Warm Conveyor Belts conveyor belts in Present-Day present-day and Future Climate Simulations future climate simulations. Part I: Climatology and impacts

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Abstract. This study investigates how warm conveyor belts (WCB) will change in a future climate. WCBs are strongly ascending airstreams in extratropical cyclones which that are responsible for most of their precipitation. In conjunction with the strong-cloud formation, latent heat is released, which has an impact on the potential vorticity distribution and therefore on the atmospheric circulation in the mid- and upper-troposphere. Because of these and other impacts of WCBs, it is of great

- 5 importance to investigate their changes in changes in their frequencies, regions of occurrence, and physical characteristics in a warmer climate. To this aim, future climate simulations (RCP8.5 scenario; 2091-2100) are performed with the Community Earth System Model version 1 (CESM1) and compared to a present-day climate (1991-2000). WCB trajectories-1991-1999). Trajectories are calculated based on the six-hourly 3D wind fields, and WCBs are identified as trajectories that ascent at least 600 hPa in two days. WCBs are represented reasonably well in terms of location and occurrence frequency compared to WCBs
- 10 in the ERA-Interim data setreanalyses. In a future climate, WCB inflow regions in the North Pacific are systematically shifted northward in winter, which is in agreement with the northward shift of the storm track in this region. In the North Atlantic, increased frequencies are discernible in the southwest and a decrease to the south of Iceland. Finally, in the Southern Hemisphere, WCB frequencies increase in the South Atlantic , whereas they decrease near Madagasearin both seasons and to the east of South Africa/Indian Ocean in JIA. Part of these changes are consistent with corresponding changes in the occurrence
- 15 frequencies of extratropical cyclones, i.e., the driving weather systems of WCBs. Changes are also found in the WCB characteristics, e.g., in specific humidity of the WCB inflow, the WCB-related precipitation, the cross-isentropic ascent and the isentropic level reached by the WCB outflow. This which has implications for WCB impacts in a future climate. For instance, the strong The increase in inflow moisture in the different regions and seasons (~ 23-33% (~ 14-20%) in winter (summer) leads to: (i) a strong an increase in WCB-related precipitation (~ 13-23% (~ 7-28%) in winter (summer)), especially in the
- 20 upper percentiles, thus extreme precipitation related to WCBs might increase; (ii) a strong increase in diabatic heating in ($\simeq 20-27\%$ ($\sim 17-33\%$) in winter (summer)) in the mid-troposphere; and (iii) a higher outflow level ($\sim 10 \text{ K}$ ($\sim 10-16 \text{ K}$) in winter (summer)), which favours WCBs to more strongly interact with the upper-level Rossby waveguide. In summary, by investigating a distinct weather system, the WCB, and how it changes in its occurrence frequency and characteristics in a future climate, this study provides new insights into the dynamics and impacts of climate change in the mid-latitudesextratropical storm track
- 25 regions.

1 Introduction

The two-way interaction between clouds and the large-scale atmospheric circulation constitutes one of the grand challenges in understanding the Earth's climate system and its accurate representation in numerical models (Bony et al., 2015). The

- 5 specifics of this challenge differ between climate regions since they are characterized by different weather systems and predominant cloud structures. Whereas deep convective clouds dominate in the tropics-associated with the Hadley-Walker circulation dominate in the tropics, shallow clouds prevail in the quasi-permanent subtropical anticyclones (Bony et al., 2015). The extratropical storm track regions, i.e., the regions with the frequent passage of extratropical cyclones and their attendant fronts (Schemm et al., 2018), typically reveal elongated cloud bands, so-called warm conveyor belts (WCBs) (Brown-
- 10 ing and Emanuel, 1990). Their formation is mainly related to the process of baroclinic instability, i.e., the interaction of near-tropopause Rossby waves with the low-level baroclinic zone (Hoskins et al., 1985). More specifically, the propagation and evolution of Rossby waves, i.e., of upper-level troughs and ridges, determines the regions of dynamically forced ascent (Davies, 2015b) (Davies, 2015a) and thereby the formation of clouds and precipitation. However, the formation of clouds can also feed back on the circulation, via both radiative effects of clouds and the release of latent heat during condensation and
- 15 freezing in clouds. Both radiation and latent heat release lead to diabatic changes of potential temperature and, except for the tropics, of potential vorticity, which in turn affect the atmospheric flow (Hoskins et al., 1985; Stoelinga, 1996). A detailed investigation of these linkages between clouds and the circulation, based on observations, reanalyses and model simulations, is essential for improving the capability of climate models to realistically simulate the observed climate and to provide useful climate projections for a warmer future (Bony et al., 2015; Shaw et al., 2016). This study aims at contributing to this endeavour
- 20 by analyzing the occurrence of WCBs, i.e., of a particular cloud system in the extratropical storm track regions in present-day and future climate simulations.

In this paragraph, we introduce the concept of WCBs in more detail, and in particular emphasize their key role in the formation of clouds and precipitation in the extratropics, their associated radiative forcing, and their direct impact on the atmospheric circulation. In satellite imagery, WCBs correspond to stratiform cloud bands with a length of up to 3000 km, often intersected

- by embedded convection (Browning et al., 1973; Bader et al., 1995; Oertel et al., 2019). These cloud bands correspond to coherent airstreams, which most often move rapidly poleward while ascending from the boundary layer in the warm sector of an extratropical cyclone to the upper troposphere (Wernli and Davies, 1997). During this saturated ascent, temperatures decrease from typically $+20^{\circ}+20^{\circ}C$ to below $-50^{\circ}50^{\circ}C$, and consequently WCB-related clouds evolve from liquid clouds at the beginning of the ascent at lower latitudes to mixed-phase and eventually to ice clouds in the cold upper troposphere at higher
- 30 latitudes (Joos and Wernli, 2012; Wernli et al., 2016). WCBs occur most frequently in winter (Madonna et al., 2014), when baroclinicity and the dynamical forcing for ascent are strongest. They contribute essentially to the precipitation climatology in the storm track regions and, in particular, to the formation of extreme precipitation events (Browning and Emanuel, 1990; Pfahl et al., 2014). Embedded convection in WCBs can lead to local maxima in precipitation intensity (Oertel et al., 2019). The

net cloud radiative forcing of WCBs at the top of the atmosphere varies strongly along the ascent, from negative values in the equatorward part of the associated cloud band to larger, and in winter positive, values further poleward (Joos, 2019). Overall, WCBs contribute up to $10W_{-}W_{-}m^{-2}$ to the climatological winter maximum of net cloud radiative forcing in the central North Atlantic (Joos, 2019), indicating their important role also in the Earth's radiative balance in the extratropics. Last but not least,

- 5 WCBs can have a direct effect on atmospheric dynamics. The latent heating in WCBs can contribute to the intensification of the associated extratropical cyclone (Binder et al., 2016) and the downstream upper-level ridge (Grams et al., 2011; Madonna et al., 2014). The latter process implies that cloud bands formed by the poleward ascent of WCBs can significantly affect the amplitude and propagation of Rossby waves, which in turn determine the downstream flow evolution. As a consequence, a realistic representation of WCBs in models is essential for medium-range weather prediction (e.g., Grams et al., 2018; Rodwell
- 10 et al., 2018) as well as for climate simulations.

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This study investigates, for the first time, the representation of WCBs in simulations with one of the state-of-the-art climate models, the Community Earth System Model (CESM, see section 2). More specifically, we address the following research questions:

 Is CESM, in present-day simulations, able to reasonably capture geographical patterns and seasonal frequencies of WCBs, as compared to the corresponding climatologies in ERA-Interim?

- How do these geographical distributions and frequencies change in a future climate, and how do these changes relate to corresponding signals in the WCB-driving extratropical cyclones?
- How do the characteristics of WCBs, like inflow moisture, associated precipitation, total diabatic heating, and the isentropic outflow level change in a warmer climate?
- 20 What are the implications of the geographical changes and the changed changes in the geographical patterns and in the characteristics for the atmospheric circulation and precipitation?

A particularly challenging aspect is the identification of WCBs from large climate data sets. The established procedure to identify WCB airstreams is based on Lagrangian air parcel trajectories (e.g., Wernli and Davies, 1997; Madonna et al., 2014). To compute accurate trajectories, six-hourly three-dimensional wind fields on all model levels are required, which are typically not available from climate model simulations. Therefore, this study is based on re-simulations of the CESM large ensemble (Kay et al., 2015) and the storage of the three-dimensional fields every six hours.

The presented study is organised as follows: The technical details of the WCB /and cyclone identification are explained in Section 2, and Section 3 presents WCB frequencies in present-day climate CESM simulations and a comparison with the WCB climatology based on ERA-Interim reanalyses (Madonna et al., 2014). Section 4 then reveals the effects of climate

30 change on the frequency and location of WCBs, according to RCP8.5 scenario simulations with CESM, and Section 5 discusses the potential impact of these WCB changes, e.g., on surface precipitation, mid-tropospheric diabatic heating and upper-level disturbances. Finally, Section 6 summarizes the main results, provides some caveats of the study, and presents an outlook.

2 Data and Methodology

2.1 CESM simulations and ERA-Interim reanalysis

The present-day and future climate simulations are performed with the Community Earth System Model version 1 (CESM, Hurrell et al., 2013), based on restart files from the CESM large ensemble project (CESM-LENS, Kay et al., 2015). Atmo-

- 5 spheric fields are saved at six-hourly temporal resolution, with a horizontal resolution of approximately 1° and 30 vertical levels. For the period both periods, 1990–1999 (present-day climate) and 2091-2100(end-of-century climate), respectively, five ten-year members of the ensemble will be are used to identify WCBs, resulting in 50 years of CESM data for present-day climate (1990-1999; HIST) and 50 years for an end-of-century climate (2091-2100; RCP85). In particular Specifically, the WCB identification is based on the following fields of the CESM simulations: horizontal wind components (U/V u.v., in
- 10 m s⁻¹), vertical wind speed (ω , in Pa s⁻¹), and surface pressure (in hPa). Additionally, mean-sea level pressure (PSLSLP, in hPa) is used to identify surface cyclones.

ERA-Interim reanalysis data (Dee et al., 2011), which is used for assessing the ability of CESM to simulate WCBs in HIST, has a horizontal resolution of approx. 80 km and 60 vertical levels, thus twice as many as CESM1. The reduced number of vertical levels in CESM might have an influence on the calculation of WCB trajectories. These differences in the vertical resolution have to be kept in mind when comparing WCPs in ERA. Interim and CESM

15 have to be kept in mind when comparing WCBs in ERA-Interim and CESM.

