% to and -13% for the weak forcing cases during weak forcing,~~ and  $\pm 6\%$  ~~for the strong forcing cases during strong synoptic control~~. Thus precipitation amounts are twice as sensitive to microphysical perturbations during weak control. ~~The joint effect of IBC and microphysical uncertainty extends the tails of the forecast distribution by 5% in weakly forced conditions. Individual ICON-D2 members exceed the ensemble mean precipitation by 50%. However, the interquartile range of the full ensemble only marginally deviates from the pure IBC sub-ensembles (Fig. 4).~~

The in-depth analysis of the weakly forced case further points towards a synergistic effect of CCN and CDSD perturbations, that show a large sensitivity to the other background (fixed) microphysics choice. That stems from the systematic behaviour of the responses to different microphysics conditions. ~~Microphysical perturbations have systematic effects whereas IBC perturbations are likely to have stochastic effects. While the interquartile range of TP of the full 180-member ensemble and the nine IBC sub-ensembles is similar, the 95th percentiles of the 180-member ensemble surpass those of the IBC sub-ensemble by 5%. Thus, the combination of IBC and microphysical perturbations especially affects the magnitude of the extremes. The spatially and temporally averaged precipitation of extreme ensemble members exceeds the ensemble mean by 50%. During weak control CCN and CDSD perturbations~~ Both microphysical perturbations have a systematic impact on the intensity and location of individual convective cells identified in the present study with hourly rain rates, and its spatial variability amounts to O(10km) quantified with FSS believable scales. In contrast, IBC perturbations scramble the precipitation pattern during weak control and result in twice the location uncertainty. ~~During weak control This suggests that microphysical perturbations have systematic effects whereas IBC perturbations are likely to have stochastic effects.~~ CCN perturbations cause a larger impact on spatial variability of precipitation forecasts than CDSD. Individual perturbations of CCN and CDSD have larger impacts when the other configuration is the narrower CDSD or polluted CCN condition, respectively.

~~Different from the~~ Clouds react differently on the various uncertainties. The combined microphysical perturbations largely determine the variability of daily- and area-averaged vertically integrated cloud water content (TQC in Fig. 10). ~~Different from their~~ impact on precipitation, the increase of CCN concentration and shape parameter of CDSD has a large positive impact on the production of cloud ~~water content and forms and rain water content forming~~ horizontally larger clouds. ~~The impact of combined microphysical perturbations on domain averaged TQC is not very sensitive on synoptic control and ranges between +65% and -60% in the strong forcing condition, and between +79% and -62% in the weak forcing. The impact on TQR also shows larger sensitivity to microphysical perturbations than to IBC uncertainty with the range of relative impact between +54% and -30% for strong forcing, and between +57% and -35% for weak forcing. Further, this impact is fairly weather regime independent.~~ Thus the considerable impact on cloud variables does not directly translate into precipitation amounts. This ~~implies that suggests that there are~~ some microphysical processes or ~~feedbacks are compensating for the impact~~ feedback mechanisms involved that compensate and ultimately reverse the impact of microphysical perturbations on clouds and



precipitation. The systematic behaviour of cloud variables is consistent with previous studies (Seifert et al., 2012; Igel and van den Heever, 2012; Igel and van den Heever, 2017b; Wellmann et al., 2020; Zhang et al., 2021), and further discussion about the detailed processes seen from the deterministic perspective can be found in Barthlott et al. [2022] and Baur et al. [2022].

640 ~~Not surprisingly, IBC uncertainty contributes less to TQC and TQR than microphysical uncertainty, especially in strong synoptic-forcing situations when cloud variables are less sensitive to IBC perturbations. Note that we compare rainfall accumulations at the ground with averages of 24 hourly snapshot scenes of vertically integrated cloud and rain water to facilitate a comparison of the respective contribution.~~

Importantly, a close inspection of the impact of microphysical uncertainties in the presence of different IBC on precipitation indicates a strong sensitivity to IBC uncertainty (Fig. 3). This illustrates the necessity to be cautious when interpreting results based on a deterministic approach only to evaluate impact of uncertainty. The use of a full ensemble modelling framework including various key sources of uncertainty as done in this study is essential to assess their relative importance. This issue becomes even more relevant when inspecting smaller spatial and temporal scales. Another major conclusion is the necessity to take the atmospheric state into account when quantifying the contribution of various uncertainties. Given that roughly 20 to 40% of the days with summertime precipitation in central Europe are classified as being weakly controlled (Kühnlein et al., 2014; Zimmer et al., 2011), the considerable impact during these conditions is usually veiled when inspecting results independent of the synoptic control. A limitation of this study is the limited dataset covering five days in August 2020 only. More robust results require a larger database containing more cases that comprise different synoptic conditions. Based on the five cases we cannot draw general conclusions. However, we believe that the findings are robust enough to provide a scientific basis for future research.