2.2 WCB Identification

Warm conveyor belts are identified in the CESM simulations in the same way as for ERA-Interim (Dee et al., 2011) in Madonna et al. (2014) publicly made in Madonna et al. (2014). The ERA-Interim WCB climatology is publicly available (Sprenger et al., 2017). Basically, 48-hour forward trajectories are started every six hours in the 50-year present-day and future-elimate period-future

- 20 climate periods from a global grid with 80 km equidistantly spaced starting points. Vertically, the air parcels are released from 14 equidistant levels spanning the range from 1050 hPa to 790 hPa. If starting positions on these isobaric surfaces fall below the topography, they are neglected. Typically, around 680'000 trajectories are started every six hours. The kinematic trajectories are calculated with the Lagrangian Analysis Tool analysis tool Lagranto (Wernli and Davies, 1997; Sprenger and Wernli, 2015), based on the six-hourly CESM wind fields. If trajectories intersect the topography, which can occur because of numerical
- 25 inaccuracies or unresolved wind components in the CESM simulations, the air parcels are lifted to 10 hPa above topography and from where they are allowed to continue their path.

Based on this global set of 48-hour forward trajectories, potential WCB trajectories are identified by application of applying a simple ascent criterion: the trajectories must ascend at least 600 hPa from their release in the lower troposphere until their arrival in the upper troposphere, 48 h later. All trajectories fulfilling this criterion are accepted as potential WCB trajectories, all

30 the others – a the huge majority – are neglected (see Figure Fig. 1). A more technical problem refers technical issue is related to the end-of-year transition of the trajectories. In fact, the The CESM simulations come in blocks of 10-year continuous periods, but we treat all the years in the 50-year periods separately. Therefore, the trajectories are not allowed to cross the year's end, with the implication that four days around the end of the year are not covered in this WCB climatology.

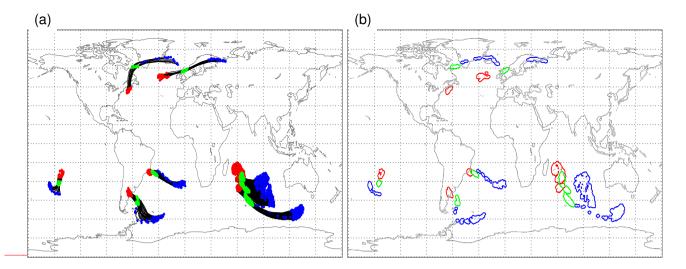


Figure 1. Example of identified WCB trajectories started on at 00 UTC on 15 December 1990 in an ensemble member of HIST. (a) 48-hour forward trajectories , colored according to pressure (in hPablack). The time instances ; the positions at times 0 h (start of ascent), 24 h (during ascent) and 48 h (end of ascent) are marked with red, green and blue circlesdots, respectively. (b) WCB masks, with a 100 km blow-up radius, at the same time instances times and with the same colours as in (a). The three WCB masks at times 00 h, 24 h and 48 h, averaged over the whole ERA-Interim and CESM periods and all ensemble members, build the basis for the climatologies in Section Sect. 3 and 4 (see text for details).

Two further selection criteria are subsequently applied to the potential WCB trajectories. First, we make use of the conceptual view of the WCB as a coherent airstream attributed to an extratropical cyclone. To this aim, surface cyclones are identified in the sea-level pressure fields for the CESM simulation SLP fields of the CESM simulations with the method by Wernli and Schwierz (2006) and further refined in Sprenger et al. (2017). The resulting cyclone masks To be selected as a real WCB

- 5 trajectory, a potential WCB trajectory must intersect at any time an identified cyclone mask, defined as the outermost closed isobar surrounding a local pressure minimum, are then required to be intersected by any (real) WCB trajectorySLP minimum. To exclude trajectories that might be ascending in a tropical cyclone, we artificially set the cyclone masks to zero in a tropical band between 25°S and 25°N. The intersection of a WCB trajectory with a cyclone mask might occur at any time instance during the 48-hour ascent period. In addition to only be used as a WCB-selecting feature, the cyclone frequencies in CESM
- 10 are of course by itself of great interest, and will be discussed also as a distinct meteorological feature in conjunction with the WCBs. Note that the attribution of potential WCB trajectories to cyclones relies on all cyclone masks, irrespective of the lifetime of the cyclone. In addition, we apply a cyclone tracking algorithm to follow the cyclones from genesis to maturity and finally lysis. Based on this cyclone tracking, we also apply a lifetime filter minimum lifetime criterion of 24 hours to the cyclone tracks, and in the discussion of cyclone climatologies, we restrict the analysis to the set of these more relevant
- 15 systems. For the WCB/cyclone attribution, however, we keep the full cyclone masks in order not to lose WCB trajectories by a too restrictive cyclone criterion. The resulting trajectories all fulfill the required ascent criterion of a WCB and are tied to

a (extratropical) cyclone. A second selection criterion guarantees that the trajectories started at consecutive(every six hours), six-hourly time instances are not counted multiple times. A detailed description of this double-count (or multiple-count) filter, illustrated by specific examples, can be found in Madonna et al. (2014). An example of the selected WCB trajectories is shown in Figure Fig. 1a, including the 48 h trajectories and the position of the air parcels along the ascending airstreams at the times

5 t=where the trajectories are shown in black and the positions of WCB air parcels at times 0 h (red dots), t=start of ascent), 24 h (green dots) and t=during ascent) and 48 h (blue dots) end of ascent) are marked with coloured dots in order to show their ascent from typically about 950 to 300 hPa.

Finally, the Lagrangian WCB trajectories are gridded to a regular latitude/longitude grid with $0.5^{\circ} \times 0.5^{\circ}$ horizontal resolution(see Figure 1b). $0.5^{\circ} \times 0.5^{\circ}$ horizontal resolution. This is done for WCBs calculated based on both datasets, ERA-Interim and

10 CESM in order to make the gridded datasets comparable. These gridded 2D fields build the basis for the climatological analysis of WCBs in CESM, and for the comparison with ERA-Interim. More specifically, at every six-hour timestep six-hourly time step it is determined if a grid point is nearby (< 100 km) a WCB trajectory. We do that separately for all times of the WCB ascent, yielding maps for the location of WCB air parcels at the times t=0 ht = 0, 6 h, ... 48 h. Figure 1b shows the resulting WCB masks at times t = 0, 24, and 48 h for the trajectories shown in Fig. 1a.

15 2.3 Test for statistical significance

Geographical maps of yearly and seasonal WCB /and cyclone frequencies will be presented in this study, for ERA-Interim, HIST and RCP85. If we assume that HIST realistically captures atmospheric dynamics and thus provides a set of 50-WCB /cyclone-WCB and cyclone frequency maps that represent present climate, two questions arise. First, how do the 37 yearly and seasonal WCB/cyclone maps from ERA-Interim (1979-2016) compare to the 50 years of HIST simulations and corresponding

- 20 yearly and seasonal WCB/eyclone maps maps of HIST? Second, how do the 50-year HIST and RCP85 simulations, and i.e., the corresponding WCB /eyclone and cyclone frequency maps compare to each other? In summary, these two questions would These two questions ask for a careful statistical analysis to see if the differences between ERA-Interim /HIST and HIST, and between HIST and HIST/RCP85, respectively, are statistically significant, and thus point to systematic differences or reflect only the natural variability. However, given that we only have 2×50 years of climate simulations and 37 years of ERA-
- 25 Interim data at hand, and we therefore can only have , e.g., only have the same number of seasonal WCB /eyclone and cyclone frequency maps for comparison, a robust statistical inference analysis remains elusive. A robust statistical analysis would ask for Such an analysis would require about ten times more mapsdata, which however is not feasible due to the computational constraints (in particular, the large computational cost of trajectory calculations). The Therefore, the comparison between ERA-Interim /HIST and HIST, and between HIST and HIST/RCP85 comparisons will therefore remain at a rather qualitative
- 30 level, still relying however on physical plausibility.

In contrastto the seasonal and yearly WCB /cyclone maps, , when considering properties of individual WCB trajectories, then a robust statistical analysis (e.g., of diabatic heating rates and precipitation) is perfectly feasible, since the 50 and 37 years for CESM and ERA-Interim, respectively, contain a large number (many thousands) of single WCB events. This allows for a statistically robust comparison of key characteristics (e.g., diabatic heating rates, precipitation) of these events. The adopted

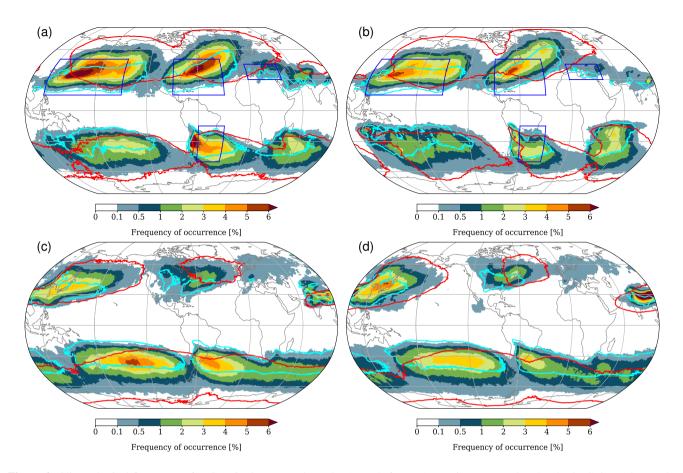


Figure 2. Climatological frequency of WCBs for in DJF (a, b) and JJA (c, d) for ERA-Interim (a, c) and HIST (b, d). Colours denote the percentage of six-hourly timesteps time steps during which at least one WCB trajectory is located in a circle of 100 km around the considered grid point, 24 h after the start of the ascent. The black turquoise line shows a frequency of 1% for WCBs at their starting time t=0t=0 h and the red line shows a frequency of 1% for WCBs at the end of their ascent at t=48t=48 h. The blue boxes in panel (a) show the regions which that are used for the regional analysis of WCBs in Figures Fig. 8and, 9, 10, 11 and 12.

statistical approach to analyse potential shifts in the characteristics differences in these characteristics between HIST and RCP85 will be introduced and discussed in Section Sect. 4.

3 Representation of WCBs in CESM present-day climate

In this <u>chapter section</u> we discuss the representation of WCBs in the HIST <u>simulation simulations</u> and compare the results to the WCB climatology based on <u>the-ERA-Interim data set (Madonna et al., 2014)</u>. In Figure (Madonna et al., 2014). In Fig. 2 the frequency of occurrence of WCBs in boreal winter (DJF) is shown for ERA-Interim (left column) and HIST (right column). WCBs are most frequent in the extratropical storm tracks in the winter hemisphere, as they are per definition linked to extratropical cyclones, which in turn constitute the storm tracks and are most prevalent during winter. Two maxima in the WCB starting (or inflow) regions, i.e., the locations where the WCBs start their 48 h ascent, are discernible in the North Atlantic and North Pacific (see <u>black-turquoise</u> lines) as well as in a band encircling the Southern Ocean between 25°S and 40°S. During their main mid-tropospheric ascent, WCB air parcels travel east- and poleward leading to frequency maxima in the storm track

- 5 regions of ~ 7% (in the Northern and 5%) in the Northern (Southern) Hemisphere in the storm track regions in the Southern Hemisphere (see colours). The WCB outflow, i.e., the regions covered by WCB air parcels at the end of their 48-hour ascent, is spread out over large parts of the extratropical hemispheres (see red lines). During boreal summer (JJA; Figure Fig. 2c,d), two maxima in WCB starting and ascent regions are discernible over the North American continent and its east coast as well as over the Northeast-western North Pacific and the Himalayan region. Whereas the latter WCB activity near the Himalayas
- 10 is connected to the Asian monsoon, the maximum over the Northeast North Pacific is linked to the Mei-yu-Baiu Mei-yu/Baiu front. A more detailed description of the WCB climatology, i.e., its regional hotspots and seasonal cyclescycle, can be found in Madonna et al. (2014).

Comparing the WCB climatology in ERA-Interim (Figure 2, left columnsFig. 2a,c) to the one calculated based on HIST (right columnFig. 2b,d), it is striking to see the similarity between the two, which thus points to CESM's capability to realistically

- 15 simulate WCBs. In fact, both the frequency amplitude and the geographical location of their startinginflow, ascent and outflow regions agree very well between both data sets. Of course, regional differences can still be identified, for instance, in the poleward and eastward movement of WCBs (e.g., in the North Atlantic and North Pacific) and also in the frequency amplitudes (e.g., in the lee of the Andes and the Himalayas). The difference between ERA-Interim and HIST is shown in more detail in the Supplement (Fig. S1, S2). The reduced frequency of occurrence of WCBs in HIST (see reddish areas), especially when
- 20 they reach the upper troposphere (see time steps t = 24 h and t = 48 h in Fig. S1,S2 d,f), might also have an impact on the underestimation of blocking in climate models (Woollings et al. (2018). The patterns emerging from a difference plot (as shown in the Supplement) must however be carefully interpreted. We only have 37 years of ERA-Interim and 50 years of CESM-HIST data available, and it remains very challenging (or even impossible; see discussion in Sect. 2.3) to assess the statistical significance of the emerging difference patterns based on these relatively few years. Hence, part of the emerging
- 25 patterns might 'only' reflect interannual variability. We also restricted the calculation of the WCB climatologies based on ERA-Interim to the 10 year time period from 1990-1999 (i.e., to the time period of HIST). The overall agreement between both datasets is also very good (not shown), however the differences between ERA-Interim and HIST did not decrease by restricting the ERA-Interim period to the 10 years used for HIST. We therefore decided to consider the full ERA-Interim time period for comparison to have a more robust estimation of
- 30 the average WCB climatology.

Whereas the maps in Figure Fig. 2 show that HIST agrees well with ERA-Interim in capturing the frequencies with which a region is affected by a WCBof WCBs, they do however not quantify the 'intensity' of the ascending airstreams, which most easily is defined as the number of ascending WCB trajectories per six-hour time interval. This information is listed in Table 1 for ERA-Interim and HIST, and for later reference also for RCP85. The numbers for ERA-Interim and HIST agree very-well,

35 in particular during winter in the Northern and Southern Hemisphere. During summer, HIST seems to overestimate the number

Table 1. Number of WCB trajectories per six-hour interval for ERA-Interim, HIST and RCP85, determined as an average for the 37 ERA-Interim and 50 CESM years. The different columns give: the global and yearly average (column 2), the winter averages for the Northern and Southern Hemisphere (columns 3,4), and correspondingly the summer averages (column 5,6).

	all seasons	NH winter (DJF)	SH winter (JJA)	NH summer (JJA)	SH summer (DJF)
ERA-Interim	447	298	305	108	189
HIST	476	271	302	174	219
RCP85	601	325	372	263	269

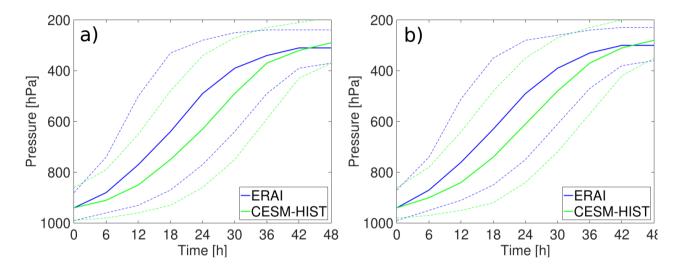


Figure 3. Mean time evolution of pressure (in hPa) along all WCB trajectories during in (a) winter and (b) summer. Solid lines denote the mean over all trajectories and dashed lines the 10th and 90th percentiles for ERA-Interim (blue) and HIST (green).

of WCB trajectories, in particular in the Northern Hemisphere. Some additional information on the WCB intensity in HIST can be gained from intensity maps, which complement the frequency maps in Figure Fig. 2 and are available in the Supplementary Material (Figure S1 and S2Supplement (Fig. S1a,c,e and S2a,c,e).

In addition to the geographical pattern of WCB occurrence, we also analysed the ascent behaviour in both data sets. In Figure

- 5 3, Figure 3 shows the time evolution of pressure along the 48-hour ascent for all WCB trajectories shown. During both seasons, WCBs start from the lower troposphere at ~ 950 hPa and ascend to a height of ~ 300 hPa, in the mean. The average time WCB air parcels in HIST need to fulfill the 600-hPa 600 hPa ascent criterion equals to ~ 42 h. Thereby, the ERA-Interim trajectories reach this criterion slightly earlier than the HIST trajectories, which is also reflected in the faster and earlier ascent in ERA-Interim. However, given the differences in (vertical) resolution between ERA-Interim and CESM (see section Sect.
- 10 2.1) the ascent and the large variability of the ascent (see dashed lines), the ascent behaviour is remarkably similar between the

two modelsERA-Interim and HIST.

In summary, the agreement between ERA-Interim and HIST suggests that the latter is able to simulate, for a present-day climate, the WCBs' spatial occurrence, their frequency and ascent behaviour in a physically reasonable way (see comments in section Sect. 2.3 about statistically robust inferences). In fact, an exact agreement cannot be expected because two models are

- 5 compared that substantially differ in their parameterizations of sub-grid scale processes and their (vertical) resolutions. Furthermore, two different time periods are included in both data WCB setsconsidered. Whereas for calculating the ERA-Interim trajectories, the time period from 1979-2018 is used, for HIST we use five times ten years representative for 1990-2000 1990-1999 to get a 50-year climatology. It is not a priori clear how ERA-Interim's single realisation of the present-day climate 'fits' into the 1990-2000 spectrum of CESM 's present-day climate (see also section 2.3). The Since the CESM simulations
- 10 are coupled, they also develop for instance their own ENSO cycle and therefore also during 1990-1999 they represent different ENSO characteristics than ERA-Interim. Considering these aspects, the results of this section, however, point to a physically reasonable representation of WCBs in HIST, and therefore we will use HIST in combination with RCP85 to assess the potential change of WCBs in a future elimate in Section RCP8.5 climate in Sect. 4.

4 WCBs in a Future future RCP8.5 Climateclimate

15 In this section, WCBs in HIST will be compared to the ones in RCP85. To this aim, we calculated WCB trajectories in five ensemble members of the RCP85 simulation that are representative for the years 2090-2099 under the RCP8.5 emission scenario (see Section Sect. 2.1 and 2.2). In a first part (section Sect. 4.1) the focus will be we focus on differences between the geographical patterns and occurrence frequencies. Then, in section Sect. 4.2, we will characterize the WCBs in several hotspot regions by means of key parameters (e.g., their associated precipitation), followed by a more refined analysis of a potential

20 shift to more extreme values effect of climate change on WCB characteristics.

4.1 Geographical changes in WCB occurrence frequencies

The absolute frequencies of WCBs in <u>boreal winter (DJF) in</u> HIST as well as the difference in the WCB frequencies between HIST and RCP85 are displayed in Figure 4for DJF and for the times t=0Fig. 4, separately for the times t = 0 h (starting locations), t=24t = 24 h (ascent) and t=48t = 48 h (outflow)separately. In a future climate. In RCP85, more WCBs start near the

- 25 U.S. East Coast east coast and over the southern U.S. Southern States (Figure states (Fig. 4b). On the other hand, the starting frequencies to the south of Iceland are decreased. In the North Pacific, the opposite signal can be observed, with a decreased frequency in a future climate RCP85 around 30°N and an increased frequency further to the north. Climate-related changes north. Climate change signals are also discernible in the Southern Hemisphere. For instance, over South America, the WCB frequency at time t=0t = 0 h is slightly increased, whereas it is somewhat decreased near Madagascar.
- 30 In the following 24 h, i.e. during the WCBs ascent (Figure Fig. 4c,d), the differences observed in the starting frequencies at t = 0 h move downstream (to the east) leading to decreased frequencies over the North Atlantic around Iceland, around near 30°N in the Pacific and to the east of Madagascar. An increased WCB frequency in RCP85 is also discernible near the U.S.

East Coast, to the east coast, north of 30°N in the North Pacific and to the east of South America.