655

Our results suggest that the consideration of CCN and CDSO uncertainties increases precipitation variability and can contribute to the reduction of the long-standing issue of underdispersion of near surface variables in convective-scale convective-scale EPS forecasts [see references in e.g., Keil et al., 2019] and thus ultimately benefit the improvement of NWP ensemble forecasting. It is beyond this study to assess to what extent the microphysical perturbations contribute to a better probabilistic forecasting skill compared to observation. Given the increasing importance of satellite observations used in convective-scale convective-scale data assimilation the systematic impact of microphysical uncertainties will attract interest in future. Microphysical uncertainties strongly influence forecasts of cloud coverage and droplet sizes, both representing important ingredients used in satellite forward operators to compute synthetic reflectances [e.g. Scheck et al., 2020] to be used in data assimilation algorithms.

665 *Code and data availability.* The ICON codes and data of the initial and lateral boundary conditions are available upon request with permission from the Deutscher Wetterdienst (DWD).

*Author contributions.* CK and CB oversee the project. CB designed the microphysical perturbations and TM set up the numerical model and carried out the experiments. TM prepared the manuscript with contributions from all co-authors. CK internally revised the manuscript and supervised the whole work.

670 *Competing interests.* The authors declare that they have no conflict of interest.

*Acknowledgements.* This research has been performed within projects B3 of the Transregional Collaborative Research Center SFB/TRR 165 “Waves to Weather” funded by the German Research Foundation (DFG). The authors wish to thank the Deutscher Wetterdienst (DWD) for providing the ICON model code and analyses datasets and Robert Redl and Fabian Jakub (LMU) for technical help. The authors gratefully acknowledge the two anonymous reviewers for their useful comments and suggestions that allowed to improve the paper.

- Albrecht, B. A.: Aerosols, Cloud Microphysics, and Fractional Cloudiness, *Science*, 245, 1227–1230, <https://doi.org/10.1126/SCIENCE.245.4923.1227>, 1989.
- Bachmann, K., Keil, C., Craig, G. C., Weissmann, M., and Welzbacher, C. A.: Predictability of Deep Convection in Idealized and Operational Forecasts: Effects of Radar Data Assimilation, Orography, and Synoptic Weather Regime, *Mon. Weather Rev.*, 148, 63–81, <https://doi.org/10.1175/mwr-d-19-0045.1>, 2020.
- Bannister, R. N.: A review of operational methods of variational and ensemble-variational data assimilation, *Q. J. Roy. Meteor. Soc.*, 143, 607–633, <https://doi.org/10.1002/qj.2982>, 2017.
- Barthlott, C. and Hoose, C.: Aerosol effects on clouds and precipitation over central Europe in different weather regimes, *J. Atmos. Sci.*, 75, 4247–4264, <https://doi.org/10.1175/JAS-D-18-0110.1>, 2018.
- Barthlott, C., Zarbo, A., Matsunobu, T., and Keil, C.: Importance of aerosols and shape of the cloud droplet size distribution for convective clouds and precipitation, *Atmos. Chem. Phys.*, 22, 2153–2172, <https://doi.org/10.5194/acp-22-2153-2022>, 2022.
- Baur, F., Keil, C., and Craig, G. C.: Soil moisture–precipitation coupling over Central Europe: Interactions between surface anomalies at different scales and the dynamical implication, *Q. J. Roy. Meteor. Soc.*, 144, 2863–2875, <https://doi.org/10.1002/qj.3415>, 2018.
- Baur, F., Keil, C., and Barthlott, C.: Combined effects of soil moisture and microphysical perturbations on convective clouds and precipitation for a locally forced case over Central Europe, *Q. J. Roy. Meteor. Soc.*, <https://doi.org/10.1002/qj.4295>, 2022.
- Bryan, G. H. and Morrison, H.: Sensitivity of a simulated squall line to horizontal resolution and parameterization of microphysics, *Monthly Weather Review*, 140, 202–225, <https://doi.org/10.1175/MWR-D-11-00046.1>, 2012.
- Chua, X. R. and Ming, Y.: Convective Invigoration Traced to Warm-Rain Microphysics, *Geophysical Research Letters*, 47, e2020GL089134, <https://doi.org/10.1029/2020GL089134>, 2020.
- Clark, P., Roberts, N., Lean, H., Ballard, S. P., and Charlton-Perez, C.: Convection-permitting models: a step-change in rainfall forecasting, *Meteorological Applications*, 23, 165–181, <https://doi.org/10.1002/met.1538>, 2016.
- Dey, S. R., Leoncini, G., Roberts, N. M., Plant, R. S., and Migliorini, S.: A spatial view of ensemble spread in convection permitting ensembles, *Mon. Weather Rev.*, 142, 4091–4107, <https://doi.org/10.1175/MWR-D-14-00172.1>, 2014.
- Fan, J., Yuan, T., Comstock, J. M., Ghan, S., Khain, A., Leung, L. R., Li, Z., Martins, V. J., and Ovchinnikov, M.: Dominant role by vertical wind shear in regulating aerosol effects on deep convective clouds, *J. Geophys. Res.*, 114, D22206, <https://doi.org/10.1029/2009JD012352>, 2009.
- Flack, D. L., Gray, S. L., Plant, R. S., Lean, H. W., and Craig, G. C.: Convective-scale perturbation growth across the spectrum of convective regimes, *Mon. Weather Rev.*, 146, 387–405, <https://doi.org/10.1175/MWR-D-17-0024.1>, 2018.
- Flack, D. L. A., Plant, R. S., Gray, S. L., Lean, H. W., Keil, C., and Craig, G. C.: Characterisation of convective regimes over the British Isles, *Q. J. Roy. Meteor. Soc.*, 142, 1541–1553, <https://doi.org/10.1002/qj.2758>, 2016.
- Glassmeier, F. and Lohmann, U.: Precipitation Susceptibility and Aerosol Buffering of Warm- and Mixed-Phase Orographic Clouds in Idealized Simulations, *Journal of the Atmospheric Sciences*, 75, 1173–1194, <https://doi.org/10.1175/JAS-D-17-0254.1>, 2018.
- Grant, L. D. and van den Heever, S. C.: Cold Pool and Precipitation Responses to Aerosol Loading: Modulation by Dry Layers, *Journal of the Atmospheric Sciences*, 72, 1398 – 1408, <https://doi.org/10.1175/JAS-D-14-0260.1>, 2015.
- Hande, L. B., Engler, C., Hoose, C., and Tegen, I.: Parameterizing cloud condensation nuclei concentrations during HOPE, *Atmos. Chem. Phys.*, 16, 12 059–12 079, <https://doi.org/10.5194/ACP-16-12059-2016>, 2016.