After 48 At t = 48 h and thus at the time when the trajectories reach upper-tropospheric levels (Figure Fig. 4e,f), the WCB trajectories spread out substantially and, consequently, the differences between HIST and RCP85 cover considerably larger areas than at time t=0 h or t=24 hearlier times. In the North Atlantic southward of 60 south of ~ 70°N, higher frequencies are

- 5 found in RCP85, whereas lower frequencies prevail northward of 60north of 70°N. In contrast, over Over the North Pacifican opposing signal is found: , the WCB outflow is less often located around 30°N butshifted northwards, in accordance with the northward shift of the WCB inflow (t=0t = 0 h), while and an increased frequency can be seen between 45°N and north of 60°N. Finally, in the Southern Hemisphere, the difference signal at time 24t = 24 h is also propagating further downstream and at time t=48t = 48 h covers considerably larger areas due to the spreading out of the WCB airmasses WCBs in the upper-
- 10 tropospheric outflow.

The differences between HIST and RCP85 for the Northern Hemispheric in the Northern Hemisphere summer (JJA) are displayed in Figure Fig. 5. Over the Central central U.S. and East eastern Canada, a dipole is discernible: fewer WCBs start over the Central central U.S. in RCP85, but more WCBs start over East over eastern Canada. In the Himalayas and the adjacent Pacific OceanNorth Pacific, the most pronounced increase in WCB frequency is observed in RCP85. Also the Southern Hemi-

- 15 sphere exhibits higher frequencies over the Atlantic and Indian Ocean. On the other hand, a decrease over the West subtropical western South Pacific is observed. These differences in the WCB starting regions (t=0t=0 h) again propagate downstream with time (see Figure Fig. 5d). At time t=48t=48 h (see Figure Fig. 5f) a considerable increase in RCP85 is discernible in large areas over South East Southeast Asia and the West western North Pacific as well as the Southern Ocean. The maps in Figures Fig. 4 and 5 compare the *frequencies* with which a specific region is affected by a WCB. However, they
- 20 neglect as in the comparison between ERA-Interim and HIST in Section Sect. 3 a potential change in WCB *intensities*. With WCB intensities, we consider how many WCB airparcels are located nearby the considered gridpoint. Indeed, a substantial increase in WCB intensity is discernible in a warmer climate, by again RCP85 when comparing the number of WCB trajectories per six-hour interval (see Table 1). Consistently, the numbers are higher (by about 26%) in RCP85 than in HIST for all seasons and separately in the Northern and Southern Hemisphere. This increase is also seen in the WCB-intensity maps, showing the
- 25 number of trajectories per square kilometer and six-hour interval, which is provided in the Supplementary Material (Figure Supplement (Fig. S1 and S2).

In section_Sect. 3 we compared the ascent-pressure evolution of WCB trajectories in ERA-Interim and HIST, finding that the overall ascent is well captured in CESM in particular with respect to the maximum altitude reached by the WCB air parcels. Here, we now compare the ascent behaviour of WCB trajectories in HIST and RCP85 (Figure Fig. 6), expecting that the overall

30 warmer atmosphere and increased moisture content potentially influence the maximum altitude reached-but also the ascent rate of the WCBs. However, the global mean pressure decrease during the 48-hour ascent is very similar in both simulations. In fact, during in DJF, trajectories start from the same pressure levels in HIST and RCP85, and they ascend to only slightly higher levels in RCP85. During In JJA, the starting and ending pressures are slightly higher in RCP85, but this vertical shift is not accompanied by a pronounced change in the WCB ascent rate, at least in the global and seasonal mean shown in Figure Fig. 6.

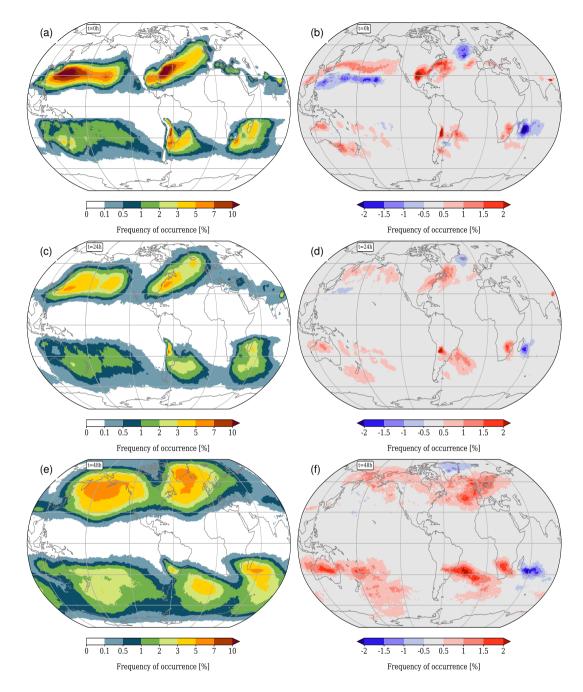


Figure 4. Absolute values of WCB frequency frequencies (in %) of for HIST (a, c, e) and difference between RCP85 and - HIST during boreal winter (DJF) for WCB ascent times t=0t = 0 h (a, b), t=24t = 24 h (c, d), t=48 and t = 48 h (e, f).

This result indicates that the WCBs in a future climate keep their essential ascent characteristics (but see also Section 4.3Sect. 5.1).

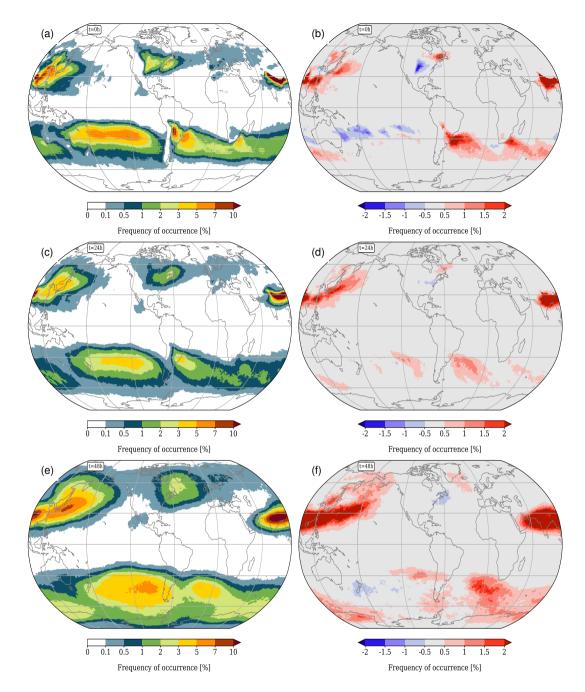


Figure 5. Same as Figure Fig. 4 but for JJA.

Finally, we ask the question how the climate-related climate-change related shifts in WCB frequencies can be explained. To this aim, it is worthwhile to keep in mind that the WCB is one of the characteristic airstreams in extratropical cyclones, which is also reflected in the fact that our WCB definition (in section Sect. 2.2) explicitly links any WCB to a cyclone.

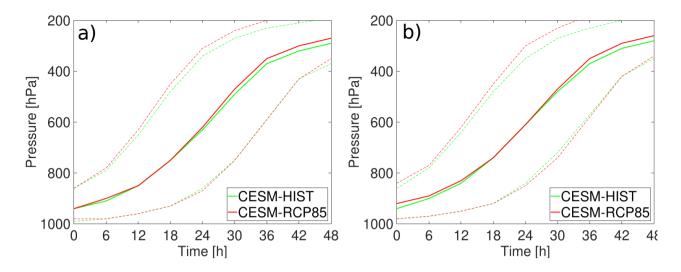


Figure 6. Time evolution of pressure (in hPa) along all WCB trajectories in (a) winter and (b) summer. Solid lines denote the mean over all trajectories and dashed lines the 10th and 90th percentiles for HIST (green) and RCP85 (red).

This, in turn, means that any shift change in WCB frequencies (spatially and in amplitude) leads to a corresponding question concerning cyclones as the WCB-driving systems might be related to changes in cyclone frequencies. In fact, several of the signals discussed before can be associated with corresponding signals in cyclone frequencies. For instance , during DJF in DJF, the WCB frequency in the North Pacific decreases in RCP85 around near 30°N and increases further to the north. This signal

- 5 is consistent with the corresponding change in cyclone frequency_frequencies in the North Pacific, where fewer cyclones are found around near 30°N and more around 4560°N (see Figure Fig. 7b). Note that a northward shift of the North Pacific storm track in a warmer climate is also found in other studies (e.g. Tamarin-Brodsky and Kaspi, 2017; Priestley and Catto, 2022). Consistent signals are also found over South America, where both the WCB frequency at time t=0t=0 h and the cyclone frequency are slightly increased. A (partly) consistent decrease in WCB and cyclone frequencies is found over Madagascar,
- 10 where fewer cyclones occur in an area reaching from Madagascar to the northwest coast of Australia. However, a more detailed analysis of the cyclones in the vicinity of Madagascar revealed that the strong reduction in cyclone frequency is mainly caused by a reduction in the frequency of tropical cyclones. This reduction of tropical cyclones in the Southern Indian Ocean and Australasian regions is in agreement with results based on CMIP5 models (Roberts et al., 2020). Thus, the WCB signal that can be seen close to Madagascar is not related to extratropical cyclones. This also manifests in the relative stationarity of the
- 15 WCB movement from t = 0 h to t = 48 h (see Fig. 4). As in this study we do not focus on tropical cyclones, the signal around Madagascar will not be discussed further.

There are, however, also regions where cyclone and WCB frequencies do not exhibit consistent signals. For instance, this is the case in the North Atlantic and during DJF. There, DJF, when WCBs increase over the western North Atlantic and decrease in the East and decrease over the eastern North Atlantic, south of Iceland. The cyclone frequencies, in contrast, completely lack

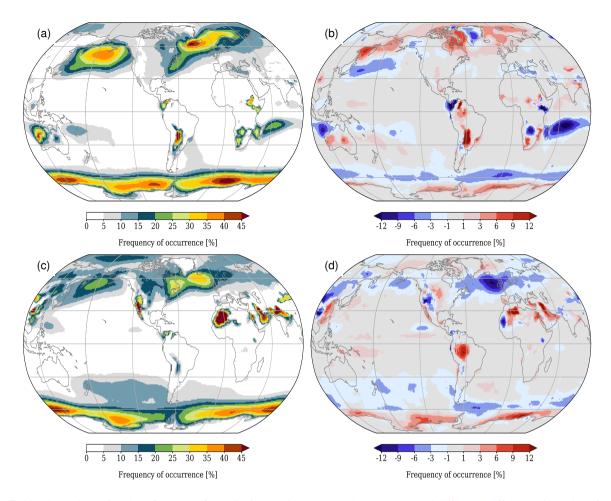


Figure 7. Absolute values of cyclone frequency for HIST for DJF in (a) DJF and JJA (c) JJA, and difference differences in cyclone frequency frequencies between RCP85 and HIST for DJF in (b) DJF and JJA (d) JJA.

such a clear dipole structure. This discrepancy points to an important aspect: in addition to frequency changes of cyclones in a specific region, it might also be the cyclone structure itself that changes and thus allows for more or less WCBs to occur. Stated otherwise, in a warming climate the WCB-efficiency of cyclones due to changes in inflow moisture and/or baroclinicity could (regionally) change and thus explain any contribute to the climate-related WCB signals. The decomposition (or attribution) of the WCB changes into a cyclone frequency and efficiency part is, however, not trivial and beyond the scope of this study.

5

4.2 Regional changes of WCB characteristics

Despite the very similar ascent behaviour in both considered climates (Figure Fig. 6), we have shown that differences in the WCB frequency occur in the main WCB ascent regions (Figures Fig. 4,5). Therefore, we now analyse characteristics of WCBs and associated WCB impacts like precipitation separately for the world's main WCB ascent regions, namely the North Atlantic

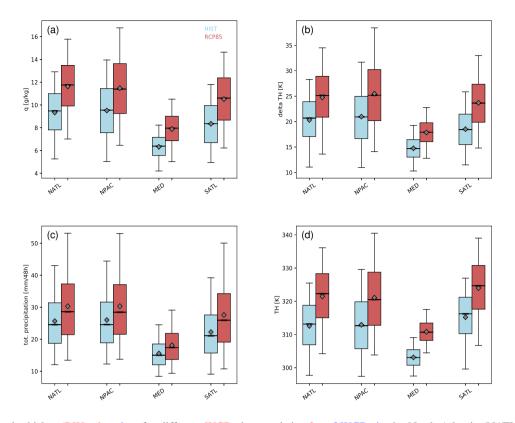


Figure 8. Box-and-whisker (BW) plot plots for different WCB-characteristics for of WCBs in the North Atlantic (NATL), North Pacific (NPAC), Mediterranean (MED), and the South America Atlantic (SATL). The panels show (a) specific humidity in the WCB inflow, (b) increase of potential temperature along the WCB, (c) accumulated surface precipitation along the WCB, and (d) potential temperature at the end of the WCB ascent. Only winter is considered, i.e., DJF for NATL, NPAC and MED, and JJA for SATL. The results for HIST are shown in blue bars, and for RCP85 in red bars. The BW bars diagrams show: lower-to-upper quartile-interquartile range (colored bar), median (bold black line), mean (diamond), and the 5th-to-95th percentile range (thin lines).

(NATL) and North Pacific (NPAC) storm tracks, the Mediterranean (MED) and the South Atlantic (SATL). The selected regions are shown in Figure Fig. 2a. We characterize the WCBs by calculating different measures: the specific humidity at time t=0t=0 h, i.e., at the beginning of the WCB ascent; the accumulated precipitation during the ascent (t=0...48 along the ascent from t=0 h to t=48 h); the difference in potential temperature ($\Delta\theta$) between the start and the end of the ascent¹; and the potential temperature at the end of the ascent (θ_{end}). The results are shown in Figure Fig. 8 for winter only, i.e., DJF for the North Atlantic, North Pacific and Mediterranean, and JJA for the South Atlantic.

The distribution of specific humidity in the WCB inflow increases substantially from HIST to RCP85 in all considered regions (Figure-Fig. 8a). The 5th and 95th percentiles as well as the mean and median of the distributions is are shifted to significantly

¹The end of the WCB ascent is defined as the time when the WCB air parcels no longer increase in gain altitude, i.e., when the pressure increases again. However, to allow for transient phases of descent at lower- to mid-tropospheric levels, the criterion is only applied if the air parcels are at least 400 hPa above their starting altitude pressure level. If air parcels continue their ascent during 48 until t = 48 h, then the end of the ascent is set to 48 h.

higher values, whereas also the width of the distribution distributions is slightly increased in RCP85. The difference is most pronounced in the Mediterranean region where the inner-quartile interquartile ranges are almost completely separated. In general, in all regions the increases in specific humidity and temperature are qualitatively in accordance with the Clausius-Clapeyron relationship. The increased moisture values in the WCB inflow lead in all regions, consequently, to an increase in total pre-

- 5 cipitation that falls along the ascending airstream (Figure WCBs (Fig. 8c). This increase arises from changes in the resolved, large-scale precipitation, whereas parameterized convective precipitation remains rather unchanged in RCP85 compared to HIST (not shown). The increase of inflow moisture in a future climate also has a strong impact on the change increase of potential temperature ($\Delta\theta$), i.e., the cross-isentropic flow along the WCB ascent (Figure of the WCB (Fig. 8b). More diabatic heating due to cloud formation leads to the enhanced $\Delta\theta$. This is true for all regions, and it is particularly pronounced in the
- 10 upper percentiles. Connected to the more diabatic nature of WCB ascents WCBs and the increase in $\Delta\theta$, WCBs reach higher isentropes at the end of their ascent (Figure Fig. 8d). In all regions except for the Mediterranean, WCBs in a warmer climate reach higher than RCP85 reach above the 320 K isentrope. The difference is most pronounced in the Mediterranean, where the two distributions are almost completely separated for HIST and RCP85. Hence, in a warmer climate RCP85, WCBs in the Mediterranean reach the to 310 K isentrope in the mean, whereas it this level is only reached by the most extreme WCBs in

15 HIST.

In summer, the properties of WCBs also change in a warmer climate (see Figure <u>RCP85</u> (see Fig. 9). Specific humidity in the WCB inflow is increasing in all regions whereby, in contrast to winter, the change is most pronounced in the North Pacific and South Atlantic oceans (see Figure (Fig. 9a). In the Mediterranean, the smallest change is observed. Due to the increase in moisture, also the precipitation which falls along the ascending WCB airstreams WCBs is increased (Figure Fig. 9c). The mean

- 20 fand median precipitation only slightly increases increase in the North and South Atlantic, and almost no increase is discernible in the Mediterranean. The most pronounced increase occurs in the North Pacific in the higher percentiles, where it amounts to an almost 20% increase. Thus, in <u>RCP85</u>, extreme precipitation events connected to WCBs potentially will be increased in a future elimate in become more severe in the North Pacific. Interestingly, the shift in the upper percentiles is much less pronounced in the North Atlantic. The change of potential temperature is shown in Figure Fig. 9b. Again, the cross-isentropic
- flow and thus the diabatic character of WCBs is strongly enhanced in a warmer climateRCP85. In all regions $\Delta\theta$ strongly increases whereas the changes are smallest in the Mediterranean region. Linked to the enhanced diabatic activity, the ascending WCB airstreams reach considerably higher isentropes. Indeed, in all regions the inner-quartile interquartile ranges of the distributions are completely separated (see Figure Fig. 9d). The increase in the Mediterranean is mainly caused by an increase in the θ values at the of θ in the WCB inflow, which reflects the strong surface temperature increase in the Mediterranean at the
- 30 surface in a warmer climate in RCP85 (not shown).

As mentioned before, for several characteristics the box-and-whisker plots of several characteristics particularly differ in their climate-change-related shift of the between HIST and RCP85 differ in particular for the range between the 5th and 95th percentiles. For instance, for precipitation, the former remains rather unchanged, the latter increases substantially in winter from HIST ($\sim 40 \text{ mm } 4(48 \text{ him})^{-1}$ in HIST in NATL, NPAC and MED) to RCP85 (to $\sim 50 \text{ mm } 4(48 \text{ h})^{-1}$ in RCP85. Further, in

35 summer, the upper percentiles of precipitation in the North Pacific exhibit a much more pronounced shift than the correspond-

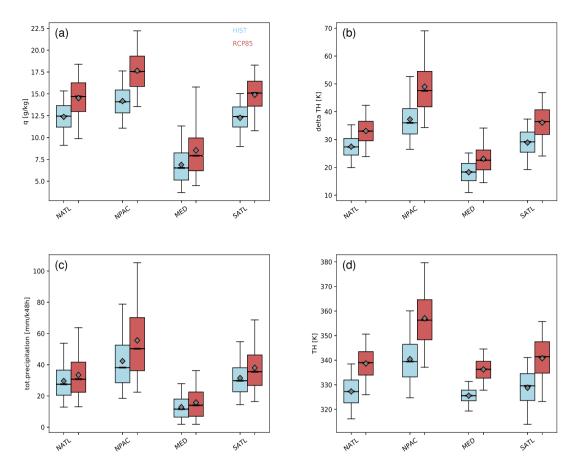


Figure 9. Same as Figure Fig. 8 but for summer, i.e., JJA for NATL, NPAC and MED, and DJF for SATL.

ing shifts in the North Atlantic. This indicates that there is actually a shift to more extreme precipitation events in the future elimateassociated with WCBs in RCP85, but that it depends on the considered region. This is, however, difficult to quantitatively determine from Figures Fig. 8 and 9, and thus we proceed with a more refined statistical analysis that allows for a detailed quantification of the shift in the precipitation percentiles.