- Heikenfeld, M., White, B., Labbouz, L., and Stier, P.: Aerosol effects on deep convection: The propagation of aerosol perturbations through convective cloud microphysics, *Atmospheric Chemistry and Physics*, 19, 2601–2627, <https://doi.org/10.5194/ACP-19-2601-2019>, 2019.
- Hirt, M., Rasp, S., Blahak, U., and Craig, G. C.: Stochastic Parameterization of Processes Leading to Convective Initiation in Kilometer-Scale Models, *Mon. Weather Rev.*, 147, 3917–3934, <https://doi.org/10.1175/MWR-D-19-0060.1>, 2019.
- Hunt, B. R., Kostelich, E. J., and Szunyogh, I.: Efficient data assimilation for spatiotemporal chaos: A local ensemble transform Kalman filter, *Physica D*, 230, 112–126, <https://doi.org/10.1016/J.PHYSD.2006.11.008>, 2007.
- Igel, A. L. and van den Heever, S. C.: The importance of the shape of cloud droplet size distributions in shallow cumulus clouds. Part II: Bulk microphysics simulations, *J. Atmos. Sci.*, 74, 259–273, <https://doi.org/10.1175/JAS-D-15-0383.1>, 2017a.
- Igel, A. L. and van den Heever, S. C.: The importance of the shape of cloud droplet size distributions in shallow cumulus clouds. Part I: Bin microphysics simulations, *J. Atmos. Sci.*, 74, 249–258, <https://doi.org/10.1175/JAS-D-15-0382.1>, 2017b.
- Keil, C., Heinlein, F., and Craig, G. C.: The convective adjustment time-scale as indicator of predictability of convective precipitation, *Q. J. Roy. Meteor. Soc.*, 140, 480–490, <https://doi.org/10.1002/qj.2143>, 2014.
- Keil, C., Baur, F., Bachmann, K., Rasp, S., Schneider, L., and Barthlott, C.: Relative contribution of soil moisture, boundary-layer and microphysical perturbations on convective predictability in different weather regimes, *Q. J. Roy. Meteor. Soc.*, 145, 3102–3115, <https://doi.org/10.1002/qj.3607>, 2019.
- Kühnlein, C., Keil, C., Craig, G. C., and Gebhardt, C.: The impact of downscaled initial condition perturbations on convective-scale ensemble forecasts of precipitation, *Q. J. Roy. Meteor. Soc.*, 140, 1552–1562, <https://doi.org/10.1002/qj.2238>, 2014.
- Reinert, D., Prill, F., Denhard, H. F. M., Baldauf, M., C. Schraff, C. G., Marsigli, C., and Zängl, G.: DWD Database Reference for the Global and Regional ICON and ICON-EPS Forecasting System, available at [https://www.dwd.de/DWD/forschung/nwv/fepub/icon\\_database\\_main.pdf](https://www.dwd.de/DWD/forschung/nwv/fepub/icon_database_main.pdf), [https://doi.org/10.5676/DWD\\_pub/nwv/icon\\_2.1.7](https://doi.org/10.5676/DWD_pub/nwv/icon_2.1.7), (last access: 7 June 2022), 2021.
- Roberts, N. M. and Lean, H. W.: Scale-Selective Verification of Rainfall Accumulations from High-Resolution Forecasts of Convective Events, *Mon. Weather Rev.*, 136, 78–97, <https://doi.org/10.1175/2007MWR2123.1>, 2008.
- Scheck, L., Weissmann, M., and Bach, L.: Assimilating visible satellite images for convective-scale numerical weather prediction: A case-study, *Q. J. Roy. Meteor. Soc.*, 146, 3165–3186, <https://doi.org/10.1002/QJ.3840>, 2020.
- Schneider, L., Barthlott, C., Hoose, C., and Barrett, A. I.: Relative impact of aerosol, soil moisture, and orography perturbations on deep convection, *Atmos. Chem. Phys.*, 19, 12 343–12 359, <https://doi.org/10.5194/acp-19-12343-2019>, 2019.
- Schraff, C., Reich, H., Rhodin, A., Schomburg, A., Stephan, K., Perriñez, A., and Potthast, R.: Kilometre-scale ensemble data assimilation for the COSMO model (KENDA), *Q. J. Roy. Meteor. Soc.*, 142, 1453–1472, <https://doi.org/10.1002/qj.2748>, 2016.
- Segal, Y. and Khain, A.: Dependence of droplet concentration on aerosol conditions in different cloud types: Application to droplet concentration parameterization of aerosol conditions, *J. Geophys. Res.*, 111, D15 204, <https://doi.org/10.1029/2005JD006561>, 2006.
- Seifert, A. and Beheng, K. D.: A two-moment cloud microphysics parameterization for mixed-phase clouds. Part 1: Model description, *Meteorol. Atmos. Phys.*, 92, 45–66, <https://doi.org/10.1007/s00703-005-0112-4>, 2006.
- Seifert, A., Köhler, C., and Beheng, K. D.: Aerosol-cloud-precipitation effects over Germany as simulated by a convective-scale numerical weather prediction model, *Atmos. Chem. Phys.*, 12, 709–725, <https://doi.org/10.5194/ACP-12-709-2012>, 2012.
- Selz, T. and Craig, G. C.: Upscale error growth in a high-resolution simulation of a summertime weather event over Europe, *Mon. Weather Rev.*, 143, 813–827, <https://doi.org/10.1175/MWR-D-14-00140.1>, 2015.