- 5 In essence, the percentiles of the HIST and RCP85 precipitation values integrated along the WCBs are first calculated, and then their difference is determined. It is shown, separately for the four target regions and for winter and summer in Figure 10, i. e., the (Fig. 10). The horizontal axis gives the percentile and the vertical axis the difference in (RCP85-HIST) of the precipitation at the corresponding percentiles. For instance, the median precipitation (50%-50th percentile) increases between by 2.5 mm /(48 h(for MED) and 50)⁻¹ for MED and even 5.0 mm /(48 h(for SATL) in RCP85 compared to HIST)⁻¹ for SATL. The most striking signal in the percentile differences is, however, the substantial increase towards for the more extreme percentiles. In
- 5 winter the range shifts increase for the highest percentiles amounts to $5.0 \text{ mm } / (48 \text{ h})^{-1}$ for MED and around even more than $15.0 \text{ mm } / (48 \text{ h})^{-1}$ for the other regions (Fig. 10a), which is a very substantial shift to more extreme precipitation increase of the most extreme precipitation values. In fact, these shifts increases can also be compared to the typical precipitation values

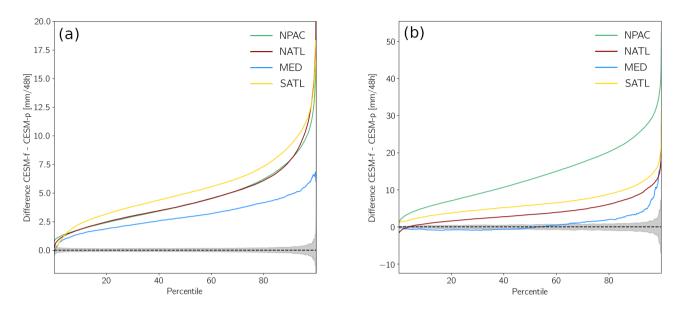


Figure 10. Difference of precipitation percentiles for the four target regions, for winter in (a) winter and for summer (b) summer. The grey-shaded area corresponds to a the 95% confidence interval under the null hypothesis that the two precipitation distributions for HIST and RCP85 do not differ. Exemplarily, the This confidence interval is only shown for the region-MED; the confidence intervals for the other regions fall-it falls within the one for MED. The confidence interval is calculated based on a resampling approach (see text for details). Note the different vertical axis scales in the two panels.

in Figure Fig. 8 and 9. The effect becomes considerably strengthened climate change effect (in terms of absolute increase of WCB-related precipitation) becomes even larger in summer, particularly in the region NPAC - (Fig. 10b).

- 10 To assess the statistical significance of the RCP85/HIST_RCP85-HIST_percentile differences in Figure Fig. 10, we applied a resampling approach to determine for each percentile difference the 95% confidence interval, i. e., that it significantly deviates from 0... More specifically, we assume that the WCB-related precipitation distribution of HIST and RCP85 does not differ, i.e., that they belong to a common distribution. Under this null hypothesis, we draw two equal-sized samples and determine the percentiles difference for these two samples. This step is repeated 10'000 times and for each percentile the 2.5%-to-97.5%
- 15 range of the resampling differences is shaded in grey. This is exemplarily done shown in Fig. 10 for the region MEDin Figure 10, and for the other regions the corresponding confidence intervals fall within the MED confidence interval. Hence, if the actual RCP85/HIST percentile for the MED difference RCP85-HIST percentile difference for MED falls outside this grey range, it indicates that the null hypothesis is not valid and the difference, at the corresponding percentile, is statistically significant at the 5% level. The fact that this essentially is the case for all percentiles strongly underlines the climate-warming-related shifts
- 20 in <u>WCB-related</u> precipitation.

5 Implications of changes in WCB characteristics in a future RCP8.5 climate

In the previous sections we have shown that WCB frequencies, but also <u>many of</u> their characteristics considerably change in a warming climate. More specifically, the WCB-related precipitation strongly increases in the upper percentiles, but it remained open where on the globe these changes will be most pronounced. Furthermore, the more diabatic nature of the WCB ascent

25 linked to a higher outflow isentrope has been discussed, whereas the implications of these changes remained unclear. In this section we will therefore discuss in more detail three different WCB impacts that are associated to these changes, namely (i) the interaction of WCB outflows with the upper troposphere *f* and lower stratosphere (UTLS), (ii) mid-tropospheric diabatic heating, and (iii) the geographical distribution of WCB-related surface precipitation.

5.1 WCB impact on the upper-tropospheric flow

- 30 When WCBs reach the UTLS region at the end of their ascent, they have the potential to modify the upper-level flow and to eventually amplify upper-level ridges, or initiate Rossby waves where the WCB outflow interacts by interacting with the PV waveguide (or jet stream). They can initiate Rossby waves (Roethlisberger et al., 2018), amplify upper-level ridges, or contribute to the formation or maintenance of blocks (e.g., Wernli (1997); Pomroy and Thorpe (2000); Grams et al. (2011); Pfahl et al. (2015b)). In consequencesome cases, these local modifications of the upper-level flow can propagate downstream and thus influence the evolution and the predictability of the weather downstream (Grams et al., 2011, 2018). For a WCB to exert a substantial impact on the upper-level flow, it must reach levels close to the tropopause. Hence, it is physically most elu-
- 5 cidating to show the WCB outflow region together with the position of the (dynamical) tropopause, which we here define as the 2 pvu isolineisosurface. This is done in Figure Fig. 11, which includes the zonal mean tropopause position, isentropes(potential temperature isolines), as well as the distribution of the WCB outflow positions for the four different regions (NATL, NPAC, MED and SATL) and for HIST and RCP85.

The isentropes ascend towards the poles whereas the dynamical tropopause descends from its levels around about 100 hPa in the tropics to about 300 hPa in the polar regions, with steep slopes where jet streams are located. Due to the warmer tempera-

- tures in RCP85, the isentropes are consistently shifted to lower altitudes in the troposphere (dashed gray lines). The tropopause, however, remains rather unchanged in only slightly increases in altitude in the warmer climate (solid <u>fand</u> dashed black lines). In HIST, air parcels in the WCB outflows, i.e., at the end of their ascent, reach the UTLS in the mean at a latitude of $\sim 45^{\circ}$ N/S (Figure (Fig. 11) for the oceanic basins (regions NATL, NPAC and SATL) and of , and $\sim 50^{\circ}$ N for the Mediterranean (MED
- 15) MED (see green lines). Interesting is the difference in location of the outflow altitude relative to the dynamical tropopause. Whereas the outflow peak is located below the mean tropopause in NATL and MED, this is not the case for NPAC and SATL. There, the maximum of the outflow is either located very near to the close to the mean tropopause altitude, or even within the lower stratosphere. In the RCP85elimate, all regions experience a poleward and upward shift in the WCB outflows (see colour shading). Given that the dynamical tropopause remains rather unchanged in RCP85, this points to WCBs being able to more
- 20 strongly disturb the upper-level flow, with implications for the <u>formation of intense ridges and blocks</u>, for the downstream weather evolution and <u>for</u> predictability, as discussed before. This finding is, of course, also consistent with the isentropic level

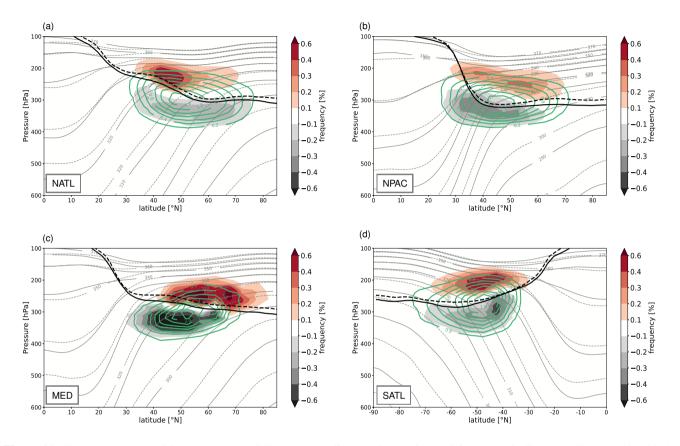


Figure 11. 50-year mean potential temperature (solid/dashed grey lines) and potential vorticity (2 pvu isolines; solid/dashed thick black lines), zonally averaged over the four target regions (a) NATL, (b) NPAC, SATL and (c) MED, and (d) SATL. Dashed For both fields, dashed lines correspond to RCP85, and solid lines to HIST. Green solid lines show the distribution normalized frequency (binsize 5°, 25 hPa) of the WCB air parcels at the end of their ascent for HIST (outermost line for 0.2% with an increment of 0.2 for NATL, NPAC, SATL and 0.3 for MED). The corresponding shift in a future effect of climate change on the normalized WCB frequency, RCP85 minus HISTRCP85-HIST, is shown in color shading. All panels are for winter in the corresponding hemisphere, i.e., DJF in (a, b, c) and JJA in (d) and the PV has been multiplied by -1 in SATL.

reached at the end of the WCB ascent (see Figure Fig. 8d). For instance, in the NATL the end-of-ascent isentropic level changes (in the median) from ~ 313 K in HIST to ~ 322 K in RCP85, which fairly well matches with the isentropic levels in Figure Fig. 11 and supports the finding that the outflow is shifted closer to the tropopause. However, a poleward shift of the ascent region.

- 25 could also lead to an irrotational outflow closer to the upper-level jet and therefore also modify the upper-level flow. Another important aspect of the shift in the WCB outflow altitude relative to the tropopause concerns the transport of water vapour into the stratosphere. As troposphere-to-stratosphere transport occurs also in the extratropical storm tracks Škerlak et al. (2014), changes in WCB outflow heights and frequencies in a future climate might also modify the transport of water vapour across the tropopause. A more detailed analysis of this important topic is however out of the scope of this study.
- 30

5.2 Mid-tropospheric diabatic heating in WCBs

In Figure 12, a frequency distribution Figure 12 shows frequency distributions of the location (altitude vs. latitude) of the maximum diabatic heating rate (DHR) occurring in the WCBs is shown as a zonal mean over for each of the four regions. Here we consider the change in potential temperature along WCB trajectories as a proxy for the diabatic heating (DHR) rate as the DHR is not available from the model output. The maximum DHR in HIST is located at a height of 600 - 700600 - 700 hPa in NATL, NPAC and MED, whereas it is located at slightly higher altitudes (500 - 600 - 500 - 600 hPa) in SATL (see green lines). The change in the position of the maximum DHR from HIST to RCP85 is shown in colour. In all considered regions, the maximum in the DHR shifts upward and poleward. Particularly in the MED, the latitude of maximum diabatic heating