- Tao, W.-K. and Li, X.: The relationship between latent heating, vertical velocity, and precipitation processes: The impact of aerosols on precipitation in organized deep convective systems, *J. Geophys. Res. Atmos.*, 121, 6299–6320, <https://doi.org/10.1002/2015JD024267>, 2016.
- Wang, C.: A modeling study of the response of tropical deep convection to the increase of cloud condensation nuclei concentration: 1. Dynamics and microphysics, *J. Geophys. Res. Atmos.*, 110, 1–16, <https://doi.org/10.1029/2004JD005720>, 2005.
- Wellmann, C., I Barrett, A., S Johnson, J., Kunz, M., Vogel, B., S Carslaw, K., and Hoose, C.: Comparing the impact of environmental conditions and microphysics on the forecast uncertainty of deep convective clouds and hail, *Atmos. Chem. Phys.*, 20, 2201–2219, <https://doi.org/10.5194/ACP-20-2201-2020>, 2020.
- Weyn, J. A. and Durran, D. R.: The scale dependence of initial-condition sensitivities in simulations of convective systems over the southeastern United States, *Q. J. Roy. Meteor. Soc.*, 145, 57–74, <https://doi.org/10.1002/QJ.3367>, 2019.
- Zängl, G., Reinert, D., Rípodas, P., and Baldauf, M.: The ICON (ICOsahedral Non-hydrostatic) modelling framework of DWD and MPI-M: Description of the non-hydrostatic dynamical core, *Q. J. Roy. Meteor. Soc.*, 141, 563–579, <https://doi.org/10.1002/qj.2378>, 2015.
- Zhang, Y., Fan, J., Li, Z., and Rosenfeld, D.: Impacts of cloud microphysics parameterizations on simulated aerosol–cloud interactions for deep convective clouds over Houston, *Atmos. Chem. Phys.*, 21, 2363–2381, <https://doi.org/10.5194/acp-21-2363-2021>, 2021.
- Zimmer, M., Craig, G. C., Keil, C., and Wernli, H.: Classification of precipitation events with a convective response timescale and their forecasting characteristics, *Geophys. Res. Lett.*, 38, n/a–n/a, <https://doi.org/10.1029/2010GL046199>, 2011.