- 5 is considerably shifted ~5° northwards. In contrast, the vertical shift of maximum diabatic heating is equally pronounced in all regions. The location of maximum heating rises to higher altitudes (lower pressure values). Additionally, we calculated the absolute values of the maximum DHR (see Fig. S5a in the Supplement). The mean maximum values strongly increase by about 2-3 K (6h)⁻¹ from present-day to future climateHIST to RCP85, whereas the increase in the upper percentiles of the maximum values is slightly more pronounced with 3-4 K (6h)⁻¹(see Supplementary Material, Figure S3). This signal is consistent with the increase in precipitation and $\Delta\theta$ along the ascending WCBs, as shown in Figure 8band Fig. 8b.c, and supports the increased diabatic nature of WCBs in a future climateRCP85. The increase in the maximum DHR and the shift to higher altitudes potentially also have an effect on the intensification of the associated cyclones, caused by changes in the
- 5 associated diabatic modification of potential vorticity, as discussed in more detail by Binder et al. (2022). On the other hand, changes in the location and amplitude of diabatic heating in the extratropical storm tracks can have an impact on the location of the eddy-driven jet (e.g., Lachmy and Kaspi (2020)), whereas Papritz and Spengler (2015) discuss-discussed the importance of mid-tropospheric diabatic heating for the slope of isentropic surfaces as a measure for baroclinicity. They showed that in the upper troposphere, the diabatic heating due to cloud processes is the dominant mechanism maintaining the isentropic slope.
- 10 The observed changes in the DHR from the present to future climate <u>HIST to RCP85</u> could thus potentially influence the jet location and baroclinicity. A detailed analysis of these effects is however beyond the scope of this work. In summer, the position of the maximum in the DHR maximum DHR along WCBs is located slightly higher than in winter, however a very similar upward and poleward shift from HIST to RCP85 in the maximum DHR is observed as in winter (not

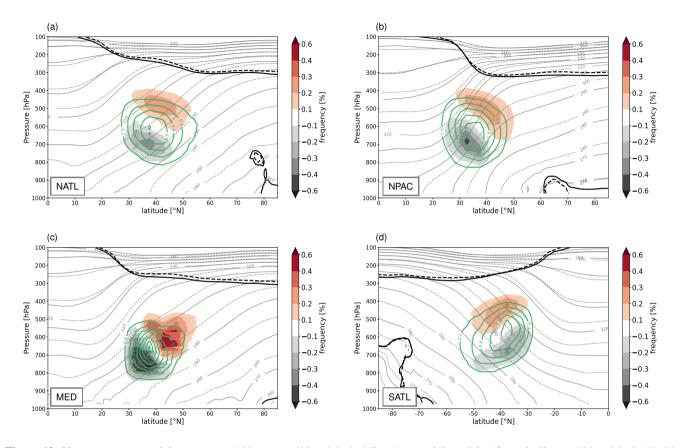


Figure 12. 50-year mean potential temperature (thin-grey solid and dashed-lines), potential vorticity (2 pvu isolines; solid and dashed bold black lines), zonally averaged over the four target regions (a) NATL, (b) NPAC, SATL and (c) MED, and (d) SATL. Dashed lines correspond to RCP85 , and solid lines to HIST. Green solid lines show the normalized frequency (binsize 5°, 25 hPa) distribution of the WCB air parcels during the time of maximum diabatic heating for HIST (outermost line for 0.2% with an increment of 0.2 for NATL, NPAC, SATL, and 0.3 for MED). The corresponding shift in a future climate change effect of the distribution of maximum latent heating, RCP85-minus HISTRCP85-HIST, is shown in color shading. All panels are for winter in the corresponding hemisphere, i.e., DJF in (a, b, c) and JJA in (d) and the PV has been multiplied by -1 in SATL.

Figure 13. (a) 50-year climatology of total DJF-precipitation in DJF ($\frac{1}{10}$ mm $\frac{1}{3}$ months)⁻¹) for HIST, (b) difference in of total precipitation between RCP85 and HIST, (c) percentage of precipitation that is linked to WCBs in DJF, and (d) difference RCP85 minus HIST for percentage of DJF precipitation linked to WCBs. Black solid and dashed lines denote the 1% occurrence frequencies for grid points that are part of a-WCB during its inflow and ascent phase(i.e., thus while the air parcels are located below 400 hPa). Red hatched Hatched regions show where there is an increase in overall-total precipitation, thus they correspond to the blue colours in panel (b). Fields are shown in transparent in all regions where the occurrence frequencies of WCBs in the inflow and ascent phase is less than 1%.

shown). The absolute values of the maximum DHR are higher compared to winter, whereby the changes in the upper percentiles are even more pronounced in the future climate (see Supplement Figure S4Fig. S5b).

5.3 Geographical distribution of WCB-related precipitation

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In Figure Fig. 8c, 9c, it has been shown that the WCB-related precipitation increases in a warmer elimate whereas RCP8.5 and the most pronounced change from HIST to RCP85 is seen in the upper percentiles. In Figure Fig. 13 we therefore discuss in more detail the geographical distribution of WCB-related precipitation and the changes in a future elimatetotal precipitation

- 20 and its changes in the RCP85 simulation, as well as the WCB-related precipitation and its changes in RCP85. In Figure Fig. 13a, the 50-year climatology for of total precipitation is shown for DJF. Precipitation maxima occur over the inter-tropical convergence zone as well as in the main storm track regions and at high mountain ranges (e.g., Rocky Mountains, west coast of Norway). In the North Atlantic and North Pacific, precipitation is highest in the centre of the storm tracks, whereas in the southern hemispheric Southern Hemisphere storm tracks a poleward decrease in precipitation is observed occurs with
- 25 exceptions for areas with high mountain ranges. In Figure 13b , shows the difference in total precipitation (RCP85 minus HIST) is shownRCP85-HIST). In the North Pacific storm track, total precipitation increases in the northern part, whereas a decrease is discernible in the southern part. In the North Atlantic, precipitation increases in the southwestern and northeastern part of the storm track and decreases to the south and west of Iceland as well as over the eastern Mediterranean. In the Southern Hemisphere, precipitation increases over most parts of the storm tracks, with exceptions to the east of Madagascar and in the central South Pacific. The changes in total precipitation shown here for the RCP85 simulation are very similar to changes 5 observed found in other CESM1 simulations (e.g. Meehl et al., 2013) or in CMIP5 multi-model mean precipitation (e.g. Knutti
- 5 observed found in other CESM1 simulations (e.g. Meehl et al., 2013) or in CMIP5 multi-model mean precipitation (e.g. Knutti and Sedlácek, 2012; Giorgi et al., 2019).

Figure 13c shows the percentage of total precipitation linked to WCBs. In the order to attribute the precipitation to WCBs, we mask all grid points that are part of the ascent phase of a WCB. More precisely, we select all longitude/latitude positions along the WCB trajectories, as long as the WCB is still in its ascent phase with pressure values larger than 400 hPa. These

10 positions are then interpolated to a regular grid. We thus obtain 2D-masks for every 6-hour time step, which contain all grid points that are part of an ascending WCB. The precipitation that occurs at these grid points is defined to be linked to WCBs. In the storm track regions, where also the frequency of WCB occurrence is highest (see Figure Fig. 4a,b), WCBs are responsible for up to 50% of precipitation and in the North East eastern North Atlantic, close to Iceland, they are still responsible for

 \sim 25%. In the Southern Hemisphere more than 50% of the total precipitation is associated with WCBs downstream of South

- 15 America, Africa and Australia. This result is in very good agreement with the one presented in Pfahl et al. (2014). There, it was investigated how much precipitation can be attributed to WCBs in the ERA-Interim data set and it was found that in DJF more than 60% of total precipitation is associated with WCBs in the main storm track regions (see their Figure Fig. 7b). These results also confirm that the CESM model is able to reasonably capture the integrated effect of dynamical and microphysical processes that lead to precipitation.
- The difference in the percentage of WCB-related precipitation between RCP85 and HIST is shown in Figure Fig. 13d, but only in regions where the occurrence frequencies of WCBs in their inflow and ascent areas exceed 1% (black solid and dashed lines). The red-hatched areas highlight regions where there is an increase in total precipitation in a future climate (blue colours Figure RCP85 (corresponding to blue colours Fig. 13b). Changes in the percentage of WCB-related precipitation can occur because in a certain region the frequency of WCBs changes or because a WCB can become more or less effective in the
- 25 formation of precipitation. Overall, the changes in WCB-related precipitation are small. In some regions, like in the North Pacific, at the U.S. East Coasteast coast, or on the polar side of the southern hemispheric Southern Hemisphere storm track, an increase in total precipitation together with an increase in the WCB-related precipitation is observed. As mentioned above, this signal can either be caused by the increased inflow moisture of WCBs, by an increased occurrence frequency of WCBs, or by an interplay of both effects. However, in these regions the potential for WCB-related extreme precipitation might be increased
- 30 in a warmer climateRCP85. In other regions, e.g., close to Iceland or Madagascar, a decrease in WCB-related precipitation might be caused by a decrease in the occurrence frequencies. The most important changes in WCB-related precipitation are, however, already shown in Figures Fig. 8c, 9c and 10, where it can be seen that the overall amount of precipitation falling integrated along a WCB increases in a future climateRCP85, especially in the upper percentiles. This points to a potential increase in extreme precipitation events linked to WCBs in a warmer world.

6 Discussion and conclusion

In this study we made use of 50 years of present-day (1990-1999) and future (RCP8.5 scenario; 2091-2100) elimate simulations (simulations with the CESM1) at climate model. Thanks to the availability of six-hourly temporal and three-dimensional fields at $\sim 1^{\circ}$ spatial resolution to assess it was possible to identify, for the first time, WCBs in climate simulations using a detailed

- 5 Lagrangian approach. This allowed assessing if WCBs can reasonably be represented in climate models, and to determine determining if their frequency of occurrence, geographical distribution, and characteristics change in the warmer climate, according to our RCP85 simulations. The WCBs are identified based on a Lagrangian diagnostic: (i) kinematic air mass parcel trajectories are released equidistantly (80 km mesh size) and at a six-hourly time interval in the near-surface layer (up to 700790 hPa) over the whole globe; (ii) an ascent criterion of 600 hPa within 48 h is then applied to capture the WCB ascent,
- 10 and the neighbourhood of the air parcel ascent it is verified that the ascent occurs close to an extratropical cycloneis required to identify them as one of the characteristic airstreams of an extratropical cyclone. The resulting 50-year climatologies of WCBs in HIST and RCP85 build the basis of this study. They are also compared to an existing 37 year 37-year climatology of WCBs

based on ERA-Interim (1980-2018). Based on these data sets, and closing the circle to the research questions raised in the introduction, the main results of the study can be summarized as follows:

- 15 - The climate simulation HIST produces frequency maps of WCB occurrence that capture many hotspots that have previously been identified in reanalysis data sets (e.g., ERA-Interim). In particular, WCB hotspots do occur in the North Atlantic and North Pacific storm track regions, and similarly in the storm tracks track of the Southern Oceans. Thereby, also Also the seasonal cycle and the frequency amplitudes are comparable to ERA-Interim. Secondary WCB peaks are also captured, e.g., in the Mediterranean, Finally, also the globally averaged ascent behaviour (time-pressure evolution) pressure evolution as a function of time) of the HIST WCBs is remarkably similar to the ERA-Interim one. Still, local dis-20 crepancies - of course - exist between the climate simulations and the reanalysis data. This, however, must be expected due to natural variability - and because and the comparatively short time periods available for comparison. Because all of the discrepancies look physically plausible, we assume that CESM-CESM1 is able to realistically represent WCBs.
 - In a future (RCP85) climate the main WCB frequency hotspots remain similar to the ones for the present-day (HIST) climate, both in winter and in summer. Still, some geographical shifts in the frequency patterns are discernible, in addition to an overall increased amplitude (number of WCB trajectories) in RCP85. For instance, the WCB inflow regions in boreal winter (DJF) are systematically shifted towards the north in the western North Pacific. In the North Atlantic storm track region, the shift takes rather the form of a southwest-northeast dipole, with increased frequencies in the southwestern North Atlantic and a decrease in the northeast, to the south of Iceland. An increase is also seen in the South Atlantic, to the east of South America's coast, and a decrease near Madagasear. Of course, all these signals spatially spread out considerably during the WCB ascent and particularly until their outflow reaches near-tropospheric levels. In boreal summer (JJA), the shifts in the Northern Hemisphere are weaker, and an additional signal occurs over the North American continent; in the Southern Hemisphere, the South American Atlantic shift remains, and actually confines to the west a band of enhanced WCB inflow frequencies is spanning to the east up to Australia. The Only some of the climate-change shift shifts in WCB frequencies regionally and partly, but not consistently, go along with corresponding changes in cyclone frequencies. This strongly indicates that the WCB changes cannot solely be attributed to cyclone frequency changes, but that other dynamical and thermodynamical factors determining the WCB-efficiency of extratropical cyclones must also be taken into account.
 - 5 The globally averaged ascent behaviour of all WCBs remains rather similar in RCP85 compared to HIST, but significant differences in WCB characteristics emerge if regional WCB hotspots (North Atlantic, North Pacific, Mediterranean, South Atlantic) are considered. The inflow moisture for all these regions is considerably enhanced, which consistently also leads to enhanced 48-hour accumulated precipitation during the WCBascent. The latent heating due to integrated along the WCB. The enhanced precipitation, in turn, is associated with correspondingly enhanced diabatic heating rates (changes in potential temperature) in the mid troposphere, and – consistently – higher potential temperatures at the end of the WCB ascent, as when the air parcels reach the upper troposphere / lower stratosphereUTLS. These climatechange-related effects are discernible in winter, and (with partly larger amplitudes) also in summer. A statistically more

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refined analysis of the changes in the accumulated precipitation points to more extreme values. More specifically, particularly the most extreme percentiles of the precipitationincrease substantially in RCP85 compared to HIST, and this emphasizes that climate change in particular affects extreme values of WCB-related precipitation, consistently in all considered regions. A geographical analysis of changes in WCB-related precipitation shows that the percentage of precipitation associated with WCBs increases in large parts of the storm tracks where also total precipitation increases in a future climate, pointing towards an increased efficiency of WCBs in precipitation formation. Howeveralso regions are observed where, in some regions the percentage of WCB-related precipitation is decreasing despite an increase in total precipitation. Whether the increase in WCB-related precipitation is caused by an increased occurrence frequency of WCBs or by the higher moister content or both cannot be disentangled in this study.

the The more diabatic nature of WCBs in a future climate our RCP85 simulations leads to two important aspects inferences:
 (i) the WCB outflow in a future climate RCP85 is shifted upwards and closer to the tropopause in all considered regions.
 WCBs therefore have an increased potential to disturb the upper-level waveguide and the downstream flow evolution; and (ii) the maximum in the diabatic heating rate is shifted slightly poleward and upward and increases in amplitude, which can have an impact on the restoration of baroclinicity and influence the jet location.

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This study <u>puts the focus focuses</u> on a weather-system-based perspective on climate change, i.e., WCBs were identified in <u>six-hourly time steps</u> as a specific synoptic-scale weather system and then, based on these distinct features, climatological aspects (WCB frequencies, characteristics and impacts) were discussed. Such a system-based an approach, in comparison to more field-based approaches (e.g., by considering geopotential variability), has already successfully been applied for other

- weather systems, most prominently for extratropical cyclones and atmospheric blocks.
 In several studies, the response of extratropical cyclones to climate change as well as the representation of cyclone structure in climate models has been investigated based on a weather system perspective. To this aim, extratropical cyclones have been identified and tracked in global climate models or aquaplanet simulations and their structure has been evaluated. While the overall structure of extratropical cyclones is represented well in climate models (Catto et al., 2019), problems still exist, e.g., in -the representation of precipitation (Hawcroft et al., 2016) and diabatic processes (Hawcroft et al., 2017), the link between cyclone dynamics and clouds (Govekar et al., 2014), and the relative humidity distribution in the WCB, which is linked to the isentropic ascent (Catto et al., 2010). Future climate simulations predict changes in the wind speed in the warm sector of
- 5 cyclones (Priestley and Catto, 2022), whereas Dolores-Tesillos et al. (2022) link these changes to enhanced diabatic heating, which in turn leads to an amplified low-level potential vorticity (PV) PV anomaly and a change in the upper-level dipole PV anomaly. This is consistent with findings by Binder et al. (2022) who, in a future elimatethe same RCP85 simulations as used here, also detects a stronger diabatic PV production in WCBs that in turn lead to enhanced cyclone deepening rates and a stronger intensity of the strongest cyclones. For a detailed description of the response of extratropical cyclones to climate
- 10 change, also from a weather-system perspective, the reader is referred to Catto et al. (2019). Another important weather system in the extratropics are atmospheric blocks, because they can be linked to extreme weather like cold spells (e.g., Sillmann et al., 2011; Bieli et al., 2015), heat waves (Quandt et al., 2019), and heavy precipitation events

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(e.g., Martius et al., 2013; Kautz et al., 2022). However, so far, the confidence in projected changes in atmospheric blocking is low as different physical mechanisms determine the whole blocking life cycle (Woollings et al., 2018). As WCB outflows

- 15 are associated with low PV values and can therefore modify the upper-level flow, they can play an important role in the initiation and/or maintenance of atmospheric blocks (Steinfeld and Pfahl, 2019; Steinfeld et al., 2020; Pfahl et al., 2015a)(Pfahl et al., 2015a; Steinfeld As WCBs become more diabatic in a future climate (see Figure Fig. 8c) and their ascent is located at higher altitudes/isentropes in futureRCP85, it would be interesting to investigate in more detail the impact of WCBs on blockings blocks in a future climate. The Steinfeld et al. (2022) already showed that the frequency of WCBs in blocking anticyclones is expected to increase
- 20 by 15 % in a RCP8.5 climate, but the link to WCBs remains unclear. Thus a more detailed analysis of the interplay of WCBs and blockings could lead to an improved physical understanding of these phenomena. More general, the weather system perspective presented here would be an option to gain more insight into the underlying physical processes.

Furthermore, other weather features like the jet stream, Rossby waves or Rossby wave breaking events are important drivers of the for surface weather in the mid-latitudes. Extending the weather system perspective and its connection to WBCs-WCBs in

25 present-day and future climate simulations could, therefore, also lead to an improved understanding of the underlying physical mechanisms and their change in a warmer climate.

The current study comes with some caveats, the most restrictive <u>one ones</u> related to the <u>use of only one climate model and to</u> the small sample size (50 years) of the CESM simulations. This does not allow for a robust statistical analysis of the differences in WCB occurrence between the present-day and the future climate, and – similarly – the corresponding comparison between

- 30 the present-day climate and ERA-Interim data. For instance, we cannot ultimately determine whether a regional difference between ERA-Interim and HIST reflects a systematic bias between the two modelsdata sets, or whether it only reflects natural variability. A robust statistical analysis would proceed as follows: require about 1'000 years (seasons) of CESM simulations would be performed and this would result in correspondingly many maps of WCB frequencies, thus representatively covering to cover the full spectrum of WCB maps seasonal WCB frequencies in the CESM climate. ERA-Interim, as one realization
- 35 of nature, would then have to be placed in comparison to this CESM distribution. If the ERA-Interim realization, at a specific region, falls well within the CESM distribution, they can be assumed to be physically consistent. On the other hand, if the ERA-Interim realization lies at the extreme borders of the CESM distribution, we would have to assume that CESM exhibits a systematic bias in the WCBs frequencies due to deficiencies in capturing the essential WCB-related physics. An analogue statistical analysis would be required to assess the significance of differences between present-day and future WCB maps.
- 5 Such a refined statistical analysis would be very welcome, but it is computationally still prohibitive because of the high cost of trajectory calculations. As an alternative and to overcome this limitation, one could try to identify WCBs in climate models based on their Eulerian fingerprints (Quinting and Grams, 2021; Wandel et al., 2021). This, however, comes with its own caveats, e.g., by not allowing the determining several important WCB characteristics (latent heating) to be assessed during the WCB air parcels' ascent, outflow height, etc.). Despite this limitation of the study, we think that all patterns found are
- 10 physically plausible and thus should be taken as reasonable first estimates how climate change impacts on WCBs. In summary, the current study points to potential changes in WCB frequencies, intensities, and characteristics in a warmer climate, but. In addition, also some WCB impacts (precipitation, diabatic heating, WCB-jet interaction) have been shown to

regionally change be potentially affected by climate change in specific regions. In a forthcoming study, we intend to extend this WCB-impact aspect on an even broader and more systematic perspective, by following the methodology developed in Joos

15 (2019). In particular, it will be rewarding to see how WCBs influence the surface energy balanceand (heavy) precipitation in the extratropics, the Arctic and Antarctica. We hope. We trust that this weather-feature-based approach to <u>elimate understanding</u> <u>climate change and its</u> impacts will become a fruitful and important contribution to climate science.

Code and data availability. The WCB and cyclone data for CESM and ERA-Interim are available from the authors upon request.

Author contributions. UB provided the CESM simulations, and MS calculated the basic WCB trajectory climatologies based on CESM.HJ, HB and MS did the global and regional WCB analysis of the study. MS, HJ and HW conceptually developed the study. All authors

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contributed to the writing.

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